

# Multidimensional continued fractions, numeration and discrete geometry

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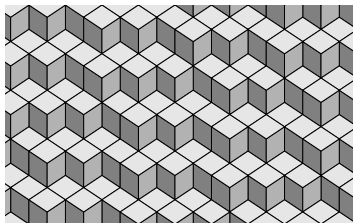


*Journées de numération Graz 2007*

# Recognition of arithmetic discrete planes

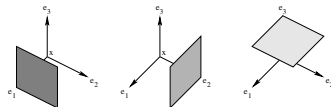
## Problem

Given a set of points in  $\mathbb{Z}^d$ , does there exist a standard arithmetic discrete plane that contains them?



## Arithmetic discrete planes

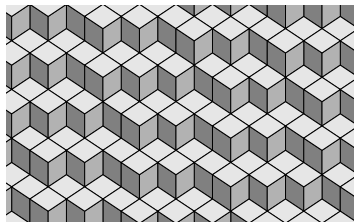
Let  $(\vec{x}, 1^*)$ ,  $(\vec{x}, 2^*)$ ,  $(\vec{x}, 3^*)$  be the following **faces**:



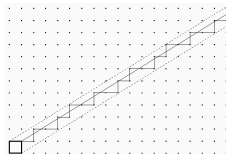
### Definition

A **standard arithmetic discrete plane** or **stepped plane** is defined as

$$\mathcal{P}_{\vec{\alpha}, \rho} = \{(\vec{x}, i^*) \mid 0 \leq \langle \vec{x}, \vec{\alpha} \rangle + \rho < \langle \vec{e}_i, \vec{\alpha} \rangle\}.$$



## Discrete lines and Sturmian words



One can code a standard arithmetic discrete line (**Freeman code**) over the two-letter alphabet  $\{0, 1\}$ . One gets a **Sturmian word**  $(u_n)_{n \in \mathbb{N}} \in \{0, 1\}^{\mathbb{N}}$

0100101001001001010010100100101

### Question

How to recognize that a finite word is Sturmian?

# Finite Sturmian words

0110110101101101

We consider the substitutions

$$\sigma_0: 0 \mapsto 0, \sigma_0: 1 \mapsto 10$$

$$\sigma_1: 0 \mapsto 01, \sigma_1: 1 \mapsto 1$$

One has

$$01\ 1\ 01\ 1\ 01\ 01\ 1\ 01\ 1\ 01 = \sigma_1(0101001010)$$

$$0\ 10\ 100\ 10\ 10 = \sigma_0(011011)$$

$$01\ 1\ 01\ 1 = \sigma_1(0101)$$

$$01\ 01 = \sigma_1(00)$$

↪ Continued fractions and Ostrowski numeration system

# A classical recognition problem in discrete geometry

in connection with

- Geometric representations, Rauzy fractals, tilings
- Multidimensional continued fractions and  $S$ -adic systems

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We need a multidimensional notion of

- Substitutions

$\rightsquigarrow$  **Arnoux-Ito-Ei's formalism** for unimodular morphisms of the free group

- Continued fraction algorithm

$\rightsquigarrow$  **Brun's algorithm**

- Words

$\rightsquigarrow$  **Stepped surfaces**

# Substitution

Let  $\sigma$  be a substitution over  $\mathcal{A}$ .



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Example:

$$\sigma(1) = 12, \sigma(2) = 13, \sigma(3) = 1.$$

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The **incidence matrix**  $\mathbf{M}_\sigma$  of  $\sigma$  is the matrix defined by:

$$\mathbf{M}_\sigma = (|\sigma(j)|_i)_{(i,j) \in \mathcal{A}^2},$$

where  $|\sigma(j)|_i$  is the number of occurrences of the letter  $i$  in  $\sigma(j)$ .

# Substitution

Let  $\sigma$  be a substitution over  $\mathcal{A}$ .

## Unimodular substitution

A substitution  $\sigma$  is **unimodular** if  $\det \mathbf{M}_\sigma = \pm 1$ .

## Abelianization

Let  $d$  stand for the cardinality of  $\mathcal{A}$ . Let  $\vec{l}: \mathcal{A}^* \rightarrow \mathbb{N}^d$  be the **Parikh mapping**:

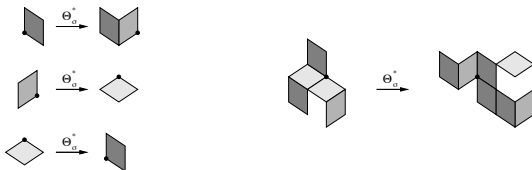
$$\vec{l}(w) = {}^t(|w|_1, |w|_2, \dots, |w|_d).$$

# Generalized substitution

## Generalized substitution [Arnoux-Ito]

Let  $\sigma$  be a **unimodular** substitution. We call **generalized substitution** the following transformation acting on the faces  $(\vec{x}, i^*)$  defined by:

$$\Theta_{\sigma}^*(\vec{x}, i^*) = \bigcup_{k \in \mathcal{A}} \bigcup_{P, \sigma(k)=PiS} (\mathbf{M}_{\sigma}^{-1}(\vec{x} - \vec{l}(P)), k^*).$$



# Stepped surface

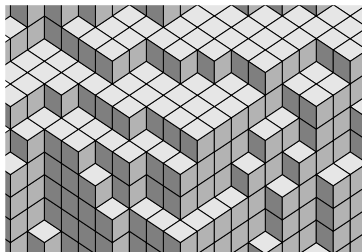
## Definition

A **stepped surface** (also called **functional discrete surface**) is defined as a union of pointed faces such that the orthogonal projection onto the **diagonal plane**  $x + y + z = 0$  induces an **homeomorphism** from the stepped surface onto the diagonal plane.

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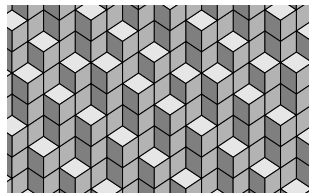
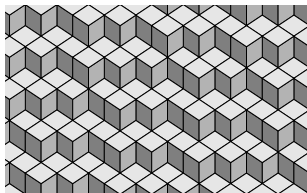
## Recognition [Jamet]

It is possible to recognize whether a set of points in  $\mathbb{Z}^d$  is contained in a stepped surface by considering a finite neighbour of each point.

# Action on a plane

## Theorem [Arnoux-Ito, Fernique]

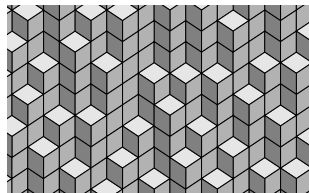
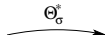
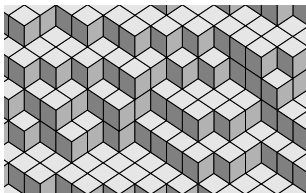
Let  $\sigma$  be a unimodular substitution. Let  $\vec{\alpha} \in \mathbb{R}_+^d$  be a nonzero vector. The generalized substitution  $\Theta_\sigma^*$  maps without overlaps the **stepped plane**  $\mathcal{P}_{\vec{\alpha}, \rho}$  onto  $\mathcal{P}_{t_{M_\sigma} \vec{\alpha}, \rho}$ .





## Theorem [Arnoux-B.-Fernique-Jamet 2007]

Let  $\sigma$  be a unimodular substitution. The generalized substitution  $\Theta_\sigma^*$  acts without overlaps on **stepped surfaces**.

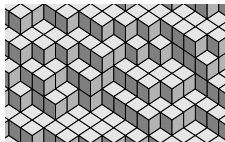
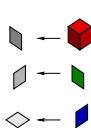
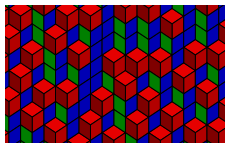
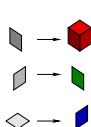


# Tiling

## Definition

Let  $\sigma$  be a unimodular substitution. A stepped surface is said to be  $\sigma$ -tilable if it is a union of translates of  $\Theta_{\sigma}^*(\vec{0}, i^*)$ .

**Question:** Can we desubstitute a  $\sigma$ -tilable stepped surface?



# Desubstitution

We want to **desubstitute** a stepped surface according to  $\Theta_\sigma^*$ .

## Theorem

Let  $\sigma$  be an **invertible** substitution. Let  $\mathcal{S}$  be a  $\sigma$ -tilable stepped surface. There exists a unique stepped surface  $\mathcal{S}'$  such that

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**Idea of the proof:**

- ①  $\Theta_{\sigma \circ \mu}^* = \Theta_\mu^* \circ \Theta_\sigma^*$
- ②  $\Theta_{\sigma^{-1}}^*(\mathcal{S})$  is a stepped surface.
- ③  $\Theta_{\sigma^{-1}}^*(\mathcal{S})$  is thus an antecedent of  $\mathcal{S}$  under the action of  $\Theta_\sigma^*$ .

# Desubstitution

## Property

Let  $\sigma$  be a **unimodular morphism of the free group**. Let  $\mathcal{S}$  be a  **$\sigma$ -tilable stepped surface**. Then  $\Theta_{\sigma^{-1}}^*(\mathcal{S})$  is a stepped surface.

We use the following fact:

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Then,  $\Theta_{\sigma}^*$  maps without overlaps the stepped plane  $\mathcal{P}_{\vec{\alpha}, \rho}$  onto  $\mathcal{P}_{{}^t M_{\sigma} \vec{\alpha}, \rho}$ .

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Then,  $\Theta_{\sigma}^*$  maps without overlaps the stepped plane  $\mathcal{P}_{\vec{\alpha}, \rho}$  onto  $\mathcal{P}_{{}^t M_{\sigma} \vec{\alpha}, \rho}$ .

The stepped plane  $\mathcal{P}_{\vec{\alpha}, \rho}$  is  **$\sigma$ -tilable** iff

$${}^t M_{\sigma^{-1}} \vec{\alpha} \geq 0.$$

## Brun's algorithm ( $d = 2$ )

We consider the following transformation acting on  $[0, 1]^2$

$$T(\alpha, \beta) = \begin{cases} (\{1/\alpha\}, \frac{\beta}{\alpha}) & \text{if } \alpha \geq \beta \\ (\frac{\alpha}{\beta}, \{1/\beta\}) & \text{otherwise.} \end{cases}$$

For all  $n \in \mathbb{N}$ , we set  $(\alpha_n, \beta_n) = T^n(\alpha, \beta)$ .

One has  $(1, \alpha_n, \beta_n) \propto B_{a_n, \varepsilon_n}(1, \alpha_{n+1}, \beta_{n+1})$  with

$$(a_n, \varepsilon_n) = \begin{cases} (\lfloor 1/\alpha_n \rfloor, 1) & \text{if } \alpha_n \geq \beta_n \\ (\lfloor 1/\beta_n \rfloor, 2) & \text{otherwise,} \end{cases}$$

with

$$B_{a,1} = \begin{pmatrix} a & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{and} \quad B_{a,2} = \begin{pmatrix} a & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

## Continued fraction algorithm

One thus gets

$$\vec{\alpha} = \vec{\alpha}_0 \xrightarrow{B_0} \vec{\alpha}_1 \xrightarrow{B_1} \dots \xrightarrow{B_{n-1}} \vec{\alpha}_n \xrightarrow{B_n} \dots$$

where  $B_n \in GL(d+1, \mathbb{N})$ .

### Convergents

$$\begin{aligned}(1, \vec{\alpha}) &\propto B_0 \times \dots \times B_n(1, \vec{\alpha}_{n+1}) \\ (q_n, \vec{p}_n) &\propto B_0 \times \dots \times B_n(1, \vec{0}).\end{aligned}$$



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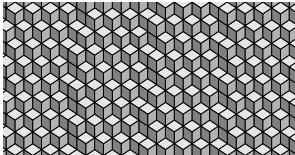
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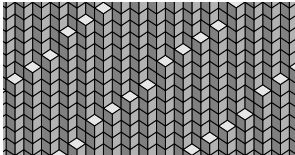
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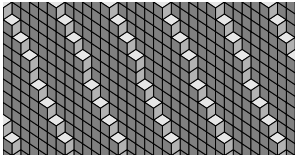
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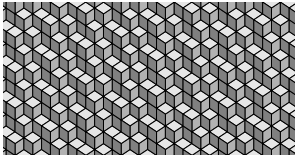
- Unimodular algorithm
- Weak convergence (convergence of the type  $|\alpha - p_n/q_n|$ )

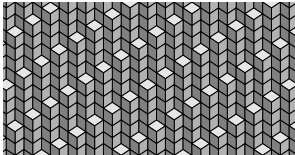
Arithmetics	Geometry
$d\text{-uple } \vec{\alpha} \in [0, 1]^d$ $(1, \vec{\alpha}_n) = B_n(1, \vec{\alpha}_{n+1})$	$\text{stepped plane } \mathcal{P}_{(1, \vec{\alpha})}$ $\mathcal{P}_{(1, \vec{\alpha}_n)} = \Theta_{\sigma_n}^*(\mathcal{P}_{(1, \vec{\alpha}_{n+1})})$ with ${}^tB_n$ incidence matrix of $\sigma_n$

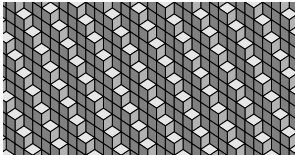
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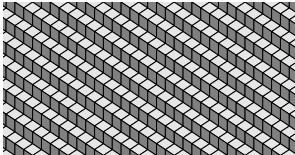
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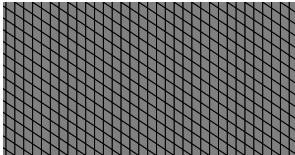
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## Choice of the substitution

### Property

Let  $\sigma$  be a unimodular morphism of the free group. The stepped plane  $\mathcal{P}_{\vec{\alpha}, \rho}$  is  $\sigma$ -tilable iff

$${}^t M_{\sigma^{-1}} \vec{\alpha} \geq 0.$$

This provides a discrete version of Brun's algorithm.



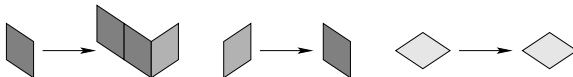
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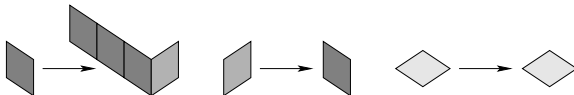
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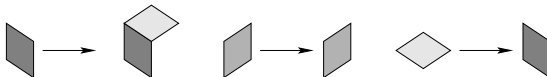
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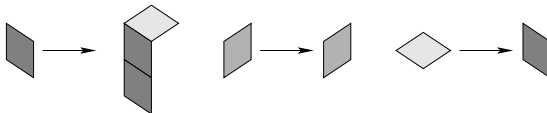
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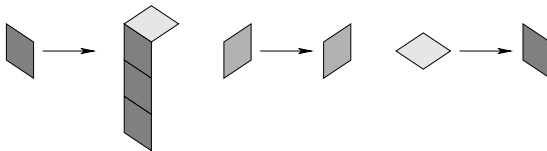
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# Conclusion

## Theorem

If a stepped surface can be desubstituted infinitely many times according to Brun's algorithm, then it is a stepped plane with parameters given by the corresponding Brun's expansion.

## Further work

- Higher codimensions (Penrose tilings)
- Finite case
- Multidimensional Ostrowski numeration based on Brun's algorithm
- Rauzy fractals in the  $S$ -adic case