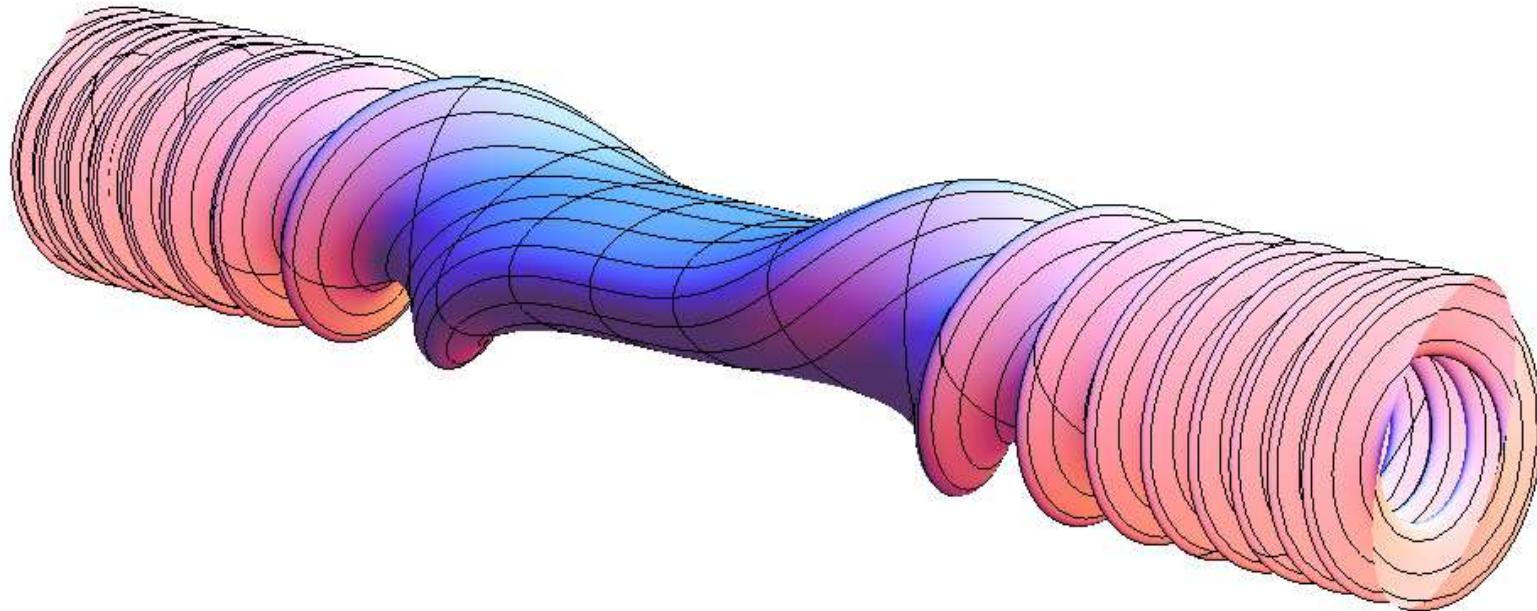


Waveguides with asymptotically diverging twisting

David KREJČIŘÍK

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Czech Technical University in Prague



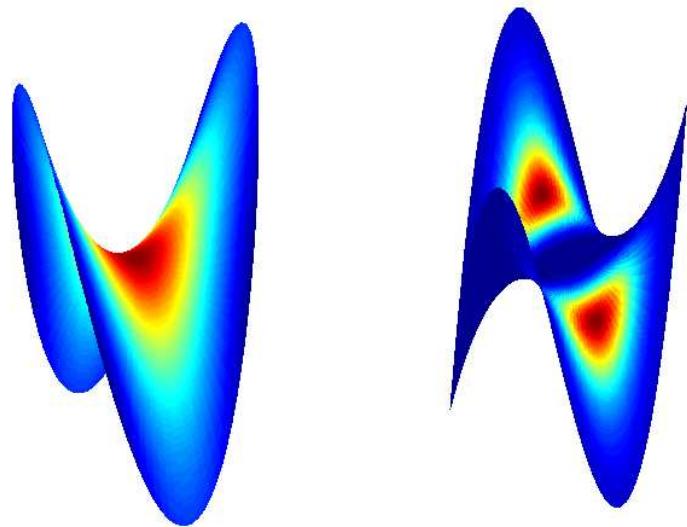
Based on:

- Applied Mathematics Letters 46 (2015) [with nobody]
- Annales Henri Poincaré 19 (2018) [with Rafael Tiedra de Aldecoa]
- ZAMP (2019) [with Philippe Briet & Hamza Abdou-Soimadou]

Outline

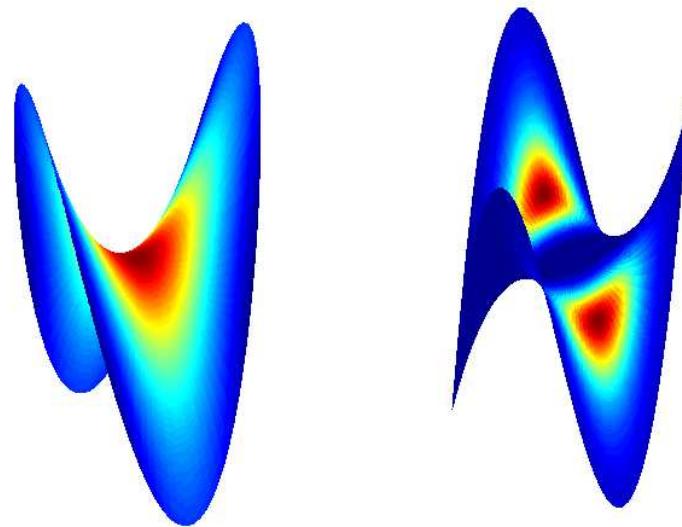
Outline

0. Spectral-geometric motivations

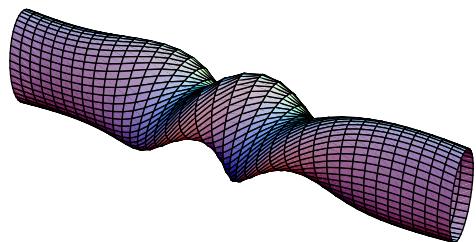


Outline

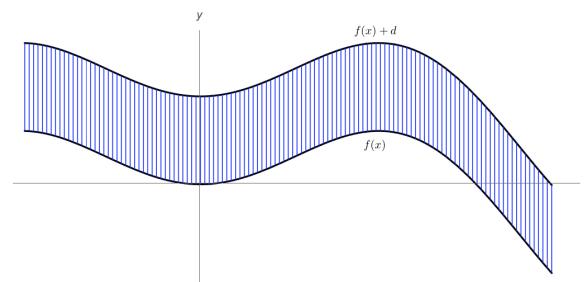
0. Spectral-geometric motivations



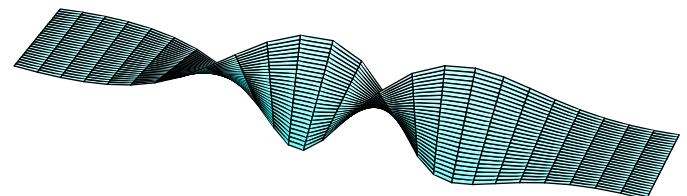
1. Twisted tubes



2. Sheared ribbons

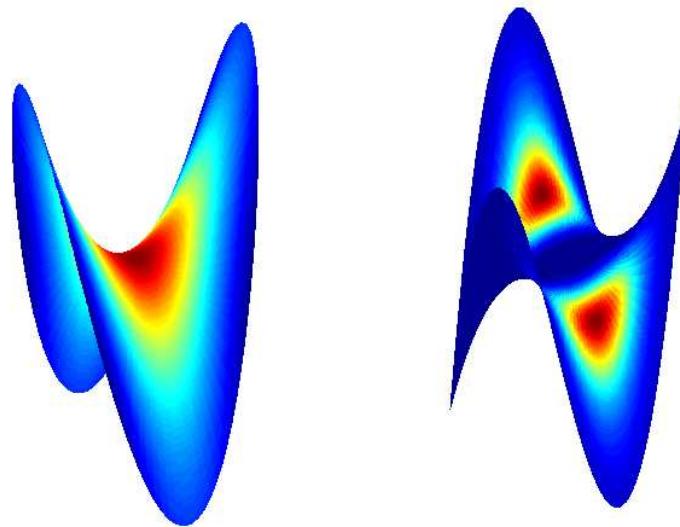


3. Ruled strips

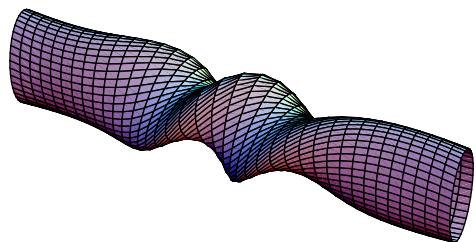


Outline

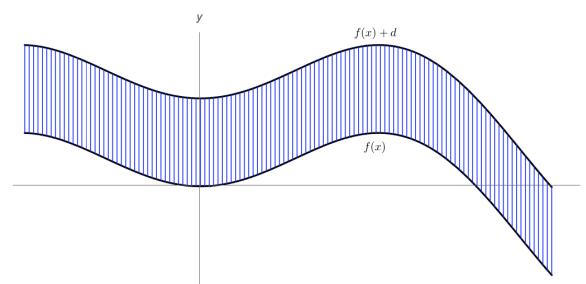
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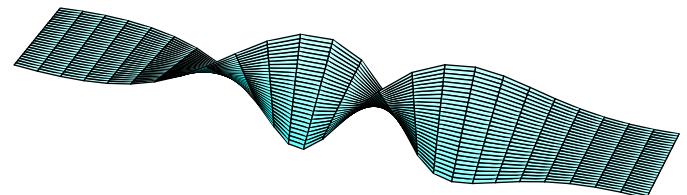
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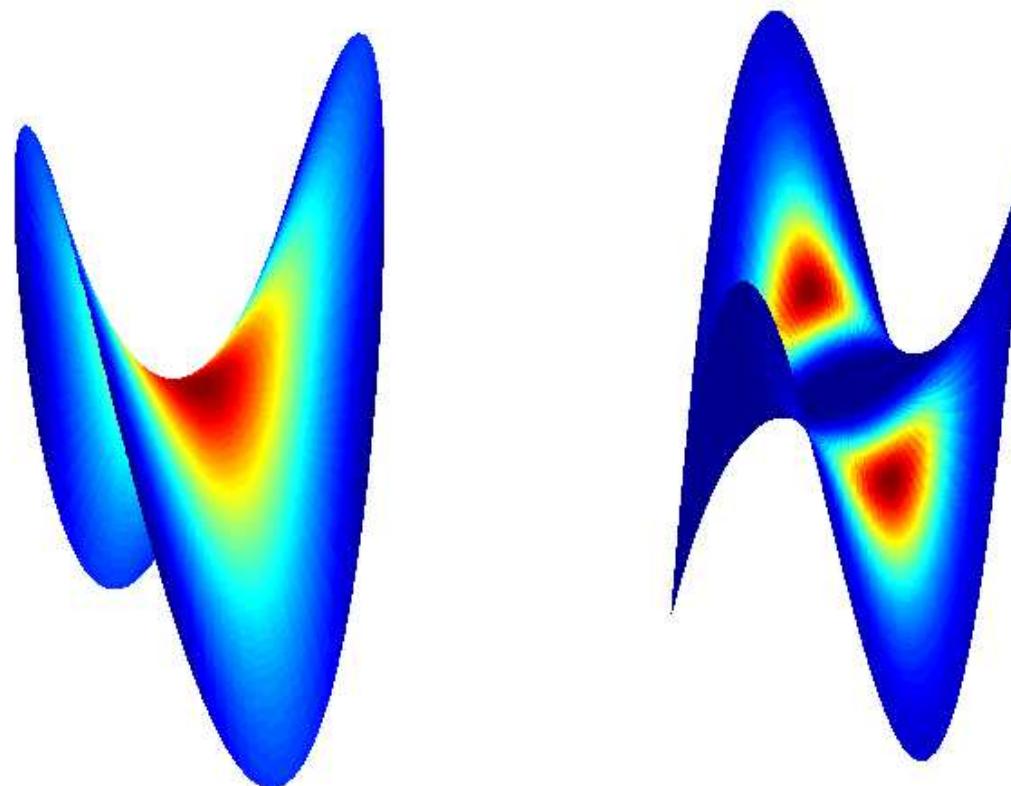
3. Ruled strips



4. Conclusions

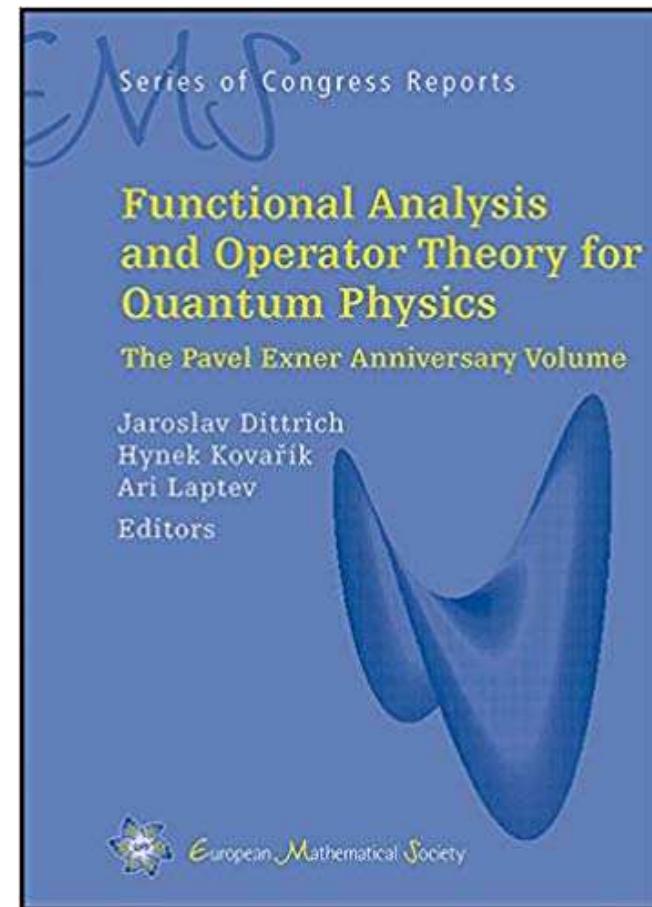
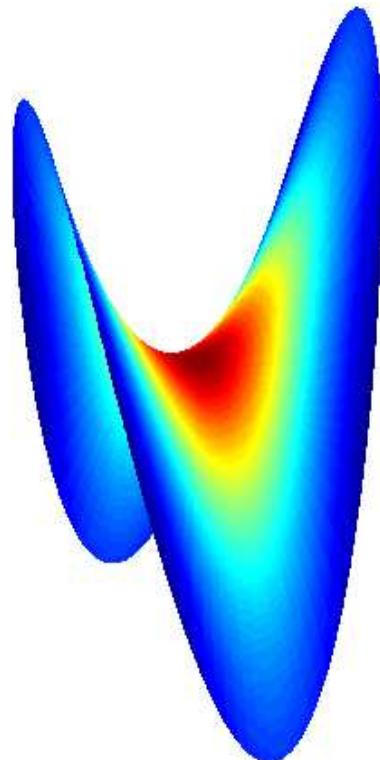
Part 0.

Spectral-geometric motivations



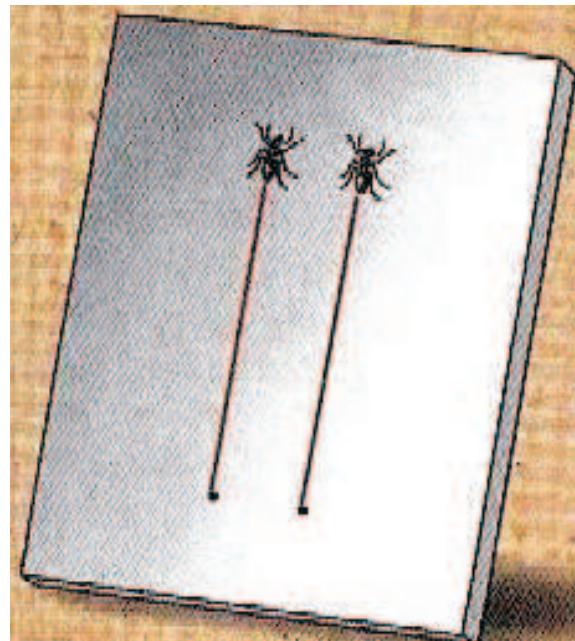
Part 0.

Spectral-geometric motivations



Which geometry is better to travel in ?

$K = 0$



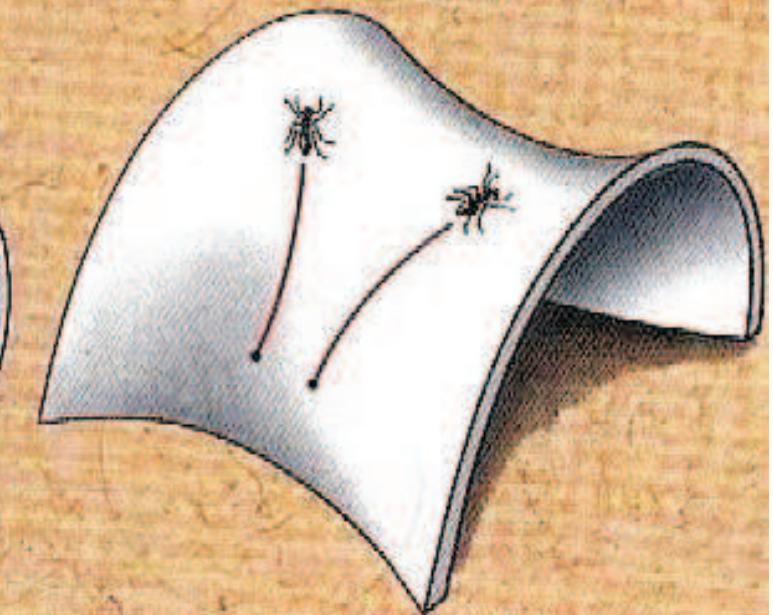
Zero curvature

$K > 0$



Positive curvature

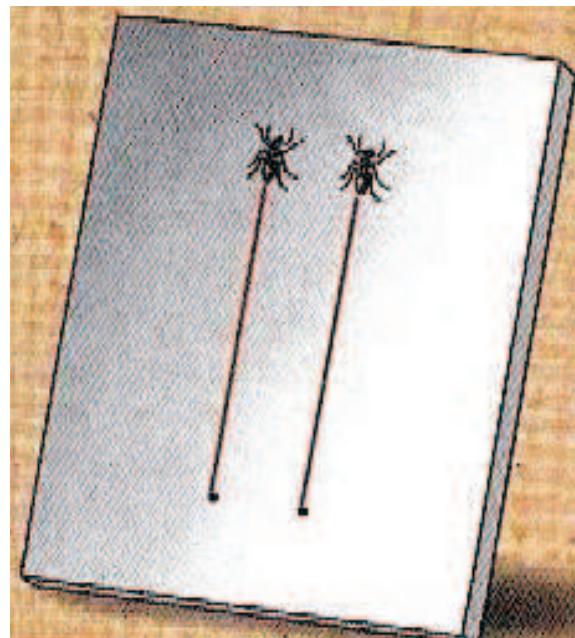
$K < 0$



Negative curvature

Which geometry is better to travel in ?

$$K = 0$$



Zero curvature

critical

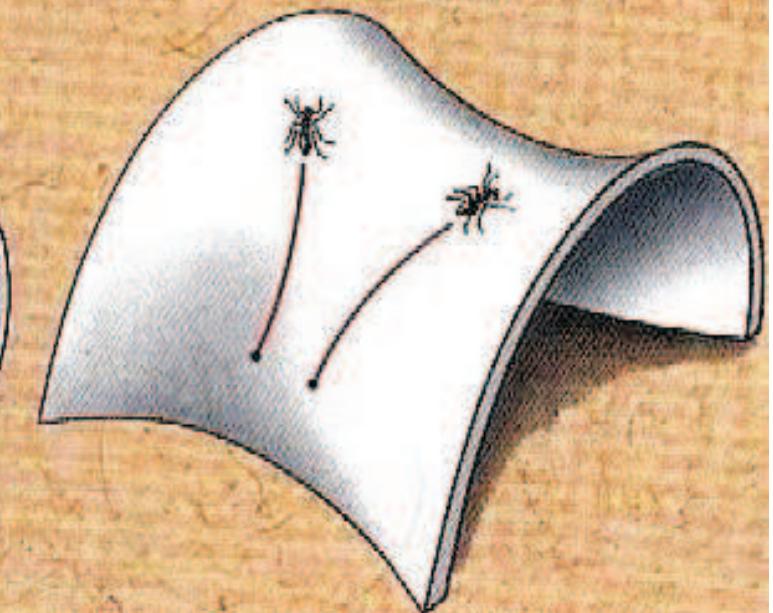
$$K > 0$$



Positive curvature

bad

$$K < 0$$

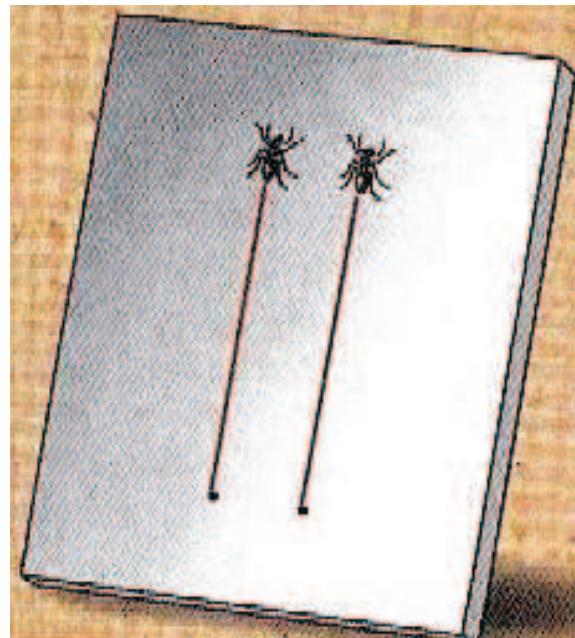


Negative curvature

good

Which geometry is better to travel in ?

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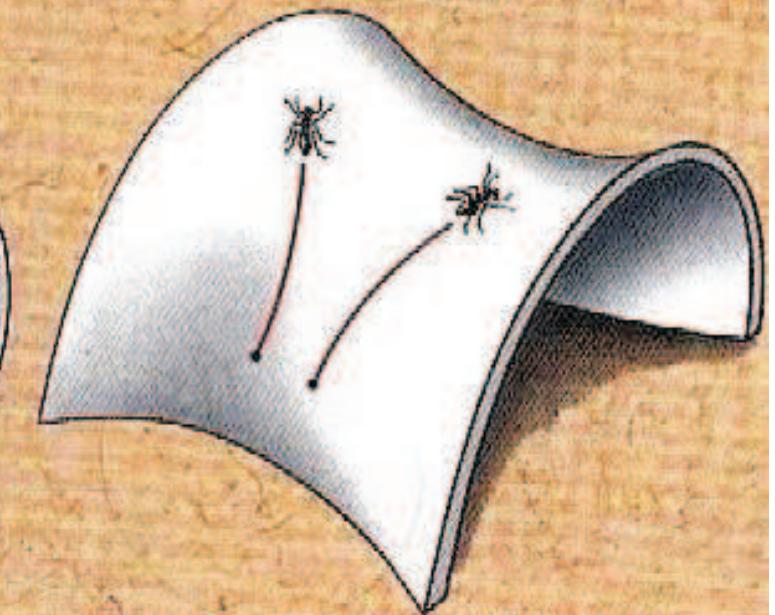
Zero curvature

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Positive curvature

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Negative curvature

critical

bad

good

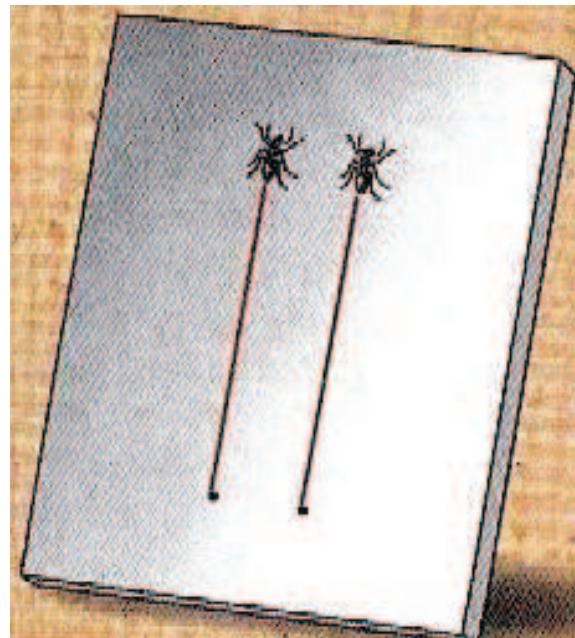
$$\sigma(-\Delta) = [0, \infty)$$

$$\sigma(-\Delta) = \{\ell(\ell+1)K\}_{\ell=0}^{\infty}$$

$$\sigma(-\Delta) = [\frac{|K|}{4}, \infty)$$

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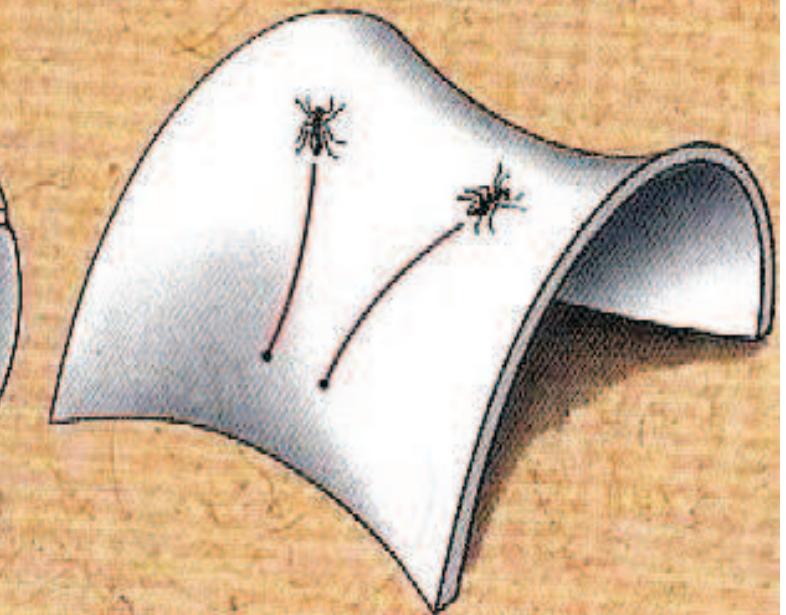
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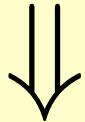
$$\|e^{-t(-\Delta)}\| = e^{-t \min \sigma(-\Delta)}$$

From super-transport to no-transport

[Donnelly, Li 1979]

Let $-\Delta$ be the Laplacian of a complete surface with finitely generated fundamental group.

$$K(x) \xrightarrow[|x| \rightarrow \infty]{} -\infty$$



$$\sigma(-\Delta) = \sigma_{\text{disc}}(-\Delta)$$

From super-transport to no-transport

[Donnelly, Li 1979]

Let $-\Delta$ be the Laplacian of a complete surface with finitely generated fundamental group.

$$\begin{array}{ccc} K(x) & \xrightarrow[|x|\rightarrow\infty]{} & -\infty \\ & \downarrow & \\ \sigma(-\Delta) & = & \sigma_{\text{disc}}(-\Delta) \end{array}$$

NB ($K = 0$)

$$V(x) \xrightarrow[|x|\rightarrow\infty]{} +\infty \implies \sigma(-\Delta + V) = \sigma_{\text{disc}}(-\Delta + V)$$

From super-transport to no-transport

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Let $-\Delta$ be the Laplacian of a complete surface with finitely generated fundamental group.

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NB ($K = 0$)

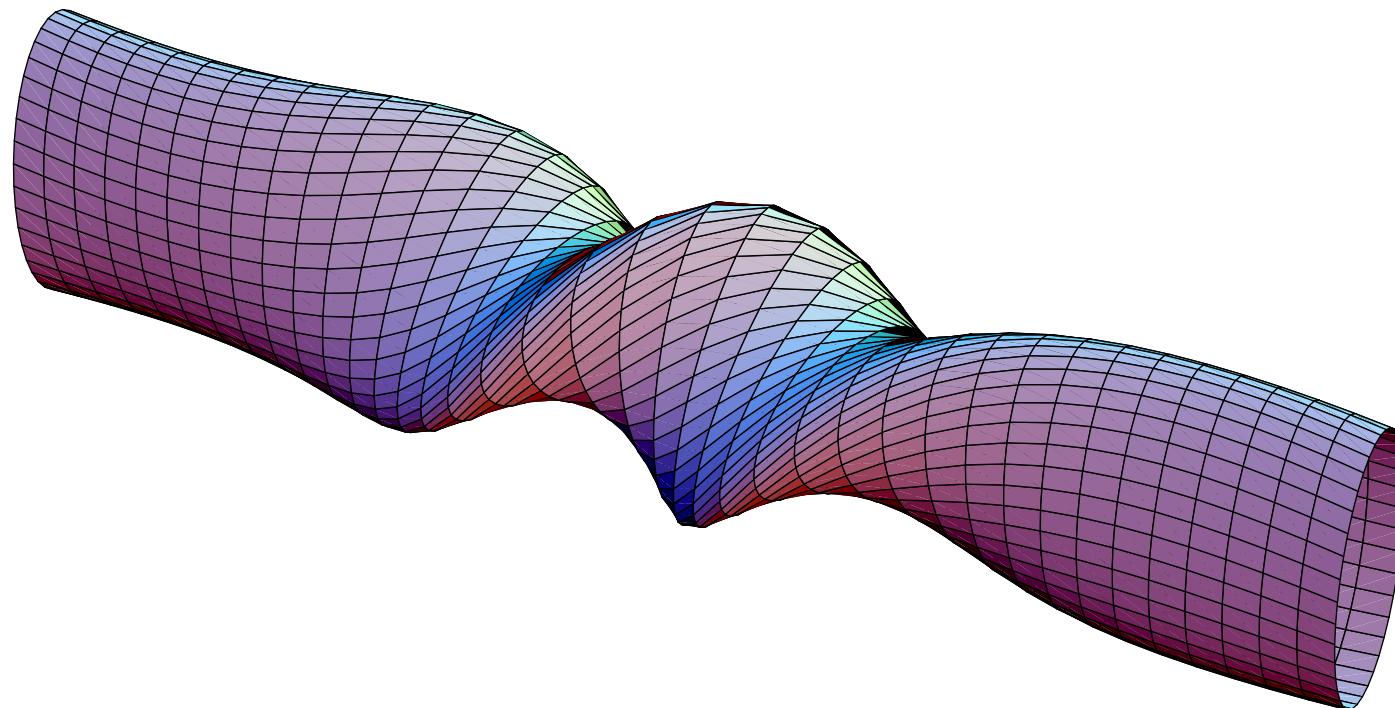
$$V(x) \xrightarrow[|x|\rightarrow\infty]{} +\infty \implies \sigma(-\Delta + V) = \sigma_{\text{disc}}(-\Delta + V)$$

$$|B(x)| \xrightarrow[|x|\rightarrow\infty]{} +\infty \implies \sigma((-i\nabla + A)^2) = \sigma_{\text{disc}}((-i\nabla + A)^2)$$

$$B = \text{rot } A$$

Part 1.

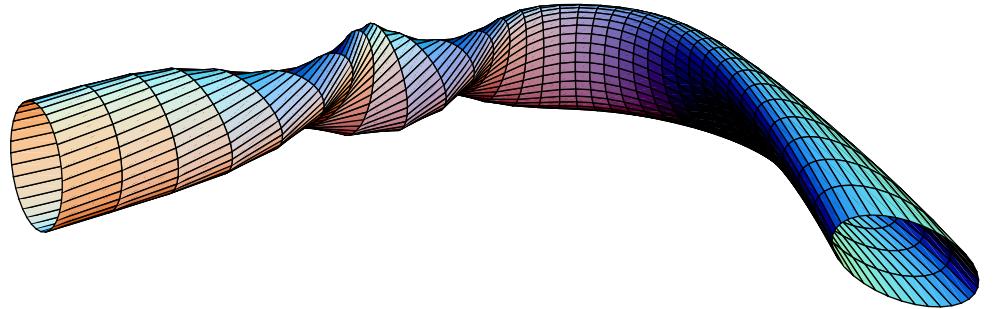
Twisted tubes



Twisting versus bending in curved waveguides

$$-\Delta_D^\Omega \text{ in } L^2(\Omega)$$

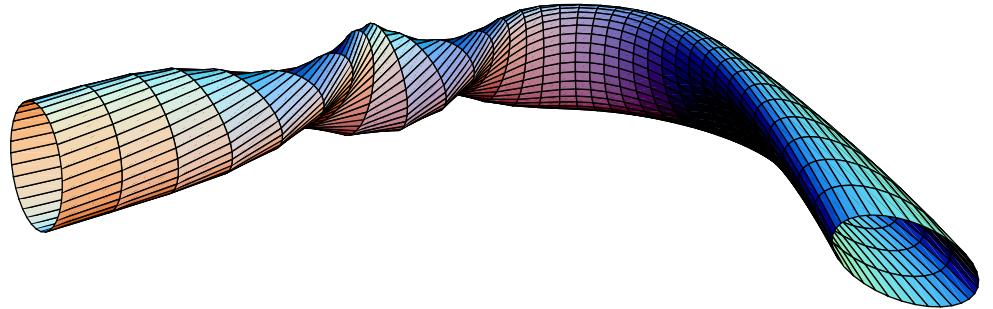
$\Omega :=$ local deformation of $\mathbb{R} \times \omega$
 $\omega \subset \mathbb{R}^2$ bounded domain (cross-section)



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$\Omega :=$ local deformation of $\mathbb{R} \times \omega$
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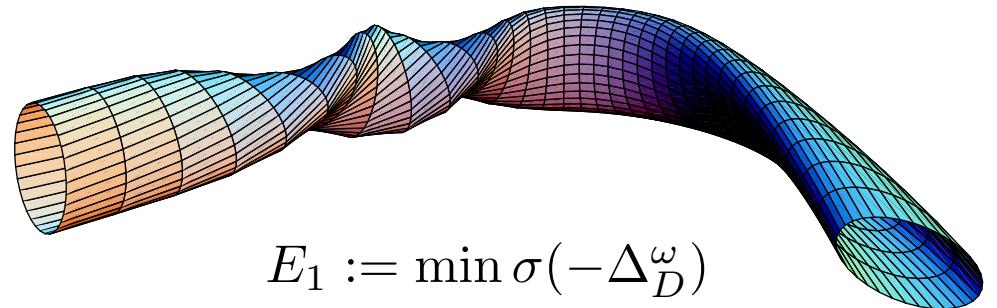


geometry of Ω \longleftrightarrow spectrum of $-\Delta_D^\Omega$

Twisting versus bending in curved waveguides

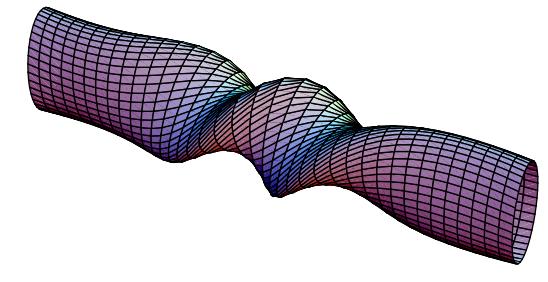
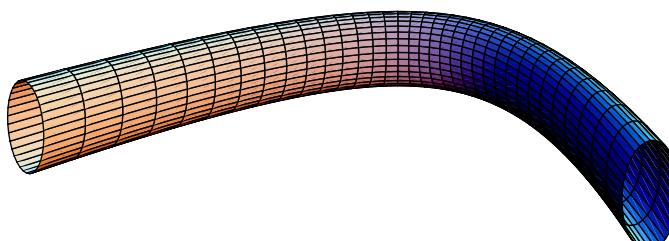
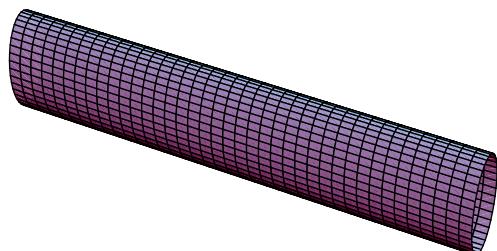
$-\Delta_D^\Omega$ in $L^2(\Omega)$

$\Omega :=$ local deformation of $\mathbb{R} \times \omega$
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geometry of Ω \longleftrightarrow spectrum of $-\Delta_D^\Omega$

Ω	straight (1800 ?) ¹	bent (1989) ²	twisted (2008) ³
interaction	<i>null</i>	<i>attractive</i>	<i>repulsive</i>
spectrum	0 E_1	0 E_1	0 E_1
math	criticality	bound states	Hardy inequalities



¹Laplace, Helmholtz ?

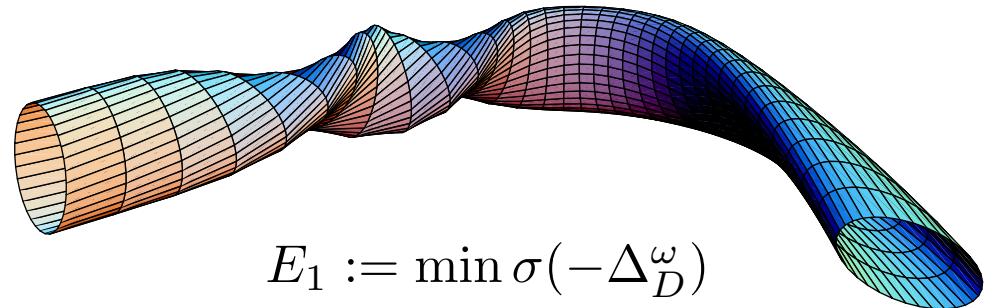
²Exner - Šeba, ...
[J. Math. Phys. 30]

³Ekholm - Kovařík - D.K.
[Arch. Ration. Mech. Anal. 188]

Twisting versus bending in curved waveguides

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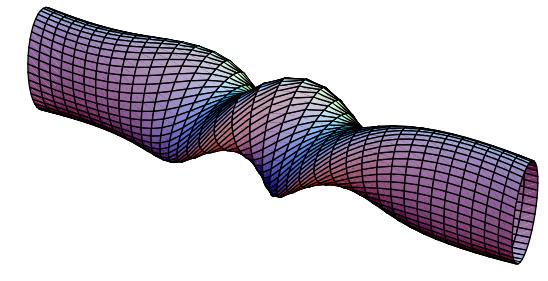
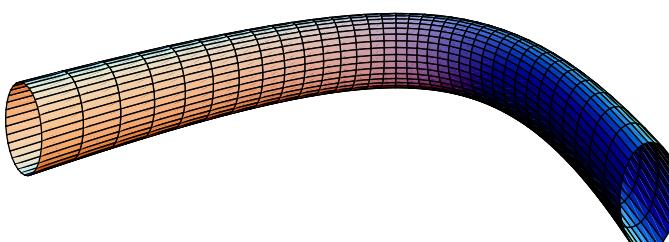
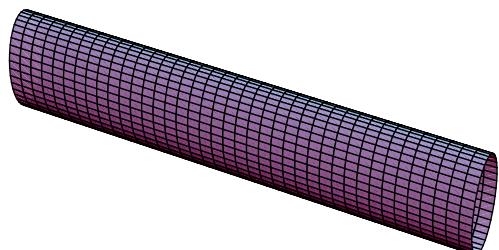
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spectrum	0 E_1	0 E_1	0 E_1
math	criticality	bound states	Hardy inequalities

analogy

$K = 0$

$K > 0$

$K < 0$



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²Exner - Šeba, ...
[J. Math. Phys. 30]

³Ekholm - Kovařík - D.K.
[Arch. Ration. Mech. Anal. 188]

From local to global twisting

$$\Omega := \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta(s) & \sin \theta(s) \\ 0 & -\sin \theta(s) & \cos \theta(s) \end{pmatrix} \begin{pmatrix} s \\ t_1 \\ t_2 \end{pmatrix} : (s, t_1, t_2) \in \mathbb{R} \times \omega \right\}$$

$\theta \in W_{\text{loc}}^{1,\infty}(\mathbb{R})$
twisting angle

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$$-\Delta_D^\Omega \quad \text{in } L^2(\Omega) \quad \cong \quad -(\partial_s - \theta'(s) \partial_\tau)^2 - \Delta_t \quad \text{in } L^2(\mathbb{R} \times \omega)$$

$\partial_\tau := t_2 \partial_{t_1} - t_1 \partial_{t_2}$ (angular derivative)

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$$\theta'(s) \xrightarrow[|s| \rightarrow \infty]{} 0 \quad (\text{local})$$

[Ekholm, Kovářík, D.K. ARMA 2008]

$$\sigma(-\Delta_D^\Omega) = [E_1, \infty),$$

$$E_1 := \min_{\substack{f \in W_0^{1,2}(\omega) \\ f \neq 0}} \frac{\int_\omega |\nabla f|^2}{\int_\omega |f|^2},$$

$$-\Delta_D^\Omega - E_1 \geq \frac{c}{1+s^2}$$

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[Ekholm, Kovařík, D.K. ARMA 2008] Received 10 June 2005

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[Briet, Kovařík, Raikov, Soccorsi 2009], [D.K., Zuazua 2010], [Briet, Hammedi, D.K. 2015], ...

From global to diverging twisting

$$|\theta'(s)| \xrightarrow[|s| \rightarrow \infty]{} \infty$$

[D.K. *Appl. Math. Lett.* 2015]

i $|\Omega| = \infty !$

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Theorem 1 (quasi-conical realisation). If $0 \in \omega$, then

$$\sigma(-\Delta_D^\Omega) \supset [\mu_1, \infty)$$

(essential spectrum is not empty)

where $\mu_1 := \min \sigma(-\Delta_D^{B_r})$ with $r := \text{dist}(0, \partial\omega)$.

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Theorem 2 (quasi-bounded realisation). If $\omega \subset \{(t_1, t_2) \in \mathbb{R}^2 : t_1 > 0\}$, then

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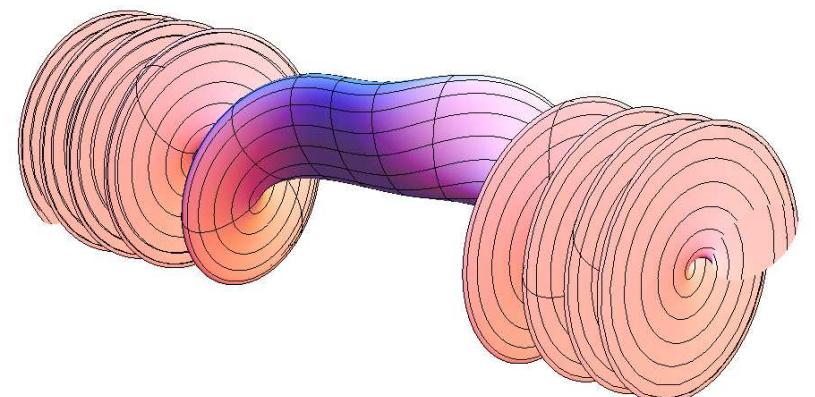
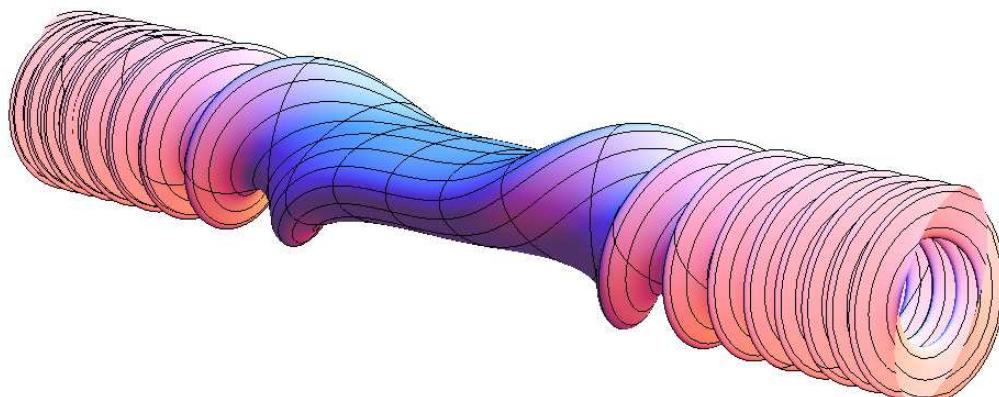
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Proof.



q.e.d.

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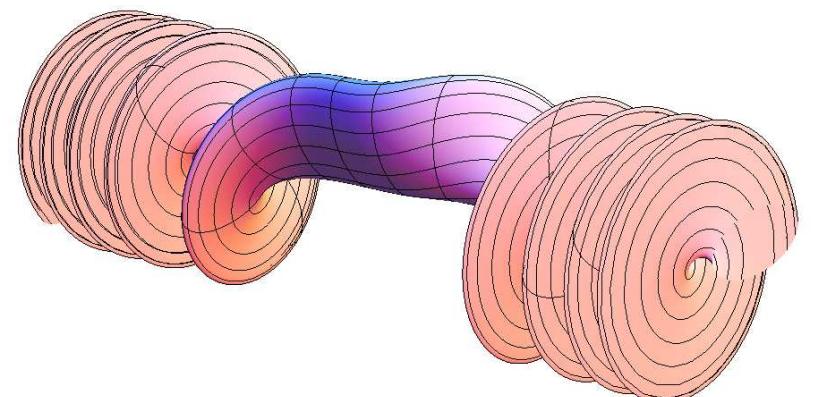
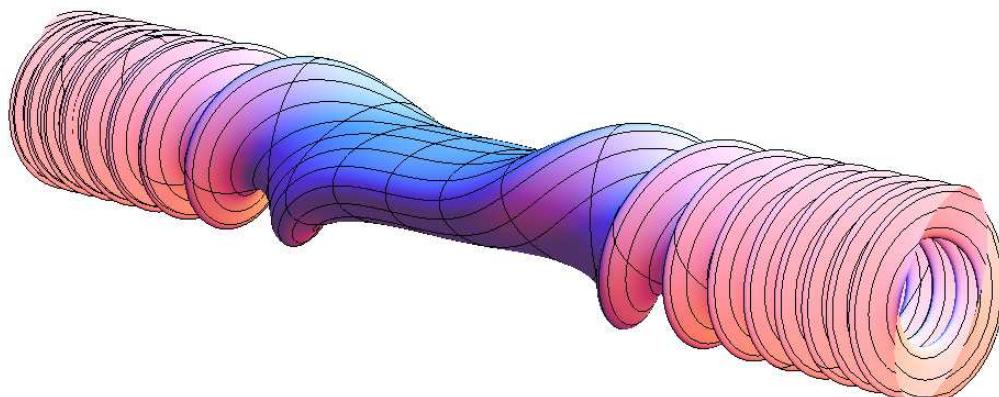
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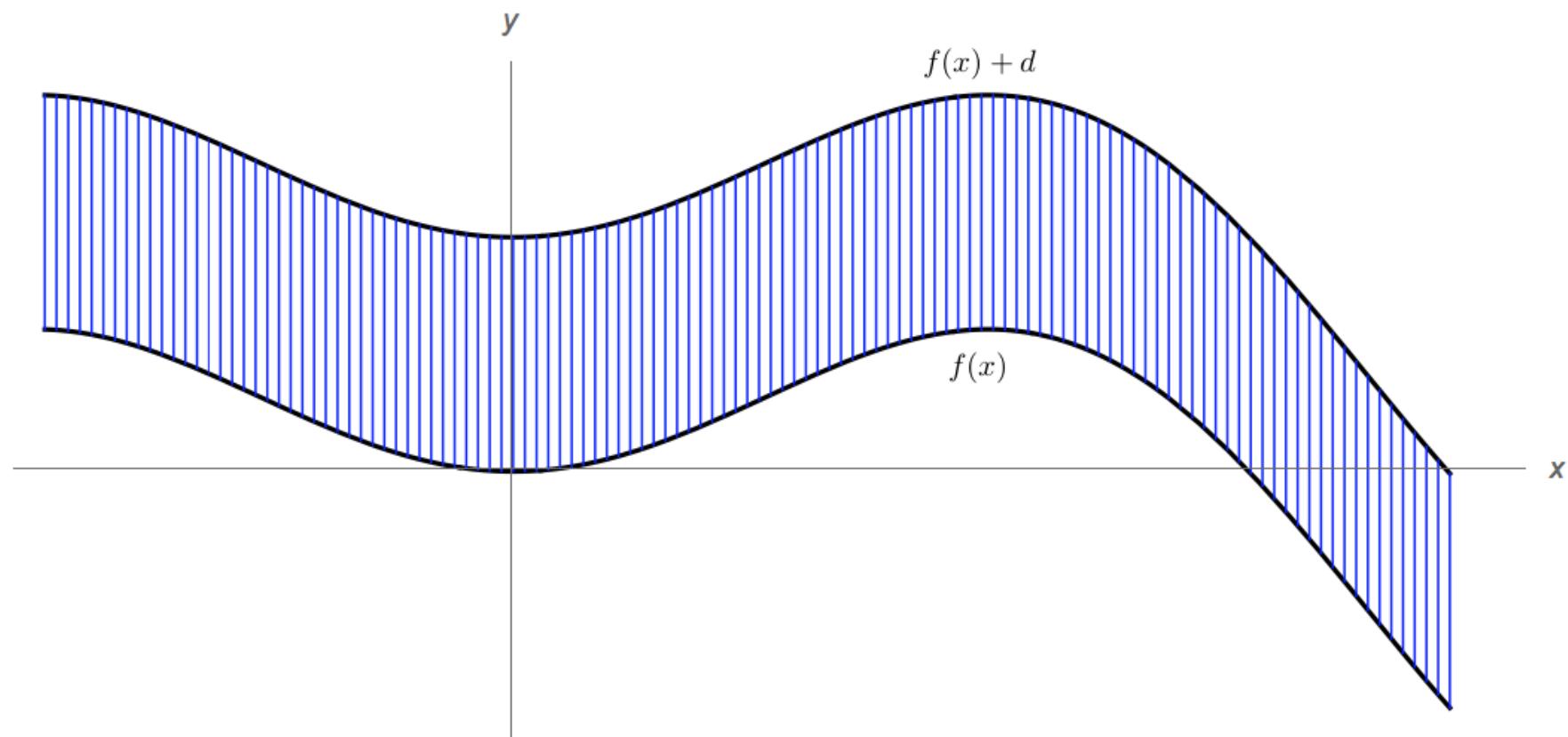


q.e.d.

[Barseghyan, Khrabustovskyi 2018]: a Berezin-type upper bound for the eigenvalue moments.

Part 2.

Sheared ribbons



Toy model for twisted waveguides

[Briet, D.K. 2018]

i $|\Omega| = \infty !$

$$\Omega := \left\{ \begin{pmatrix} s \\ \textcolor{blue}{f}(s) + t \end{pmatrix} : (s, t) \in \mathbb{R} \times (0, d) \right\} \quad f \in W_{\text{loc}}^{1,\infty}(\mathbb{R}) \quad \text{shear deformation}$$

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Theorem (quasi-bounded realisation). If $\beta = \pm\infty$, then

$$\sigma(-\Delta_D^\Omega) = \sigma_{\text{disc}}(-\Delta_D^\Omega) \quad (\text{essential spectrum is empty})$$

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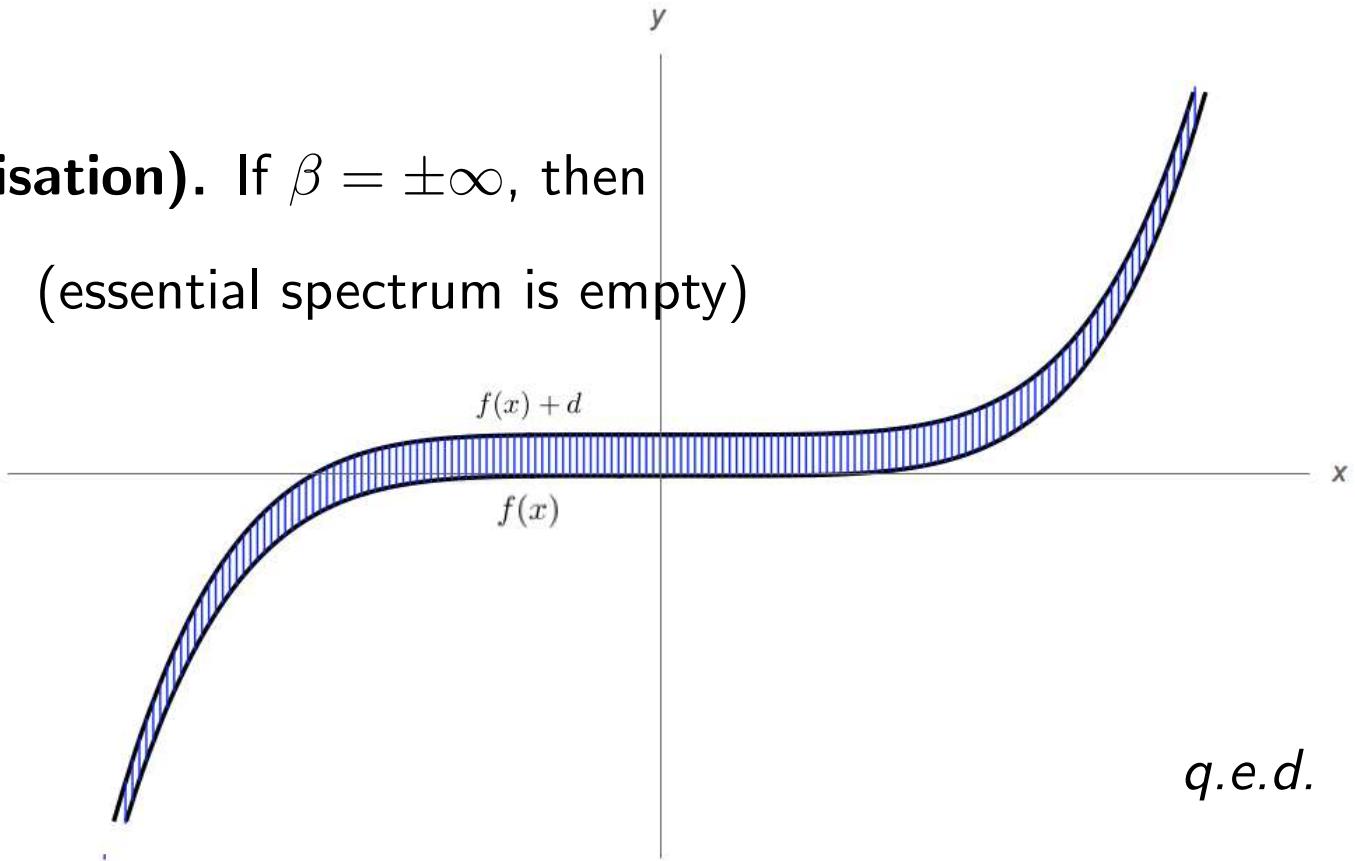
$$\sigma(-\Delta_D^\Omega) = \sigma_{\text{disc}}(-\Delta_D^\Omega)$$

(essential spectrum is empty)

Proof.

$$\limsup_{\substack{|x| \rightarrow \infty \\ x \in \Omega}} |B_1(x) \cap \Omega| = 0$$

[Berger, Schechter 1972]



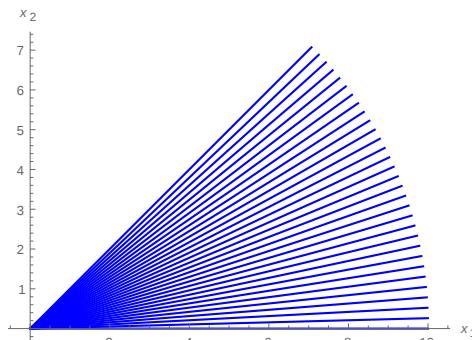
Analogy with curved wedges

[D.K. Portugal. Math. 2016]

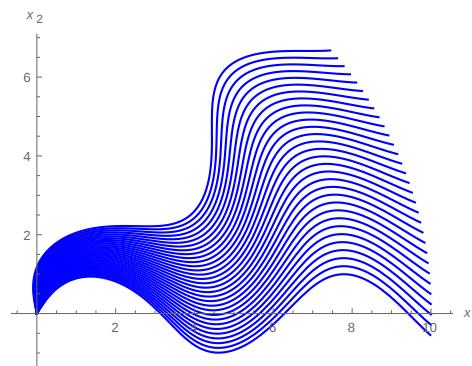
i $|\Omega| = \infty !$

$$\Omega := \left\{ \begin{pmatrix} s \cos[f(s) + t] \\ s \sin[f(s) + t] \end{pmatrix} : (s, t) \in (0, \infty) \times (0, 2\pi d) \right\}$$

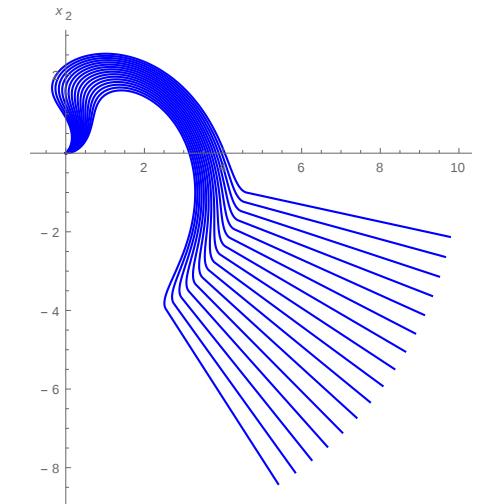
$$f \in W_{\text{loc}}^{1,\infty}([0, \infty), s \, ds)$$



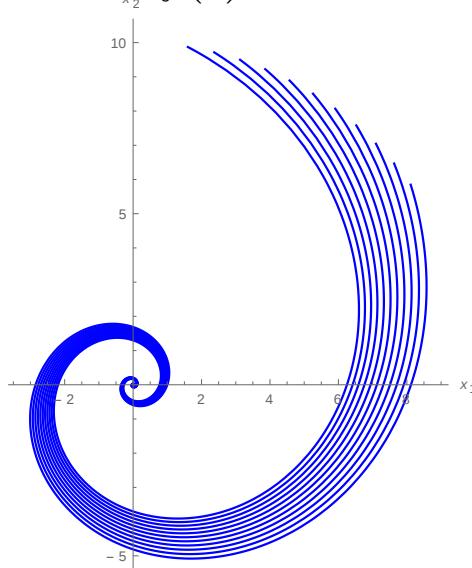
$$f(s) = 0$$



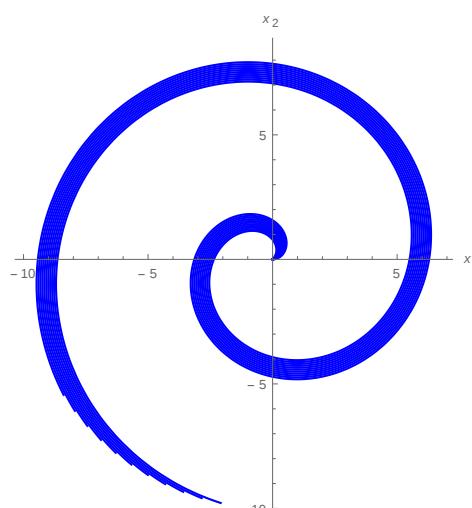
$$f(s) = \sin(s)/s$$



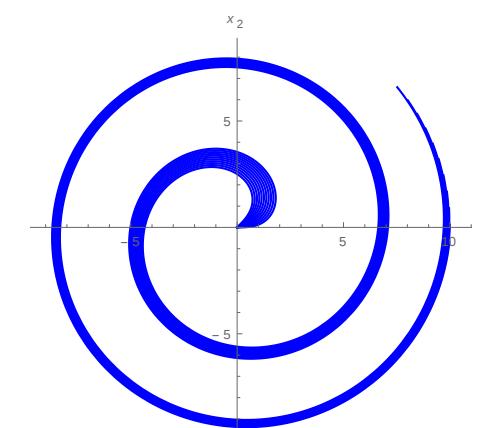
$$f(s) = \begin{cases} \sin(s), & s \leq 3\pi/2 \\ -1, & s > 3\pi/2 \end{cases}$$



$$f(s) = 3 \log(s)$$



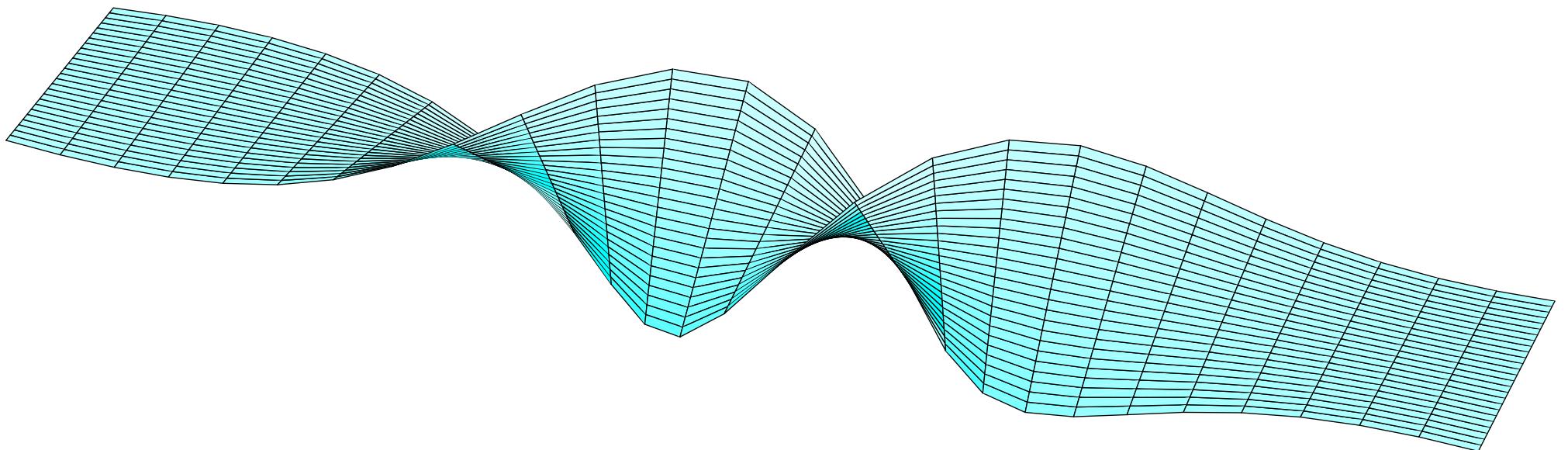
$$f(s) = s$$



$$f(s) = s^2/8$$

Part 3.

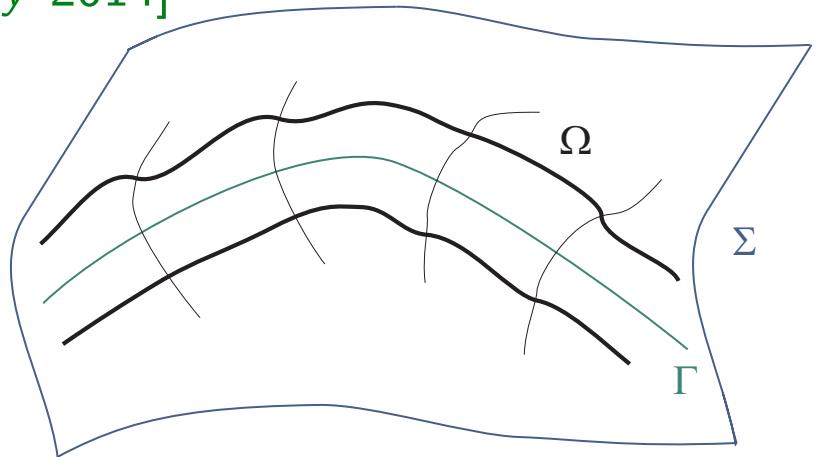
Ruled strips



The Brownian traveller on manifolds

[Kolb, D.K. *J. Spectr. Theory* 2014]

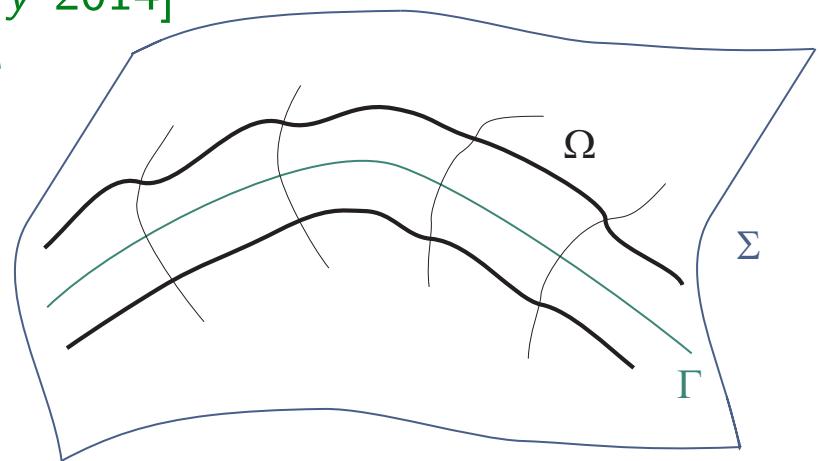
$\Omega :=$ tubular neighbourhood of an infinite geodesic Γ
on a *locally* deformed plane Σ of curvature K



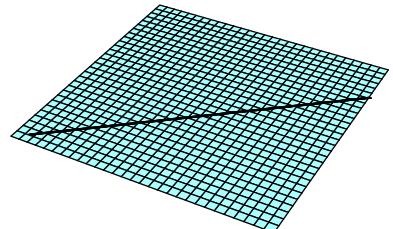
The Brownian traveller on manifolds

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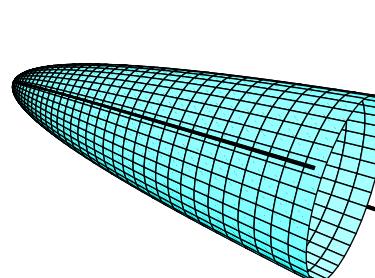
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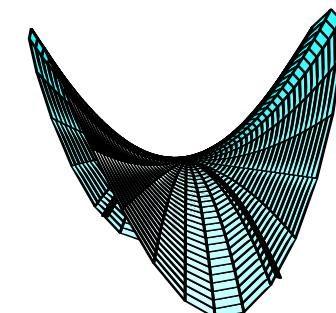
Ω	$K = 0$ (1800 ?) ¹	$K > 0$ (2003) ²	$K < 0$ (2006) ³
interaction	<i>null</i>	<i>attractive</i>	<i>repulsive</i>
spectrum	0 E_1	0 E_1	0 E_1
math	criticality	bound states	Hardy inequalities



¹Laplace, Helmholtz ?



²D.K. 2003
[*J. Geom. Phys.* 45]

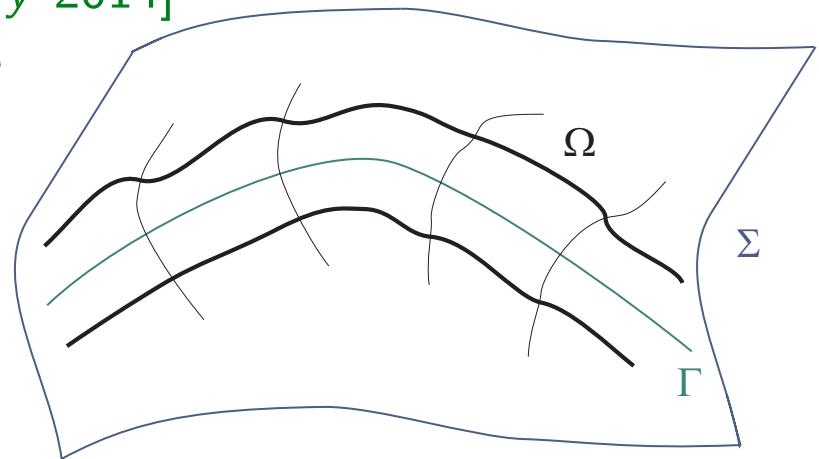


³D.K. 2006
[*J. Ineq. Appl.* 2006]

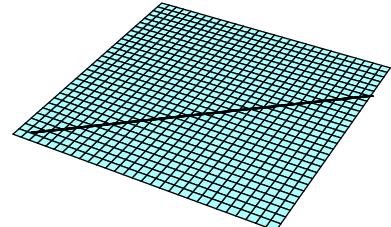
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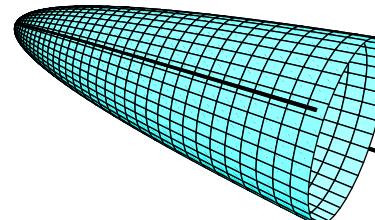
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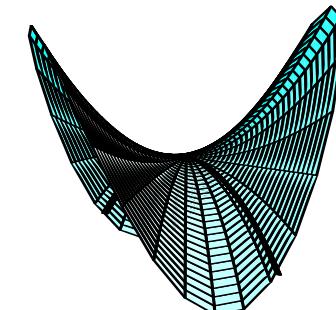
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analogy	straight	bent	twisted



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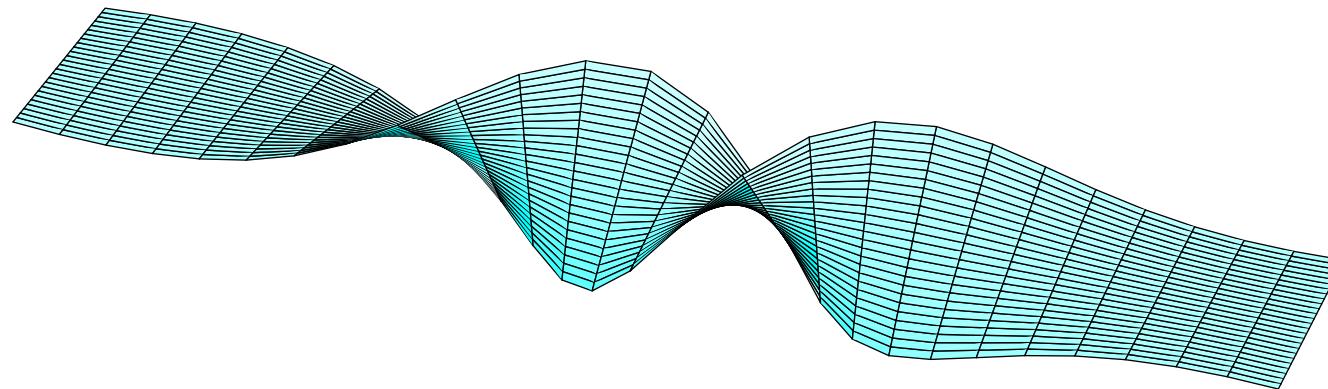
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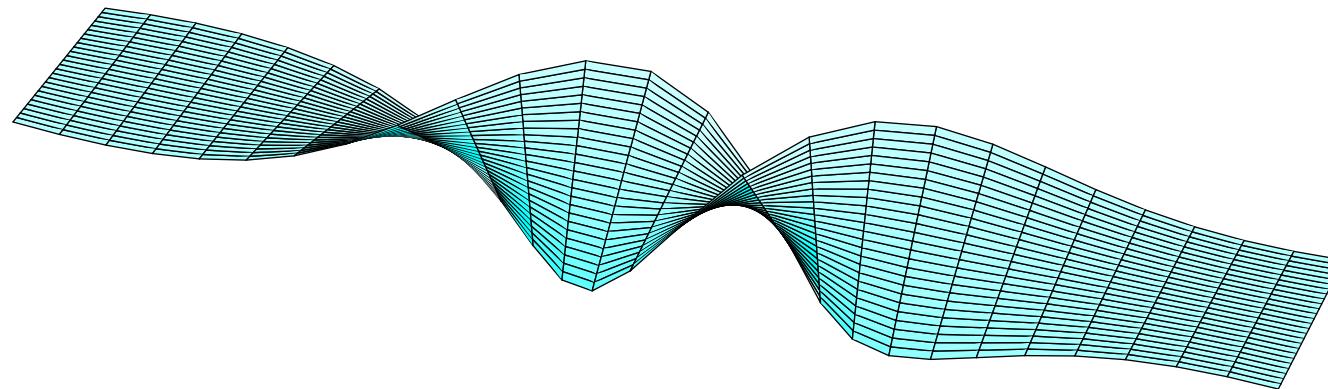
Negative curvature meets twisting

$$\Omega := \left\{ \begin{pmatrix} s \\ t \cos \theta(s) \\ t \sin \theta(s) \end{pmatrix} : (s, t) \in \mathbb{R} \times (a_1, a_2) \right\} \quad \theta \in W_{\text{loc}}^{1,\infty}(\mathbb{R}) \quad \text{twisting angle}$$



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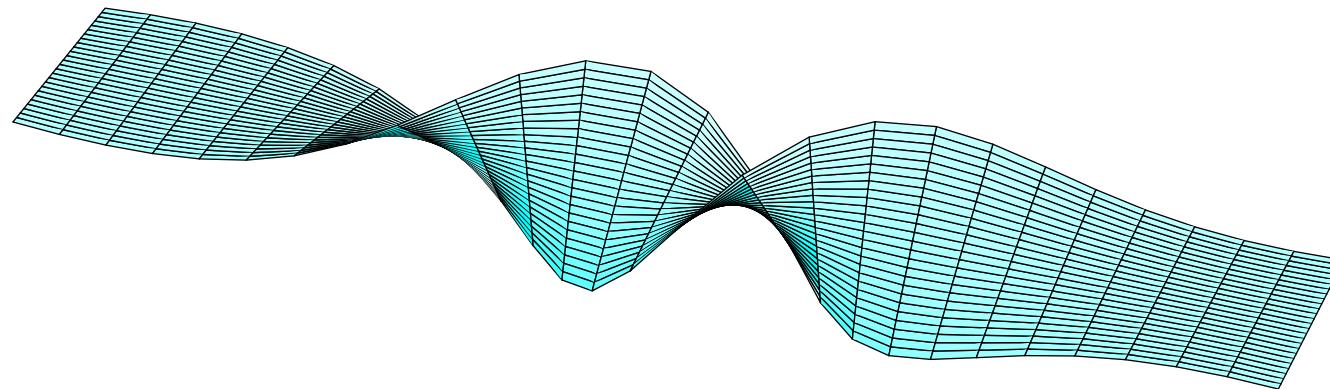


$$\Omega \cong (\mathbb{R} \times (a_1, a_2), G) \quad \text{with} \quad G(s, t) := \begin{pmatrix} 1 + \theta'(s)^2 t^2 & 0 \\ 0 & 1 \end{pmatrix}$$

$$K(s, t) = -\frac{\theta'(s)^2}{[1 + \theta'(s)^2 t^2]^2}$$

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Local versus global twisting

$$\theta'(s) \xrightarrow[|s| \rightarrow \infty]{} 0$$

$$\implies G \longrightarrow I$$

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$$\implies \sigma_{\text{ess}}(-\Delta_D^\Omega) = [\lambda_1, \infty) \quad \text{with} \quad \lambda_1 := \min \sigma(-\Delta_D^{A_{a_1, a_2}})$$

raise of dimension

$$A_{a_1, a_2} := \left\{ \begin{pmatrix} t \cos \varphi \\ t \sin \varphi \end{pmatrix} : t \in (a_1, a_2), \varphi \in [0, 2\pi) \right\}$$

Ruled strips with asymptotically diverging twisting

[D.K., Rafael Tiedra de Aldecoa *Ann. Henri Poincaré* 2018]

$$|\theta'(s)| \xrightarrow[|s| \rightarrow \infty]{} \infty$$

Theorem 1.

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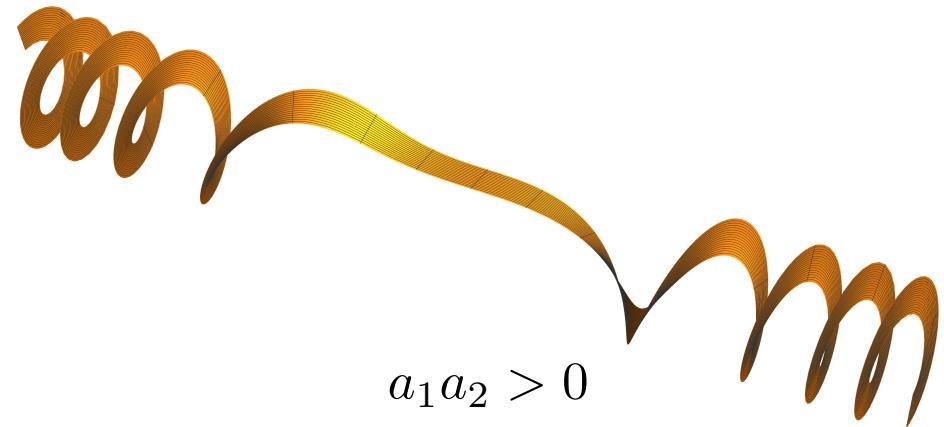
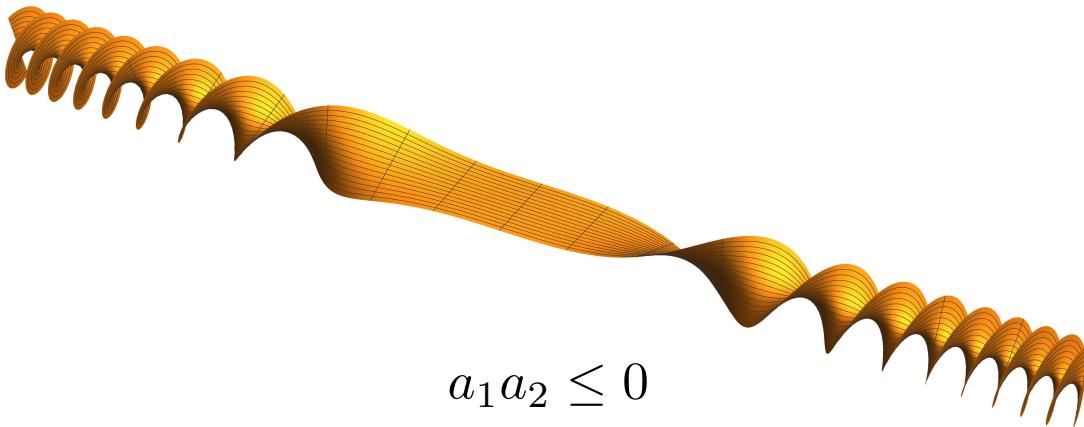
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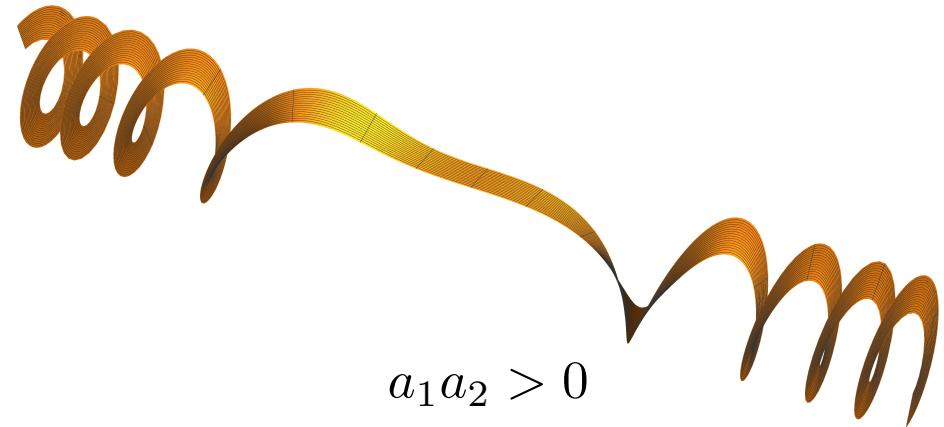
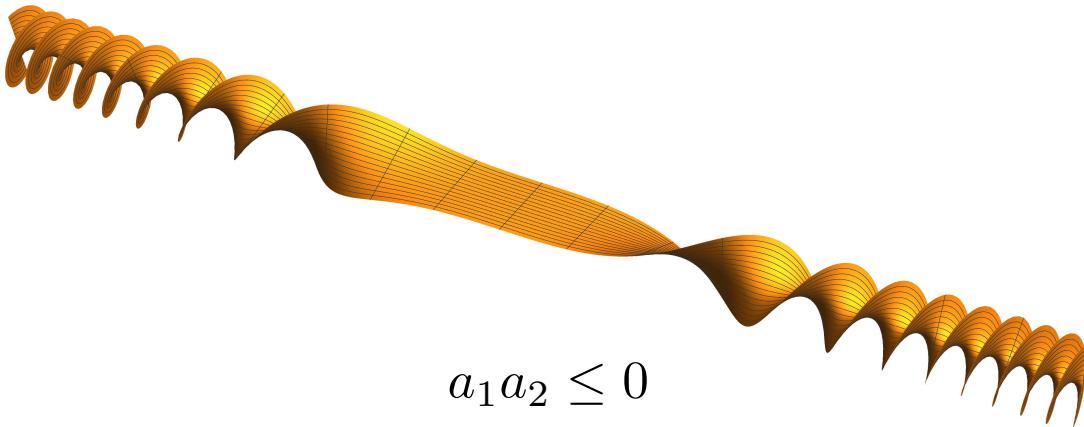
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Theorem 2. If $a_1 a_2 \leq 0$ then

$$\min \sigma(-\Delta_D^\Omega) < \lambda_1.$$

(\exists bound states)

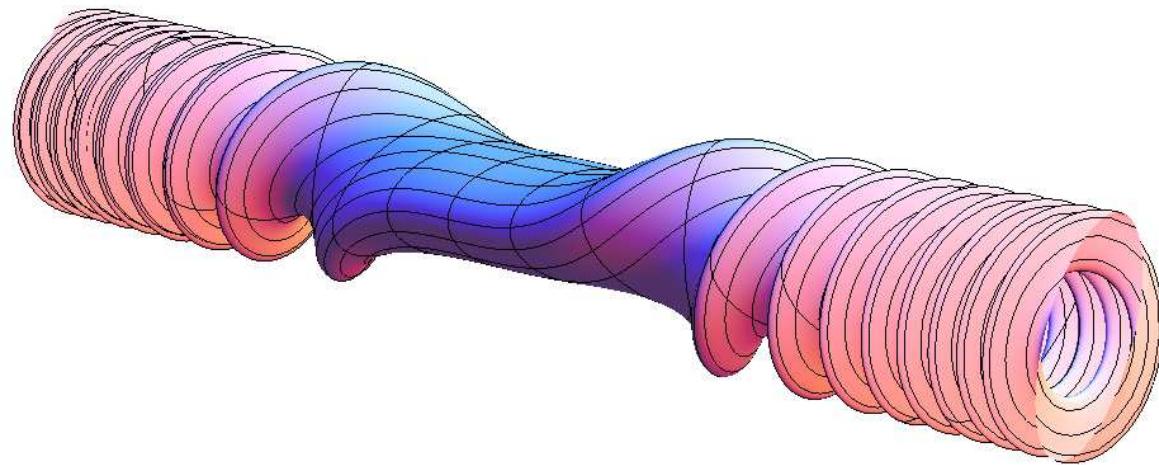
Part 4.

Conclusions

Conclusions

Summary

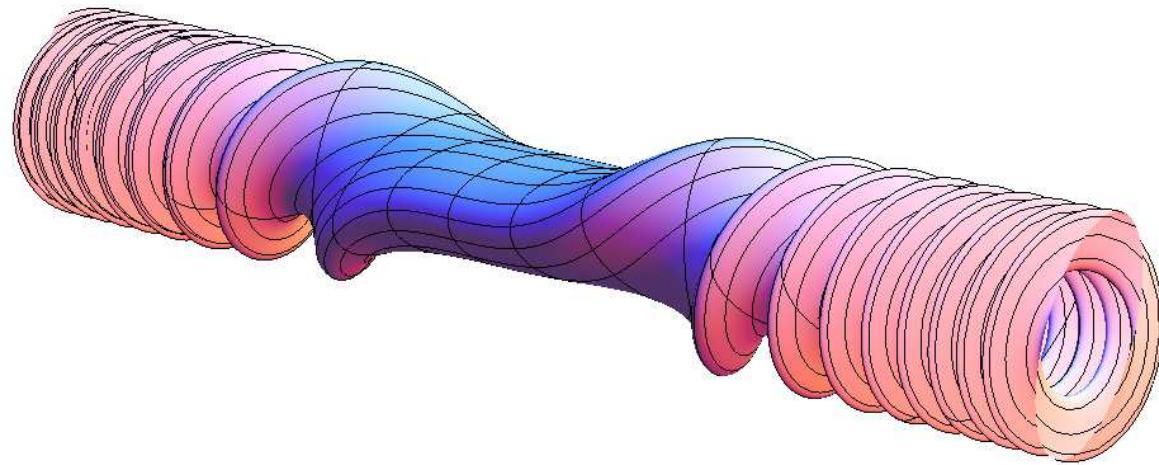
- New class of waveguide geometries: twisting diverging at infinity.
 1. twisted tubes: both with and without essential spectra
 2. sheared ribbons: always without essential spectra
 3. ruled strips: always with essential spectra



Conclusions

Summary

- New class of waveguide geometries: twisting diverging at infinity.
 1. twisted tubes: both with and without essential spectra
 2. sheared ribbons: always without essential spectra
 3. ruled strips: always with essential spectra



Open problems

- i* (non-standard) Weyl-type asymptotics ? cf. [Barseghyan, Khrabustovskyi 2018]
- i* existence/number/properties of discrete eigenvalues (eigenfunctions) ?
- i* nature of the essential spectrum ? (embedded eigenvalues, Mourre theory, etc.)
- i* (reliable) numerics ?