

A NEW COMPLEX FREQUENCY SPECTRUM FOR THE ANALYSIS OF TRANSMISSION EFFICIENCY IN WAVEGUIDE-LIKE GEOMETRIES

Anne-Sophie Bonnet-Ben Dhia¹
Lucas Chesnel² **Vincent Pagneux**³

¹ *POEMS (CNRS-ENSTA-INRIA), Palaiseau, France*

² *Equipe DEFI (INRIA, CMAP-X), Palaiseau, France.*

³ *LAUM (CNRS, Université du Maine), Le Mans, France*

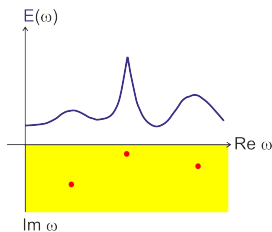
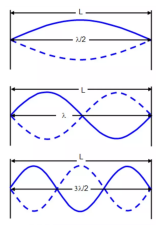
Graz, February 2019



SPECTRAL THEORY AND WAVE PHENOMENA

The **spectral theory** is classically used to study **resonance** phenomena:

- **eigenfrequencies** of a string, a closed acoustic cavity, etc...
- **complex resonances** of “open” cavities (with leakage)



A new point of view: find similar spectral approaches to quantify the efficiency of the **transmission** phenomena.

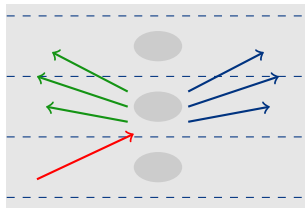
This notion of transmission appears naturally in devices involving **waveguides** or **gratings** (intensively used in optics and acoustics).

SOME TYPICAL DEVICES

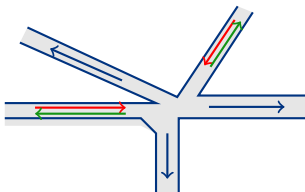
→ incident wave
→ transmitted wave
← reflected wave



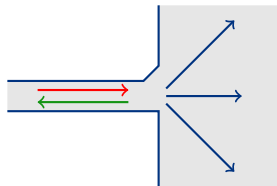
Perturbed waveguide



Grating



Junction of waveguides

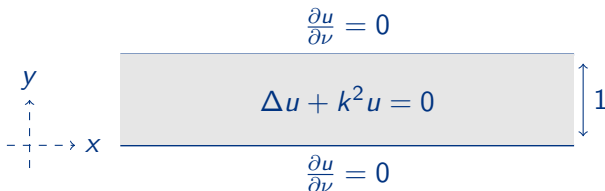


Baffled radiating waveguide

A usual objective is to get a perfect transmission without any reflection.

TIME-HARMONIC SCATTERING IN WAVEGUIDE

The acoustic waveguide: $\Omega = \mathbb{R} \times (0, 1)$, $k = \omega/c$, $e^{-i\omega t}$


$$\frac{\partial u}{\partial \nu} = 0$$
$$\Delta u + k^2 u = 0$$
$$\frac{\partial u}{\partial \nu} = 0$$

- A finite number of **propagating** modes for $k > n\pi$:

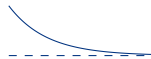
$$u_n^\pm(x, y) = \cos(n\pi y) e^{\pm i\beta_n x} \quad \beta_n = \sqrt{k^2 - n^2\pi^2}$$

(+/- correspond to **right/left** going modes)



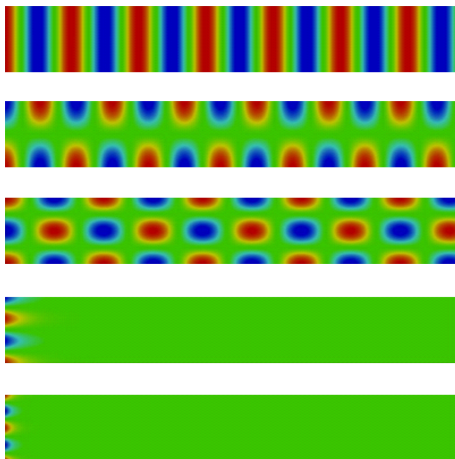
- An infinity of **evanescent** modes for $k < n\pi$:

$$u_n^\pm(x, y) = \cos(n\pi y) e^{\mp \gamma_n x} \quad \gamma_n = \sqrt{n^2\pi^2 - k^2}$$



TIME-HARMONIC SCATTERING IN WAVEGUIDE

An example with 3 propagating modes:



TIME-HARMONIC SCATTERING IN WAVEGUIDE

$$\begin{aligned}\mathcal{O} &\subset \Omega \\ \inf(1 + \rho) &> 0 \\ \text{supp}(\rho) &\subset \mathcal{O}\end{aligned}$$



- The total field $u = u_{inc} + u_{sca}$ satisfies the equations

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

- The incident wave is a superposition of propagating modes:

$$u_{inc} = \sum_{n=0}^{N_p} a_n u_n^+$$

- The scattered field u_{sca} is outgoing:

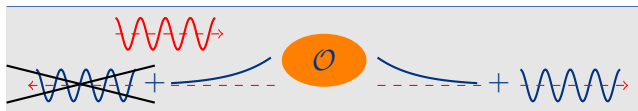


NO-REFLECTION

At particular frequencies k , it occurs that, for some u_{inc} ,

$$x \rightarrow -\infty \quad u_{sca} \rightarrow 0$$

We say that the obstacle \mathcal{O} produces **no reflection**. The wave is **totally transmitted**. And the obstacle is **invisible** for an observer located far at the left-hand side.

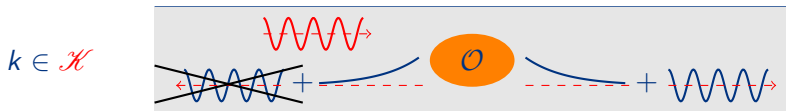


NO-REFLECTION

At particular frequencies k , it occurs that, for some u_{inc} ,

$$x \rightarrow -\infty \quad u_{sca} \rightarrow 0$$

We say that the obstacle \mathcal{O} produces **no reflection**. The wave is **totally transmitted**. And the obstacle is **invisible** for an observer located far at the left-hand side.

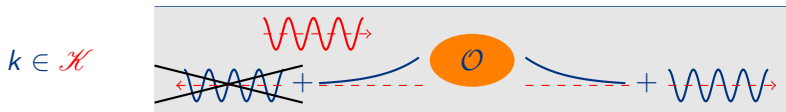


NO-REFLECTION

At particular frequencies k , it occurs that, for some u_{inc} ,

$$x \rightarrow -\infty \quad u_{sca} \rightarrow 0$$

We say that the obstacle \mathcal{O} produces **no reflection**. The wave is **totally transmitted**. And the obstacle is **invisible** for an observer located far at the left-hand side.



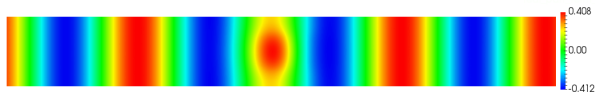
OBJECTIVE

Find a way to compute directly the set \mathcal{K} of no-reflection frequencies by solving an eigenvalue problem.

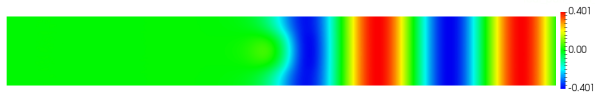
AN ILLUSTRATION OF NO-REFLECTION PHENOMENON



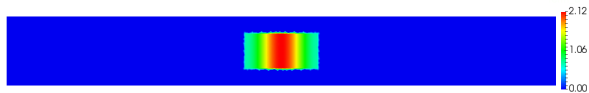
Incident field $u_{inc} = e^{ikx}$



Total field u



Scattered field u_{sca}



Perturbation ρ

THE MAIN IDEA

The total field u always satisfies the homogeneous equations:

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

where k^2 plays the role of an **eigenvalue**.

NO-REFLECTION MODES ($k \in \mathcal{K}$)

The total field of the scattering problem u is **ingoing** at the left-hand side of \mathcal{O} and **outgoing** at the right-hand side of \mathcal{O} .



THE MAIN IDEA

The total field u always satisfies the homogeneous equations:

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

where k^2 plays the role of an **eigenvalue**.

NO-REFLECTION MODES ($k \in \mathcal{K}$)

NEW!

The total field of the scattering problem u is **ingoing** at the left-hand side of \mathcal{O} and **outgoing** at the right-hand side of \mathcal{O} .



TRAPPED MODES ($k \in \mathcal{T}$)

CLASSICAL!

The total field $u \in L^2(\Omega)$.



THE MAIN IDEA

The total field u always satisfies the homogeneous equations:

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

where k^2 plays the role of an **eigenvalue**.

NO-REFLECTION MODES ($k \in \mathcal{K}$)

NEW!

The total field of the scattering problem u is **ingoing** at the left-hand side of \mathcal{O} and **outgoing** at the right-hand side of \mathcal{O} .



TRAPPED MODES ($k \in \mathcal{T}$)

CLASSICAL!

The total field u is **outgoing** on both sides of the obstacle \mathcal{O} .



THE MAIN IDEA

For both problems, the idea is to use a **complex scaling** at both sides of the obstacle, so that propagating waves become evanescent.

TRAPPED MODES

$k \in \mathcal{T}$: u is **outgoing** on both sides of \mathcal{O} .



NO-REFLECTION MODES

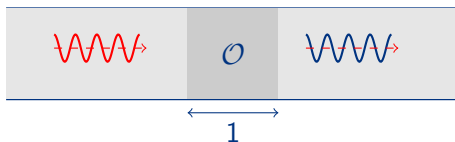
$k \in \mathcal{K}$: u is **ingoing** (resp. **outgoing**) at the left (resp. right) of \mathcal{O} .



THE NOVELTY

To compute the no-reflection frequencies, use a complex scaling with complex **conjugate** parameters at both sides of the obstacle

THE 1D CASE



The 1D case has been studied with a spectral point of view in:

H. Hernandez-Coronado, D. Krejcirik and P. Siegl,
Perfect transmission scattering as a \mathcal{PT} -symmetric spectral problem,
Physics Letters A (2011).

Our approach allows us to extend some of their results to **higher dimensions**.

An additional complexity comes from the presence of **evanescent modes**.

OUTLINE

- 1 SPECTRUM OF TRAPPED MODES FREQUENCIES
- 2 SPECTRUM OF NO-REFLECTION FREQUENCIES
- 3 EXTENSIONS TO OTHER CONFIGURATIONS

OUTLINE

- 1 SPECTRUM OF TRAPPED MODES FREQUENCIES
- 2 SPECTRUM OF NO-REFLECTION FREQUENCIES
- 3 EXTENSIONS TO OTHER CONFIGURATIONS

THE SPECTRAL PROBLEM FOR TRAPPED MODES

DEFINITION

A **trapped mode** of the perturbed waveguide is a solution $u \neq 0$ of

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

such that $u \in L^2(\Omega)$.



- There is a huge literature on trapped modes: Davies, Evans, Exner, Levitin, McIver, Nazarov, Vassiliev, ...
- Existence of trapped modes is proved in specific configurations (for instance symmetric with respect to the horizontal mid-axis) (Evans, Levitin and Vassiliev)

THE SPECTRAL PROBLEM FOR TRAPPED MODES

DEFINITION

A **trapped mode** of the perturbed waveguide is a solution $u \neq 0$ of

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

such that $u \in L^2(\Omega)$.



Let us consider the following unbounded operator of $L^2(\Omega)$:

$$D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\} \quad Au = -\frac{1}{1 + \rho} \Delta u$$

$$\Delta u + k^2(1 + \rho)u = 0 \iff Au = k^2u$$

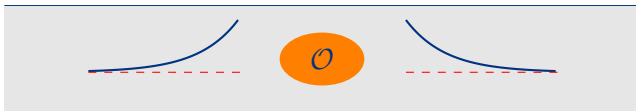
THE SPECTRAL PROBLEM FOR TRAPPED MODES

DEFINITION

A **trapped mode** of the perturbed waveguide is a solution $u \neq 0$ of

$$\Delta u + k^2(1 + \rho)u = 0 \quad (\Omega) \quad \frac{\partial u}{\partial \nu} = 0 \quad (\partial\Omega)$$

such that $u \in L^2(\Omega)$.



Let us consider the following unbounded operator of $L^2(\Omega)$:

$$D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\} \quad Au = -\frac{1}{1 + \rho} \Delta u$$

The **trapped modes** ($k \in \mathcal{T}$) correspond to real **eigenvalues** k^2 of A .

THE SPECTRAL PROBLEM FOR TRAPPED MODES

Trapped modes ($k \in \mathcal{T}$) correspond to real eigenvalues k^2 of

$$Au = -\frac{1}{1+\rho}\Delta u \quad \text{with } D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$

For the scalar product of $L^2(\Omega)$ with weight $1 + \rho$:

THE SPECTRAL PROBLEM FOR TRAPPED MODES

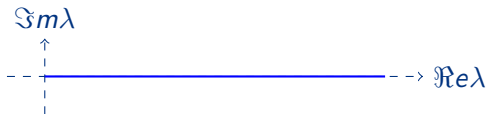
Trapped modes ($k \in \mathcal{T}$) correspond to real eigenvalues k^2 of

$$Au = -\frac{1}{1+\rho}\Delta u \quad \text{with } D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$

For the scalar product of $L^2(\Omega)$ with weight $1 + \rho$:

SPECTRAL FEATURES OF A

- A is a positive self-adjoint operator of $L^2(\Omega)$.
- $\sigma(A) = \sigma_{\text{ess}}(A) = \mathbb{R}^+$ and $\sigma_{\text{disc}}(A) = \emptyset$



THE SPECTRAL PROBLEM FOR TRAPPED MODES

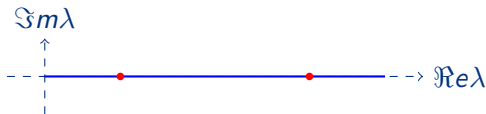
Trapped modes ($k \in \mathcal{T}$) correspond to real eigenvalues k^2 of

$$Au = -\frac{1}{1+\rho}\Delta u \quad \text{with } D(A) = \{u \in H^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$

For the scalar product of $L^2(\Omega)$ with weight $1 + \rho$:

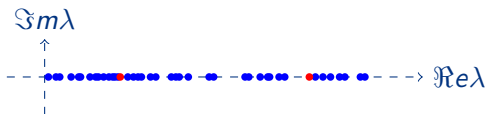
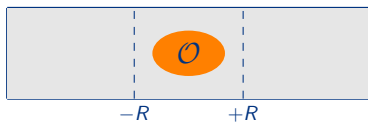
SPECTRAL FEATURES OF A

- A is a positive self-adjoint operator of $L^2(\Omega)$.
- $\sigma(A) = \sigma_{\text{ess}}(A) = \mathbb{R}^+$ and $\sigma_{\text{disc}}(A) = \emptyset$
- Trapped modes are embedded eigenvalues of A !



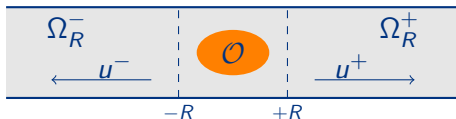
THE SPECTRAL PROBLEM FOR TRAPPED MODES

Problem: a direct Finite Element computation in a large bounded domain produces **spurious eigenvalues!**



Solution: the **complex scaling** (Aguilar, Balslev, Combes, Simon 70)

A MAIN TOOL: THE COMPLEX SCALING



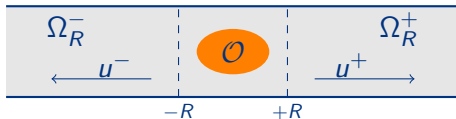
The magic idea:

- ① consider the second characterization of trapped modes: u^\pm outgoing,
- ② apply a complex scaling to u^\pm in the x direction:

$$u_{\alpha}^{\pm}(x, y) = u^{\pm} \left(\pm R + \frac{x \mp R}{\alpha}, y \right) \text{ for } (x, y) \in \Omega_R^{\pm}$$

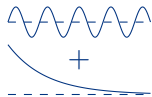
One can chose $\alpha \in \mathbb{C}$ such that $u_{\alpha}^{\pm} \in L^2(\Omega_R^{\pm})!$

A MAIN TOOL: THE COMPLEX SCALING

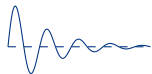


If $\alpha = e^{-i\theta}$ with $0 < \theta < \pi/2$, propagating modes become evanescent:

$$u^+(x, y) = \sum_{n \leq N_P} a_n \cos(n\pi y) e^{i\sqrt{k^2 - n^2\pi^2}(x-R)} + \sum_{n > N_P} a_n \cos(n\pi y) e^{-\sqrt{n^2\pi^2 - k^2}(x-R)}$$

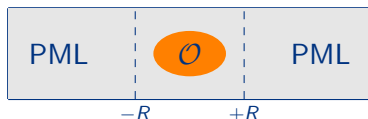


$$u_{\alpha}^+(x, y) = \sum_{n \leq N_P} a_n \cos(n\pi y) e^{\frac{i\sqrt{k^2 - n^2\pi^2}}{\alpha}(x-R)} + \sum_{n > N_P} a_n \cos(n\pi y) e^{-\frac{\sqrt{n^2\pi^2 - k^2}}{\alpha}(x-R)}$$



and the same for u_{α}^- with the same α .

A MAIN TOOL: THE COMPLEX SCALING



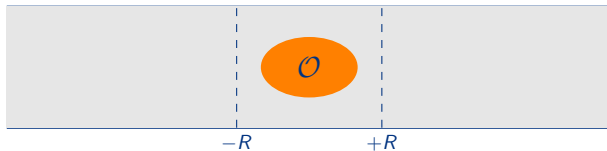
Since u_{α}^{\pm} are exponentially decaying at infinity, one can **truncate** the waveguide for numerical purposes !

This is the celebrated method of **Perfectly Matched Layers** (see Bécache et al., Kalvin, Lu et al., etc... for scattering in waveguides).

COMPLEX SCALING FOR TRAPPED MODES

Let us consider now the following unbounded operator:

$$\begin{aligned} D(A_\alpha) &= \{u \in L^2(\Omega); A_\alpha u \in L^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\} \\ A_\alpha u &= -\frac{1}{1 + \rho(x, y)} \left(\alpha(x) \frac{\partial}{\partial x} \left(\alpha(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right) \end{aligned}$$



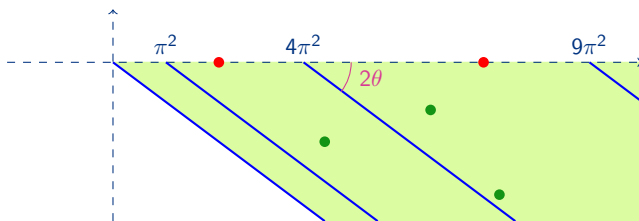
where $\alpha(x) = e^{-i\theta}$ $\alpha(x) = 1$ $\alpha(x) = e^{-i\theta}$

COMPLEX SCALING FOR TRAPPED MODES

SPECTRAL FEATURES OF A_α

- A_α is a **non self-adjoint** operator.
- $\sigma_{\text{ess}}(A_\alpha) = \cup_{n \geq 0} \{n^2\pi^2 + e^{-2i\theta}t^2; t \in \mathbb{R}\}$ *(Weyl sequences)*
- $\sigma(A_\alpha) = \sigma_{\text{ess}}(A_\alpha) \cup \sigma_{\text{disc}}(A_\alpha)$
- $\sigma(A_\alpha) \subset \{z \in \mathbb{C}; -2\theta < \arg(z) \leq 0\}$

(see [Kalvin, Kim and Pasciak](#))

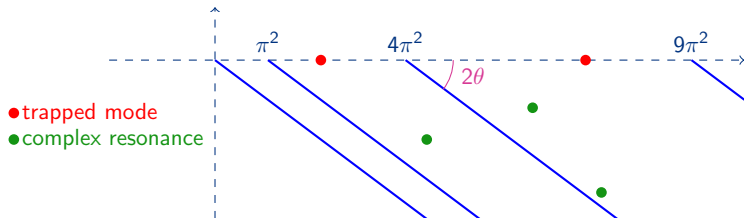


TRAPPED MODES AND COMPLEX RESONANCES

DISCRETE SPECTRUM OF A_α

- Trapped modes correspond to **discrete** real eigenvalues of A_α !
- Other eigenvalues correspond to **complex resonances**, with a field u exponentially growing at infinity.

Spectrum of A_α :

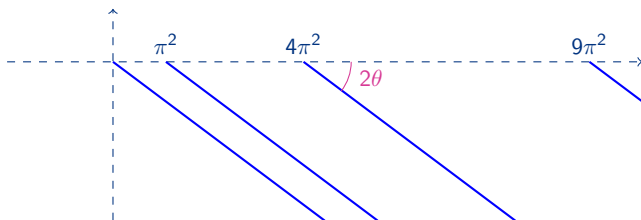


SOME ELEMENTS OF PROOF

Proof of the second item:

$$\begin{aligned}\sigma_{\text{ess}}(A_{\alpha}) &= \sigma_{\text{ess}}(-\Delta_{\theta}) \\ &= \bigcup_{n \geq 0} \sigma_{\text{ess}}(-\Delta_{\theta}^{(n)}) \\ &= \bigcup_{n \geq 0} \{n^2\pi^2 + e^{-2i\theta} t^2; t \in \mathbb{R}\}\end{aligned}$$
$$\begin{aligned}\Delta_{\theta} &= e^{-2i\theta} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \\ \Delta_{\theta}^{(n)} &= e^{-2i\theta} \frac{\partial^2}{\partial x^2} + n^2\pi^2\end{aligned}$$

Essential spectrum of A_{α} :



NUMERICAL ILLUSTRATION

The numerical results have been obtained by a finite element discretization with **FreeFem++**.

Here the scatterer is a **non-penetrable rectangular obstacle** in the middle of the waveguide:



We use a complex scaling in the magenta parts:



NUMERICAL ILLUSTRATION

The numerical results have been obtained by a finite element discretization with **FreeFem++**.

Here the scatterer is a **non-penetrable rectangular obstacle** in the middle of the waveguide:

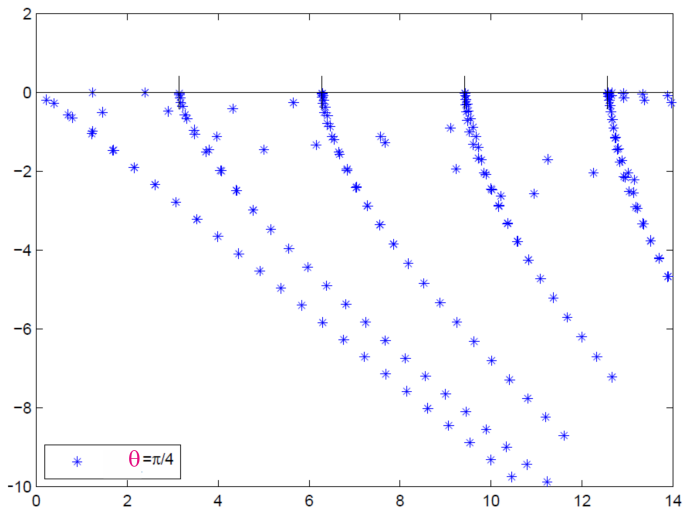


We use a complex scaling in the magenta parts:

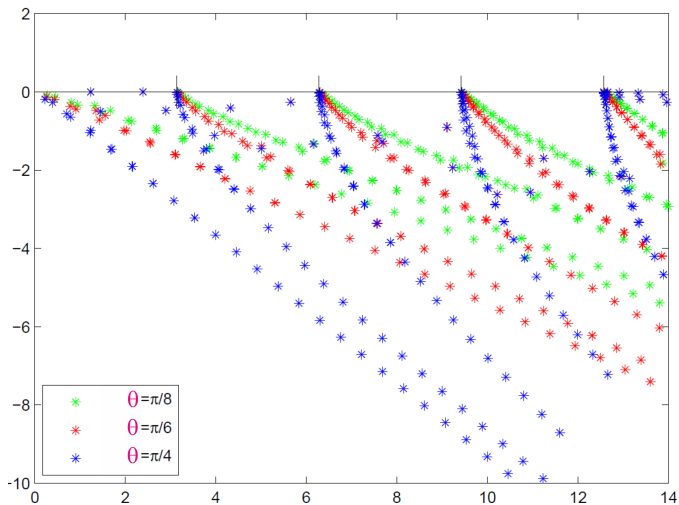


In the next slides, we represent the **square-root of the spectrum**, which corresponds to k values.

NUMERICAL ILLUSTRATION

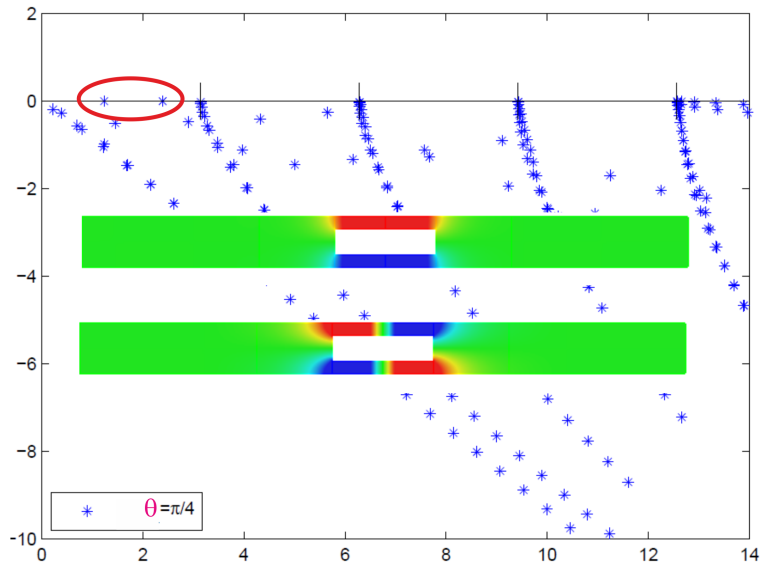


NUMERICAL ILLUSTRATION



NUMERICAL ILLUSTRATION

There are **two trapped modes**:



OUTLINE

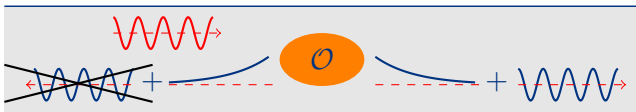
- 1 SPECTRUM OF TRAPPED MODES FREQUENCIES
- 2 SPECTRUM OF NO-REFLECTION FREQUENCIES
- 3 EXTENSIONS TO OTHER CONFIGURATIONS

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

A SIMPLE AND IMPORTANT REMARK

For $k \in \mathcal{K}$, the total field is **ingoing** at the left-hand side of \mathcal{O} and **outgoing** at the right-hand side of \mathcal{O} .



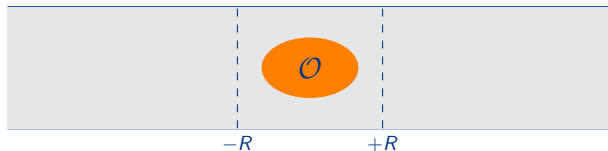
The idea is to use a **complex scaling** (and numerically **PMLs**), with complex **conjugate** parameters at both sides of the obstacle, so that the transformed **total field** u will belong to $L^2(\Omega)$.

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

Let us consider now the following unbounded operator:

$$D(A_{\tilde{\alpha}}) = \{u \in L^2(\Omega); A_{\tilde{\alpha}}u \in L^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$
$$A_{\tilde{\alpha}}u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$



$$\tilde{\alpha}(x) = e^{i\theta}$$

$$\tilde{\alpha}(x) = 1$$

$$\tilde{\alpha}(x) = e^{-i\theta}$$

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

Let us consider now the following unbounded operator:

$$D(A_{\tilde{\alpha}}) = \{u \in L^2(\Omega); A_{\tilde{\alpha}}u \in L^2(\Omega); \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega\}$$
$$A_{\tilde{\alpha}}u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$

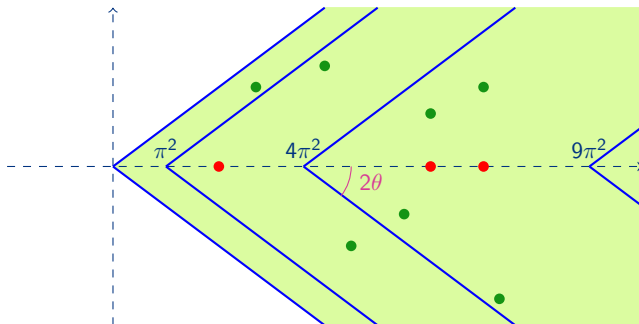
SPECTRAL FEATURES OF $A_{\tilde{\alpha}}$

- $A_{\tilde{\alpha}}$ is a non self-adjoint operator.
- $\sigma_{\text{ess}}(A_{\tilde{\alpha}}) = \bigcup_{n \geq 0} \{n^2\pi^2 + e^{2i\theta}t^2; t \in \mathbb{R}\} \cup \{n^2\pi^2 + e^{-2i\theta}t^2; t \in \mathbb{R}\}$
- $\sigma_{\text{disc}}(A_{\tilde{\alpha}}) \subset \{z \in \mathbb{C}; -2\theta < \arg(z) < 2\theta\}$

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

Typical expected spectrum of $A_{\tilde{\alpha}}$:



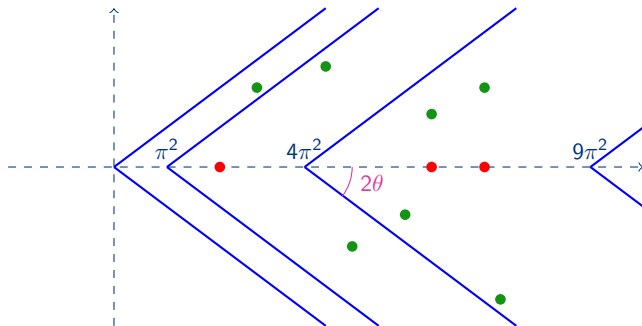
SPECTRAL FEATURES OF $A_{\tilde{\alpha}}$

- $\sigma_{\text{ess}}(A_{\tilde{\alpha}}) = \bigcup_{n \geq 0} \{n^2\pi^2 + e^{2i\theta}t^2; t \in \mathbb{R}\} \cup \{n^2\pi^2 + e^{-2i\theta}t^2; t \in \mathbb{R}\}$
- $\sigma(A_{\tilde{\alpha}}) \subset \{z \in \mathbb{C}; -2\theta < \arg(z) < 2\theta\}$

A NEW COMPLEX SPECTRUM LINKED TO \mathcal{K}

WITH "CONJUGATE" PMLs

Typical expected spectrum of $A_{\tilde{\alpha}}$:



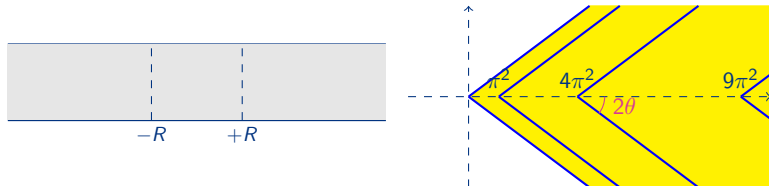
Difficulty: $\mathbb{C} \setminus \sigma_{\text{ess}}(A_{\tilde{\alpha}})$ is not a connected set.

CONJECTURE

$$\sigma(A_{\tilde{\alpha}}) = \sigma_{\text{ess}}(A_{\tilde{\alpha}}) \cup \sigma_{\text{disc}}(A_{\tilde{\alpha}}) \text{ if } \rho \neq 0$$

PATHOLOGICAL CASES

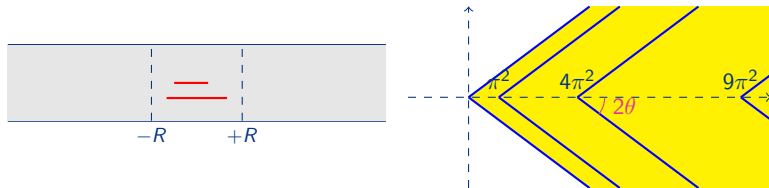
In the unperturbed case ($\rho = 0$):



All k^2 in the yellow zone are eigenvalues of $A_{\tilde{\alpha}}$!

PATHOLOGICAL CASES

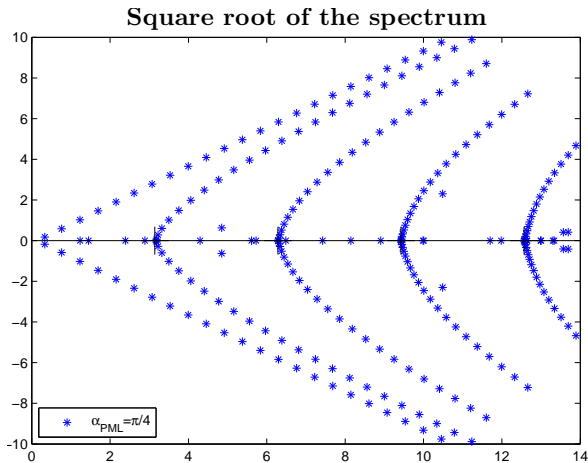
And the same result holds with **horizontal cracks** !



All k^2 in the yellow zone are eigenvalues of $A_{\tilde{\alpha}}$!

NUMERICAL ILLUSTRATION

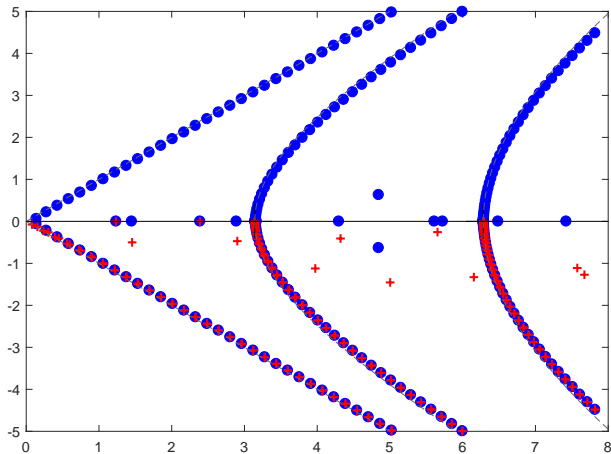
FOR A RECTANGULAR SYMMETRIC CAVITY



- The spectrum is symmetric w.r.t. the real axis (\mathcal{PT} -symmetry) .
- There are much more real eigenvalues than for trapped modes.

NUMERICAL ILLUSTRATION

FOR A RECTANGULAR SYMMETRIC CAVITY



In red: classical complex scaling

In blue: conjugate complex scaling

NUMERICAL ILLUSTRATION

FOR A RECTANGULAR SYMMETRIC CAVITY

For $k^2 \in \sigma_{disc}(A_{\tilde{\alpha}}) \cap \mathbb{R}$, the eigenmode is such that:



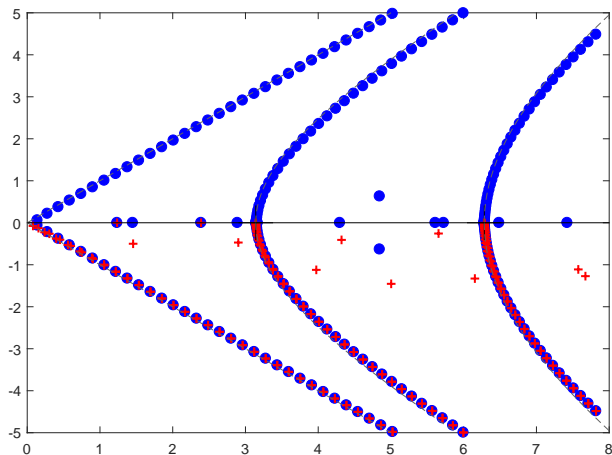
There are two cases:

- Either u contains propagating parts and it is a **no-reflection mode**: $k \in \mathcal{K}$.
- Either u is evanescent on both sides and it is a **trapped mode**: $k \in \mathcal{I}$.

THEOREM

$$\sigma_{disc}(A_{\tilde{\alpha}}) \cap \mathbb{R} = \{k^2 \in \mathbb{R}; k \in \mathcal{K} \cup \mathcal{I}\}$$

VALIDATION



Red: classical PMLs

Blue: conjugate PMLs

VALIDATION

Let us focus on the eigenmodes such that $0 < k < \pi$:



First trapped mode:
 $k = 1.2355 \dots$



Second trapped mode:
 $k = 2.3897 \dots$



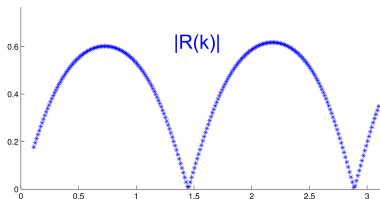
First no-reflection mode:
 $k = 1.4513 \dots$



Second no-reflection mode:
 $k = 2.8896 \dots$

VALIDATION

To validate this result, we compute the amplitude of the reflected plane wave for $0 < k < \pi$:



First no-reflection mode:

$$k = 1.4513 \dots$$



Second no-reflection mode:

$$k = 2.8896 \dots$$

There is a perfect agreement!

NO-REFLECTION MODE IN THE TIME-DOMAIN

Below we represent $\Re(u(x, y)e^{-i\omega t})$ with $u...$

...a no-reflection mode:

with the corresponding incident propagating mode:

We observe **no reflection** but a **phase shift** in the transmitted wave.

NO-REFLECTION MODE IN THE TIME-DOMAIN

Below we represent $\Re(u(x, y)e^{-i\omega t})$ with $u...$

...a no-reflection mode:

with the corresponding incident propagating mode:

We observe **no reflection** but a **phase shift** in the transmitted wave.

\mathcal{PT} -SYMMETRY (SPACE-TIME REFLECTION SYMMETRY)

Remember that:

$$A_{\tilde{\alpha}} u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$

and that

$$\tilde{\alpha}(-x) = \overline{\tilde{\alpha}(x)}$$

\mathcal{PT} -SYMMETRY (SPACE-TIME REFLECTION SYMMETRY)

Remember that:

$$A_{\tilde{\alpha}} u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$

and that

$$\tilde{\alpha}(-x) = \overline{\tilde{\alpha}(x)}$$

For a **symmetric obstacle** (i.e. $\rho(-x, y) = \rho(x, y)$), we have

$$A_{\tilde{\alpha}} \mathcal{Q} = \mathcal{Q} A_{\tilde{\alpha}}$$

where the operator \mathcal{Q} is defined by $\mathcal{Q}u(x, y) = \overline{u(-x, y)}$

\mathcal{PT} -SYMMETRY (SPACE-TIME REFLECTION SYMMETRY)

Remember that:

$$A_{\tilde{\alpha}} u = -\frac{1}{1 + \rho(x, y)} \left(\tilde{\alpha}(x) \frac{\partial}{\partial x} \left(\tilde{\alpha}(x) \frac{\partial u}{\partial x} \right) + \frac{\partial^2 u}{\partial y^2} \right)$$

and that

$$\tilde{\alpha}(-x) = \overline{\tilde{\alpha}(x)}$$

For a **symmetric obstacle** (i.e. $\rho(-x, y) = \rho(x, y)$), we have

$$A_{\tilde{\alpha}} \mathcal{Q} = \mathcal{Q} A_{\tilde{\alpha}}$$

where the operator \mathcal{Q} is defined by $\mathcal{Q}u(x, y) = \overline{u(-x, y)}$

We say that $A_{\tilde{\alpha}}$ is **\mathcal{PT} -symmetric** because $\mathcal{Q} = \mathcal{PT}$ where

$$\mathcal{P}u(x, y) = u(-x, y) \text{ and } \mathcal{T}u(x, y) = \overline{u(x, y)}$$

\mathcal{P} stands for parity and \mathcal{T} for "time reversal"

\mathcal{PT} -SYMMETRY (SPACE-TIME REFLECTION SYMMETRY)

SUMMARY

If the obstacle is symmetric:

$$A_{\tilde{\alpha}} Q = Q A_{\tilde{\alpha}}$$

where $Q = \mathcal{PT}$ is such that

$$\begin{cases} Q(\lambda u) = \bar{\lambda} Q u \\ Q^2 = I \end{cases}$$

CONSEQUENCES

- the spectrum of $A_{\tilde{\alpha}}$ is stable by complex conjugation:

$$\sigma(A_{\tilde{\alpha}}) = \overline{\sigma(A_{\tilde{\alpha}})}$$

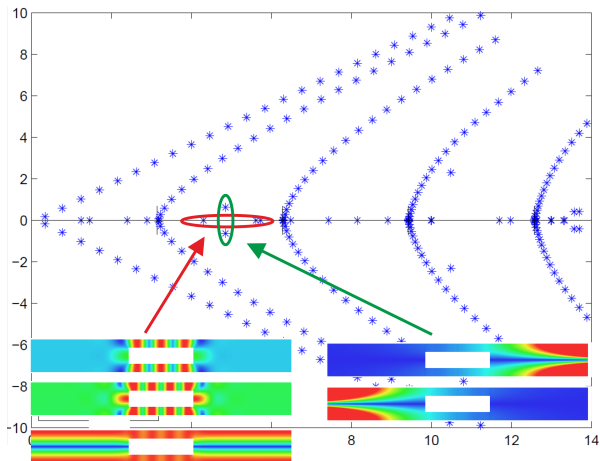
- if $\lambda \in \mathbb{R}$ is a simple eigenvalue, then for the eigenfield u :

$$|u(x, y)| = |u(-x, y)|$$

MODULUS OF EIGENFIELDS

By \mathcal{PT} -symmetry, if $\lambda \in \mathbb{R}$ is a simple eigenvalue, then:

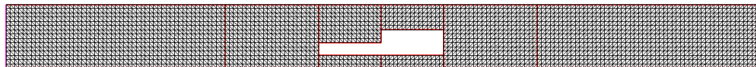
$$|u(x, y)| = |u(-x, y)|$$



NUMERICAL ILLUSTRATION

IN A NON \mathcal{PT} -SYMMETRIC CASE

Here the scatterer is a **not symmetric in x** , and neither in y :

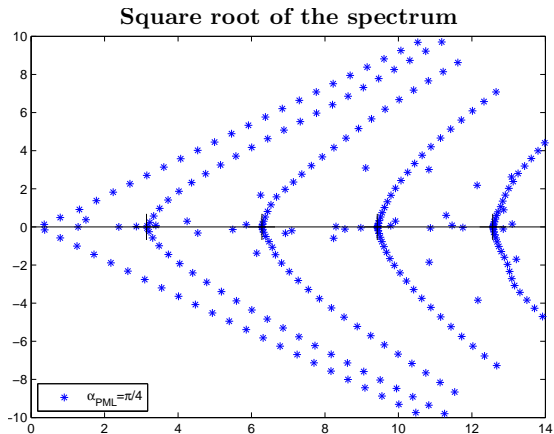


We expect:

- No trapped modes
- No invariance of the spectrum by complex conjugation

NUMERICAL ILLUSTRATION

IN A NON \mathcal{PT} -SYMMETRIC CASE

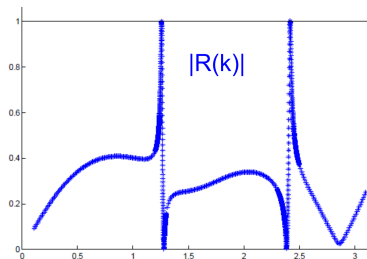


- The spectrum is no longer symmetric w.r.t. the real axis.
- There are several eigenvalues near the real axis.

NUMERICAL ILLUSTRATION

IN A NON \mathcal{PT} -SYMMETRIC CASE

Again results can be validated by computing $R(k)$ for $0 < k < \pi$:



$$k = 1.2803 + 0.0003i \quad k = 2.3868 + 0.0004i \quad k = 2.8650 + 0.0241i$$

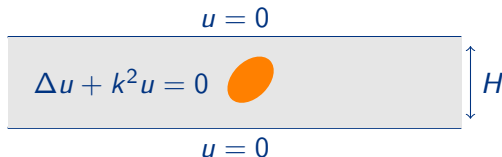
Complex eigenvalues also contain useful information about almost no-reflection.

OUTLINE

- 1 SPECTRUM OF TRAPPED MODES FREQUENCIES
- 2 SPECTRUM OF NO-REFLECTION FREQUENCIES
- 3 EXTENSIONS TO OTHER CONFIGURATIONS

DIRICHLET WAVEGUIDES

The same method applies for **Dirichlet boundary conditions**.

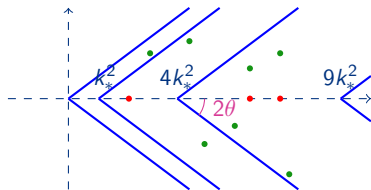


The main difference is the presence of the **cut-off value** $k_*^2 = \frac{\pi^2}{H^2}$.

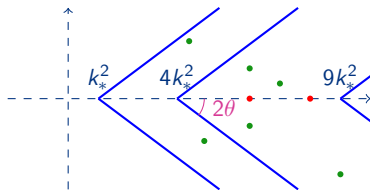
DIRICHLET WAVEGUIDES

The same method applies for **Dirichlet boundary conditions**.

Neumann case:



Dirichlet case:

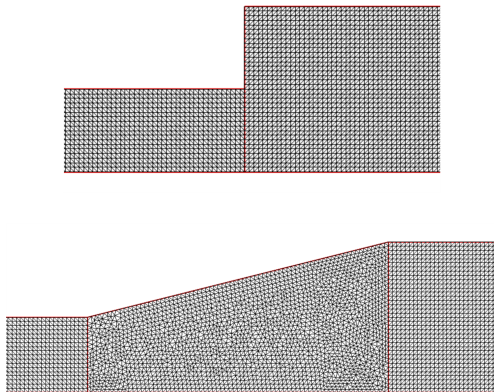


The main difference is the presence of the **cut-off value** $k_*^2 = \frac{\pi^2}{H^2}$.

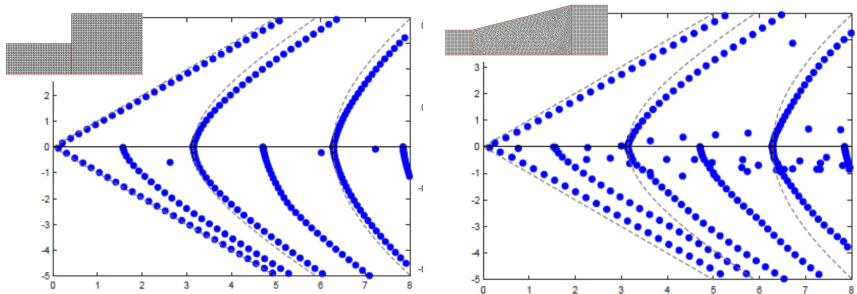
JUNCTION OF NEUMANN WAVEGUIDES

The same method can be applied to the junction of two different waveguides.

Let us compare an abrupt junction with an "adiabatic" one :



JUNCTION OF NEUMANN WAVEGUIDES



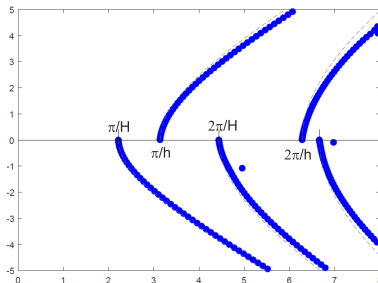
As expected:

- the essential spectrum is no-longer symmetric;
- there are much more eigenvalues close to the real axis for the "adiabatic" junction.

Our approach can provide a tool to quantify the efficiency of the junction.

JUNCTION OF DIRICHLET WAVEGUIDES

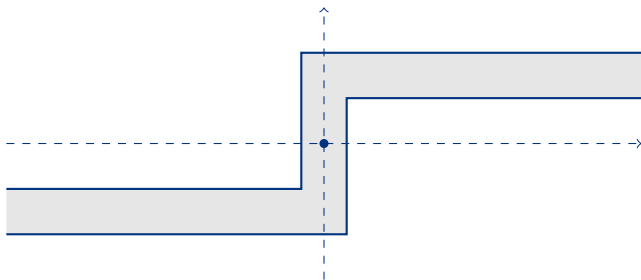
An interesting configuration is the junction of 2 different Dirichlet waveguides.



CONSEQUENCES

- Now $\mathbb{C} \setminus \sigma_{\text{ess}}(A_{\tilde{\alpha}})$ is a **connected** set!
- Our "new" eigenvalues correspond in fact to **classical complex resonances in non-classical sheets** of the Riemann surface.....

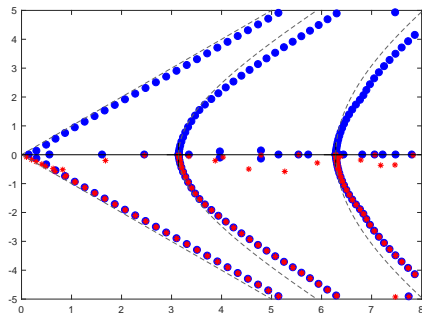
A PT-SYMMETRIC JUNCTION



A NEW CHOICE OF PARITY

Here $\mathcal{P}u(x, y) = u(-x, -y)$

A PT-SYMMETRIC JUNCTION



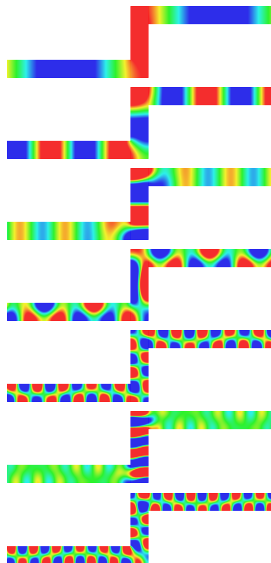
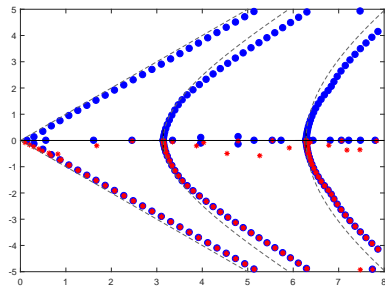
In red: classical complex scaling

In blue: conjugate complex scaling

We can check that there are **no trapped modes** (no red eigenvalues on the real axis).

A PT-SYMMETRIC JUNCTION

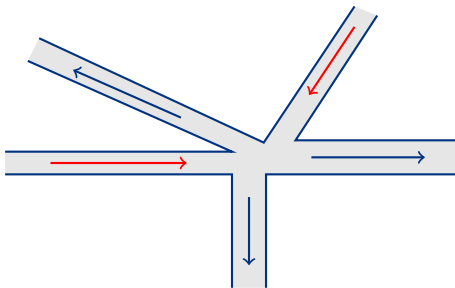
The modes associated to the 7 first real eigenvalues :



A PT-SYMMETRIC JUNCTION

with the corresponding incident wave (which is a linear combination of 2 propagating modes):

MULTI-PORT WAVEGUIDES JUNCTION



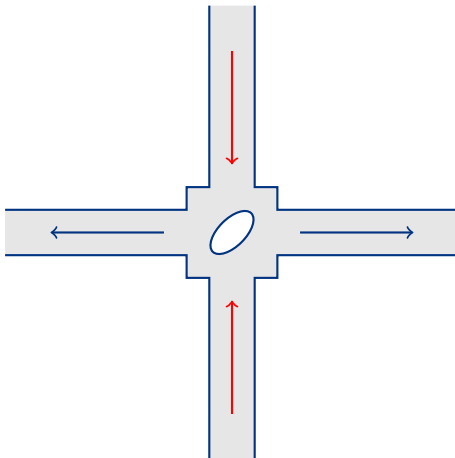
OBJECTIVE

Find (k, u) such that u is ingoing in some ports and outgoing in the others.

For an N -ports junction, there are 2^{N-1} such problems and corresponding spectra.

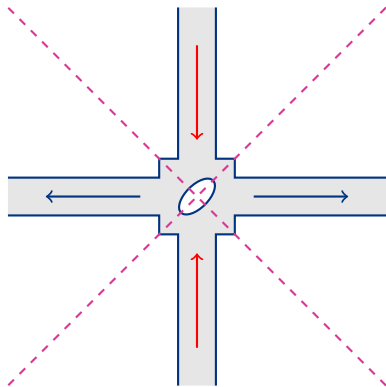
MULTIPOINT WAVEGUIDES JUNCTION

This is a **bar-bar** example of such problem:



MULTI-PORT WAVEGUIDES JUNCTION

This is a **bar-bar** example of such problem:

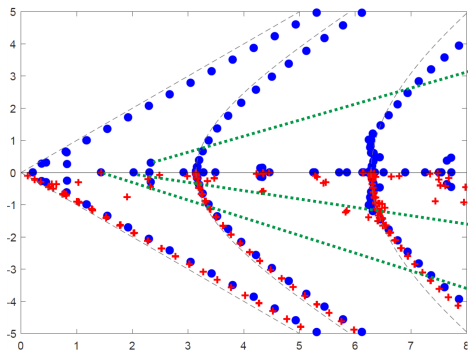


- There are two axes of \mathcal{PT} -symmetry!
- There is also a (classical) central symmetry.

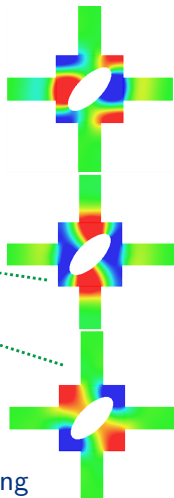
MULTIPORT WAVEGUIDES JUNCTION

The eigenmodes are all symmetric or antisymmetric:

$$u(-X, -Y) = \pm u(X, Y)$$



In red: classical complex scaling
In blue: conjugate complex scaling

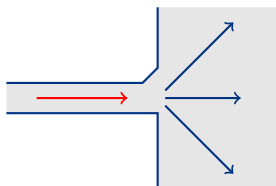


MULTI-PORT WAVEGUIDES JUNCTION

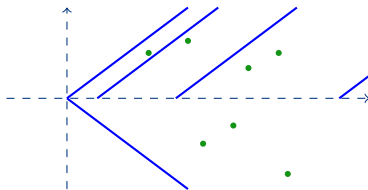
MULTI-PORT WAVEGUIDES JUNCTION

THE BAFFLED WAVEGUIDE

A last (important) application concerns the radiation from a semi-infinite baffled waveguide:



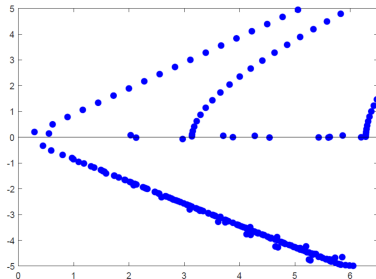
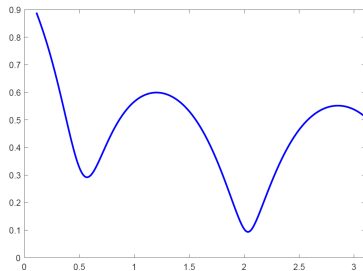
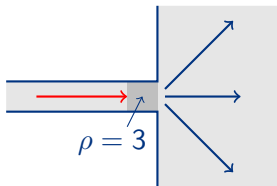
The expected spectrum is as follows:



In the half-space, we apply a complex scaling in the radial coordinate (**radial PML**).

THE BAFFLED WAVEGUIDE

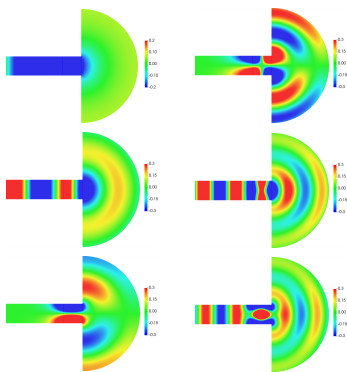
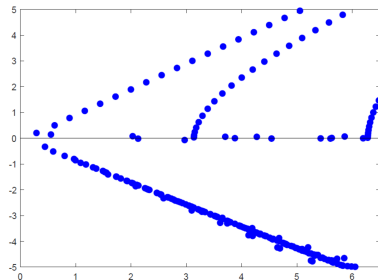
The geometry:



Again, minima of $|R(k)|$ correspond to eigenvalues near the real axis !

THE BAFFLED WAVEGUIDE

The modes associated to the 6 first eigenvalues near the real axis:



CONCLUSION

There is still a lot of work to do !

- Treat the case of **diffractive gratings**.
- Justify the numerics (**absence of spectral pollution**).
- **Clarify the link** between our new spectrum and classical resonance frequencies.
- Find similar spectral approaches for **other phenomena in waveguides** (perfect invisibility, total reflection, modal conversion, etc...)
- ...

A part of these results have been published in:

Trapped modes and reflectionless modes as eigenfunctions of the same spectral problem, Anne-Sophie Bonnet-BenDhia, Lucas Chesnel and Vincent Pagneux, Proceedings of the Royal Society A, 2018.

