# Coercive Combined Field Integral Equations 

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## Coercive Variational Problems

## Coercivity

$V=\mathbb{C}$-Banach space with dual space $V^{\prime}$, duality pairing $\langle\cdot, \cdot\rangle$.
Definition:
Linear operator $A: V \mapsto V^{\prime}$ coercive, if it satisfies a Gårding-type inequality

$$
\exists c>0: \quad|\langle A v, \bar{v}\rangle+\langle K v, \bar{v}\rangle| \geq c\|v\|_{V}^{2} \quad \forall v \in V
$$

for some compact operator $K: V \mapsto V^{\prime}$.
$\rightarrow \quad$ Coercivity of bilinear forms $V \times V \mapsto \mathbb{C}$

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for some compact operator $K: V \mapsto V^{\prime}$.
$\rightarrow \quad$ Coercivity of bilinear forms $V \times V \mapsto \mathbb{C}$
Theorem:
A continuous coercive operator is Fredholm with index zero.

$$
A \text { coercive } \Rightarrow \quad(A \text { injective } \quad \Rightarrow \quad A \text { surjective })
$$

## Coercivity and Galerkin Discretization

$V_{n}, n \in \mathbb{N}$, sequence of closed subspaces of $V$ (e.g., FEM/BEM spaces)
Assumption on $V_{n}$ : Existence of linear projectors $P_{n}: V \mapsto V_{n}$ such that

$$
\forall u \in V: \quad \lim _{n \rightarrow \infty}\left\|u-P_{n} u\right\|_{V}=0
$$

Given: Continuous, coercive and injective bilinear form $a: V \times V \mapsto \mathbb{C}$, that is $a(u, v)=0$ for all $v \in V$ implies $u=0$.

$$
\forall \varphi \in V^{\prime} \quad \exists_{1} u \in V: \quad a(u, v)=\langle\varphi, v\rangle \quad \forall v \in V
$$

For any fixed $\varphi \in V^{\prime}$ there is an $N \in \mathbb{N}$ such that the variational problems

$$
u_{n} \in V_{n}: \quad a\left(u_{n}, v_{n}\right)=\left\langle\varphi, v_{n}\right\rangle \quad \forall v_{n} \in V_{n}
$$

have unique solutions $u_{n}$ for all $n>N$. Those are asymptotically quasioptimal in the sense that there is a constant $C>0$ independent of $\varphi$ such that

$$
\left\|u-u_{n}\right\|_{V} \leq C \inf _{v_{n} \in V_{n}}\left\|u-v_{n}\right\|_{V} \quad \forall n>N
$$

## Acoustic Scattering

## Boundary Value Problem

Bounded Lipschitz domain/polyhedron $\Omega \subset \mathbb{R}^{3}$ (scatterer), complement $\Omega^{\prime}:=$ $\mathbb{R}^{3} \backslash \bar{\Omega}$ (air region), connected boundary $\Gamma:=\partial \Omega$, exterior unit normal vector field $\mathrm{n} \in L^{\infty}(\Gamma)$ points from $\Omega$ into $\Omega^{\prime}$.

Exterior Dirichlet problem for Helmholtz equation

$$
\begin{gathered}
\Delta U+\kappa^{2} U=0 \quad \text { in } \Omega^{\prime} \quad, \quad U=g \in H^{\frac{1}{2}}(\Gamma) \quad \text { on } \Gamma, \\
\frac{\partial U}{\partial r}(\mathrm{x})-i \kappa U(\mathrm{x})=o\left(r^{-1}\right) \quad \text { uniformly as } r:=|\mathrm{x}| \rightarrow \infty .
\end{gathered}
$$

$\kappa>0=$ wave number, $g$ given Dirichlet boundary value (from incident wave)
A distribution $U$ is called a (radiating) Helmholtz solution, if it satisfies $\Delta U+\kappa^{2} U=0$ in $\Omega \cup \Omega^{\prime}$ and the Sommerfeld radiation conditions.

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Existence and uniqueness of solutions

## Potentials

Helmholtz kernel:

$$
\Phi_{\kappa}(\mathrm{x}, \mathrm{y}):=\frac{\exp (i \kappa|\mathrm{x}-\mathrm{y}|)}{4 \pi|\mathrm{x}-\mathrm{y}|}
$$

Transmission representation formula for Helmholtz solution $U$ :

$$
U=-\Psi_{\mathrm{SL}}^{\kappa}\left(\left[\gamma_{N} U\right]_{\Gamma}\right)+\psi_{\mathrm{DL}}^{\kappa}\left(\left[\gamma_{D} U\right]_{\Gamma}\right)
$$

$\gamma_{D}=$ Dirichlet trace, $\gamma_{N}:=\frac{\partial}{\partial \mathrm{n}}$ Neumann trace, $[\cdot]_{\Gamma}=$ jump across $\Gamma$ single layer potential: $\quad \Psi_{\mathrm{SL}}^{\kappa}(\lambda)(\mathrm{x})=\int_{\Gamma} \Phi_{\kappa}(\mathrm{x}, \mathrm{y}) \lambda(\mathrm{y}) \mathrm{d} S(\mathrm{y})$,
double layer potential: $\quad \Psi_{\mathrm{DL}}^{\kappa}(u)(\mathrm{x})=\int_{\Gamma} \frac{\partial \Phi_{\kappa}(\mathbf{x}, \mathrm{y})}{\partial \mathbf{n}(\mathbf{y})} u(\mathrm{y}) \mathrm{d} S(\mathrm{y})$.

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double layer potential: $\quad \Psi_{\mathrm{DL}}^{\kappa}(u)(\mathrm{x})=\int_{\Gamma} \frac{\partial \Phi_{\kappa}(\mathbf{x}, \mathrm{y})}{\partial \mathbf{n}(\mathrm{y})} u(\mathrm{y}) \mathrm{d} S(\mathrm{y})$.
Continuity: $\Psi_{\mathrm{SL}}^{\kappa}: H^{-\frac{1}{2}}\left(\ulcorner ) \mapsto H_{\mathrm{loc}}^{1}\left(\mathbb{R}^{3}\right), \Psi_{\mathrm{DL}}^{\kappa}: H^{\frac{1}{2}}(\Gamma) \mapsto H_{\mathrm{loc}}\left(\Delta, \Omega \cup \Omega^{\prime}\right)\right.$
$\Psi_{\mathrm{SL}}^{\kappa}$ and $\Psi_{\mathrm{DL}}^{\kappa}$ are radiating Helmholtz solutions

## Boundary Integral Operators

Continuous boundary integral operators: $\quad\left(\left\{\gamma^{\cdot}\right\}_{\Gamma}:=\frac{1}{2}\left(\gamma^{+} \cdot+\gamma^{-}\right)\right.$average)

$$
\begin{aligned}
& \mathrm{V}_{\kappa}: H^{s}(\Gamma) \mapsto H^{s+1}\left(\ulcorner ), \quad-1 \leq s \leq 0 \quad, \quad \mathrm{~V}_{\kappa}:=\left\{\gamma_{D} \Psi_{\mathrm{SL}}^{\kappa}\right\}_{\Gamma},\right. \\
& \mathrm{K}_{\kappa}: H^{s}\left(\ulcorner ) \mapsto H ^ { s } \left(\ulcorner ), \quad 0 \leq s \leq 1, \quad \mathrm{~K}_{\kappa}:=\left\{\gamma_{D} \Psi_{\mathrm{DL}}^{\kappa}\right\}_{\Gamma},\right.\right. \\
& \mathrm{D}_{\kappa}: H^{s}\left(\ulcorner ) \mapsto H ^ { s - 1 } \left(\ulcorner ), \quad 0 \leq s \leq 1, \quad \mathrm{D}_{\kappa}:=\left\{\gamma_{N} \psi_{\mathrm{DL}}^{\kappa}\right\}_{\Gamma} .\right.\right. \\
& \text { Jump relations } \quad \Rightarrow \quad \gamma_{D}^{+} \Psi_{\mathrm{SL}}^{\kappa}=\mathrm{V}_{\kappa} \quad, \quad \gamma_{D}^{+} \Psi_{\mathrm{DL}}^{\kappa}=\mathrm{K}_{\kappa}+\frac{1}{2} I d
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Compactness: $\quad \mathrm{V}_{\kappa}-\mathrm{V}_{0}: H^{-\frac{1}{2}}(\Gamma) \mapsto H^{\frac{1}{2}}(\Gamma)$ is compact.

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Symmetry:

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\left\langle\psi, \vee_{\kappa} \varphi\right\rangle_{\Gamma}=\left\langle\varphi, \vee_{\kappa} \psi\right\rangle_{\Gamma} \quad \forall \varphi, \psi \in H^{-\frac{1}{2}}(\ulcorner ) .
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$$

Ellipticity:

$$
\left\langle\bar{\varphi}, V_{0} \varphi\right\rangle_{\Gamma} \geq c_{V}\|\varphi\|_{H^{-\frac{1}{2}}(\Gamma)}^{2} \quad \forall \varphi \in H^{-\frac{1}{2}}(\Gamma) .
$$

## Indirect CFIE

## Spurious Resonances

Derivation of indirect boundary integral equations (BIE):

- Use potentials as trial expression for solution of exterior Helmholtz BVP.
- Apply jump relations + boundary values

Trial expression

$$
\begin{gathered}
U=\Psi_{\mathrm{SL}}^{\kappa}(\varphi), \quad \varphi \in H^{-\frac{1}{2}}(\ulcorner ) \\
g=\mathrm{V}_{\kappa} \varphi \quad \text { in } H^{\frac{1}{2}}(\ulcorner )
\end{gathered}
$$

If $\kappa^{2}$ is Dirichlet eigenvalue of $-\Delta$ in $\Omega$, then $\operatorname{Ker}\left(\mathrm{V}_{\kappa}\right) \neq\{0\}$

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U=\Psi_{\mathrm{DL}}^{\kappa}(u), \quad u \in H^{\frac{1}{2}}(\ulcorner ) \\
g=\left(\frac{1}{2} I d+\mathrm{K}_{\kappa}\right) u \quad \text { in } H^{\frac{1}{2}}(\ulcorner )
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If $\kappa^{2}$ is Neumann eigenvalue of $-\Delta$ in $\Omega$, then $\operatorname{Ker}\left(\frac{1}{2} I d+\mathrm{K}_{\kappa}\right) \neq\{0\}$

## Classical Indirect CFIE

Indirect approach based on trial expression

$$
U=\Psi_{\mathrm{DL}}^{\kappa}(u)+i \eta \Psi_{\mathrm{SL}}^{\kappa}(u), \quad \eta \in \mathbb{R} \backslash\{0\} .
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$D$
Boundary integral equation for unknown density $u \in L^{2}(\Gamma)$ :

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## The classical CFIE has at most one solution

Lemma: If $\Gamma C^{2}$-smooth then $\mathrm{K}_{\kappa}: L^{2}(\Gamma) \mapsto H^{1}(\Gamma)$ continuous $L^{2}(\Gamma)$-coercivity of bilinear form associated with classical CFIE on smooth surfaces.

Problems: - Variational formulation lifted out of natural trace spaces

- No coercivity on non-smooth boundaries


## Double Layer Regularization

Devise CFIE set in natural trace spaces!
Tool: Compact regularizing operator $\mathrm{M}: H^{-\frac{1}{2}}(\Gamma) \mapsto H^{\frac{1}{2}}(\Gamma)$
Requirement: $\operatorname{Re}\left\{\langle\varphi, \mathrm{M} \bar{\varphi}\rangle_{\Gamma}\right\}>0 \quad \forall \varphi \in H^{-\frac{1}{2}}(\Gamma) \backslash\{0\}$

Trial expression:

$$
U=\Psi_{\mathrm{DL}}^{\kappa}(\mathrm{M} \varphi)+i \eta \Psi_{\mathrm{SL}}^{\kappa}(\varphi), \quad \varphi \in H^{-\frac{1}{2}}(\Gamma)
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New CFIE:

Lemma:
Uniqueness of solutions of new CFIE

Lemma: The operator associated with the new CFIE is $H^{-\frac{1}{2}}(\Gamma)$-coercive.

Unique solvability of new CFIE for all $\kappa, g$

## Regularizing Operator

Idea:

$$
\mathrm{M}=\left(-\Delta_{\Gamma}+I d\right)^{-1}
$$

Define $\mathrm{M}: H^{-1}(\Gamma) \mapsto H^{1}(\Gamma)$ by

$$
\left\langle\operatorname{grad}_{\Gamma} \mathrm{M} \varphi, \operatorname{grad}_{\Gamma} v\right\rangle_{\Gamma}+\langle\mathrm{M} \varphi, v\rangle_{\Gamma}=\langle\varphi, v\rangle_{\Gamma} \quad \forall v \in H^{1}(\ulcorner ) .
$$

$\Delta \mathrm{M}: H^{-1}(\Gamma) \mapsto H^{1}(\Gamma)$ isomorphism and

$$
\langle\varphi, \mathrm{M} \bar{\varphi}\rangle_{\Gamma}=\|\mathrm{M} \varphi\|_{H^{1}(\Gamma)}^{2} \geq c\|\varphi\|_{H^{-1}(\Gamma)}^{2} \forall \varphi \in H^{-1}(\ulcorner ) .
$$

$\Delta \mathrm{M}: H^{-\frac{1}{2}}\left(\ulcorner ) \mapsto H^{\frac{1}{2}}(\ulcorner )\right.$ compact by Rellich's embedding theorem.
Remark. For piecewise smooth smooth $\Gamma$ it is possible to choose product of $\Delta_{\text {Dir }}^{-1}$ on faces as M (cf. Maxwell case).

## Mixed Variational Problem

Avoid operator products by introducing new unknown $u:=\mathrm{M} \varphi \in H^{1}(\Gamma)$

Saddle point problem: seek $\varphi \in H^{-\frac{1}{2}}\left(\ulcorner ), u \in H^{1}(\ulcorner )\right.$,

$$
\begin{array}{rlll}
i \eta\left\langle\mathrm{~V}_{\kappa} \varphi, \xi\right\rangle_{\Gamma}+\quad\left\langle\left(\frac{1}{2} I d+\mathrm{K}_{\kappa}\right) u, \xi\right\rangle_{\Gamma} & =\langle g, \xi\rangle_{\Gamma} \quad \forall \xi \in H^{-\frac{1}{2}}(\Gamma) \\
-\langle\varphi, v\rangle_{\Gamma}+\left\langle\operatorname{grad}_{\Gamma} u, \operatorname{grad}_{\Gamma} v\right\rangle_{\Gamma}+\langle u, v\rangle_{\Gamma} & =0 & \forall v \in H^{1}(\Gamma) .
\end{array}
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\begin{aligned}
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& -\langle\varphi, v\rangle_{\Gamma}+\left\langle\operatorname{grad}_{\Gamma} u, \operatorname{grad}_{\Gamma} v\right\rangle_{\Gamma}+\langle u, v\rangle_{\Gamma}=0 \quad \forall v \in H^{1}(\Gamma) .
\end{aligned}
$$

Off-diagonal terms in the variational problem are compact!

- $H^{-\frac{1}{2}}(\Gamma) \times H^{1}(\Gamma)$-coercivity follows from coercivity of diagonal terms

Asymptotically optimal convergence of conforming Galerkin-BEM

## Regularity

By jump relations: if $U=\Psi_{\mathrm{DL}}^{\kappa}(\mathrm{M} \varphi)+i \eta \Psi_{\mathrm{SL}}^{\kappa}(\varphi)$, then

$$
\left[\gamma_{D} U\right]_{\Gamma}=\mathrm{M} \varphi \quad, \quad\left[\gamma_{N} U\right]_{\Gamma}=-i \eta \varphi
$$

$\Delta$ Elimination of unknown $\varphi$

$$
\begin{aligned}
& \gamma_{D}^{-} U=i \eta^{-1} \mathrm{M}\left(\gamma_{N}^{-} U\right)+\left(g-i \eta^{-1} \mathrm{M}\left(\gamma_{N}^{+} U\right)\right) . \\
& g-i \eta^{-1} \mathrm{M}\left(\gamma_{N}^{+} U\right) \in H^{r}(\Gamma), \quad r>\frac{1}{2} \\
& \mathrm{M}: H^{s-1}(\Gamma) \mapsto H^{s+1}(\Gamma), \quad \forall 0 \leq s \leq s^{*}, \text { for some } s^{*}>0
\end{aligned}
$$

D "Bootstrap argument": first we see

$$
\gamma_{D}^{-} U \in H^{t}(\Gamma), \quad \frac{1}{2} \leq t \leq \min \left\{\frac{3}{2}, s^{*}+1, r\right\}
$$

Next, use regularity of $-\Delta$ in $\Omega$ to gain more smoothness of $\gamma_{N}^{-} U$.
$\nabla$ Extra smoothness of $\varphi$ from $\left[\gamma_{N} U\right]_{\Gamma}=-i \eta \varphi$

## Direct CFIE

## Classical CFIE

Exterior Helmholtz Calderón projector:

$$
\begin{align*}
& \gamma_{D}^{+} U=\left(\mathrm{K}_{\kappa}+\frac{1}{2} I d\right)\left(\gamma_{D}^{+} U\right)-\mathrm{V}_{\kappa}\left(\gamma_{N}^{+} U\right)  \tag{1}\\
& \gamma_{N}^{+} U=-\mathrm{D}_{\kappa}\left(\gamma_{D}^{+} U\right)-\left(\mathrm{K}_{\kappa}^{*}-\frac{1}{2} I d\right)\left(\gamma_{N}^{+} U\right) \tag{2}
\end{align*}
$$

Burton \& Miller 1971: $i \eta \cdot(1)+(2)>$ CFIE:

$$
\left(i \eta\left(\mathrm{~K}_{\kappa}-\frac{1}{2} I d\right)-\mathrm{D}_{\kappa}\right)\left(\gamma_{D}^{+} U\right)-\left(i \eta \mathrm{~V}_{\kappa}+\frac{1}{2} I d+\mathrm{K}_{\kappa}^{*}\right)\left(\gamma_{N}^{+} U\right)=0 .
$$

Asscoiated boudary integral operator:

$$
i \eta \bigvee_{\kappa}+\frac{1}{2} I d+\mathrm{K}_{\kappa}^{*}
$$

Uniqueness of solutions of CFIE Coercivity in $L^{2}(\Gamma)$ on smooth $\Gamma$ Lack of coercivity in natural trace spaces

## Regularization

Problem: Equations of the Calderón projector set in different trace spaces
Lift equation (2) set in $H^{-\frac{1}{2}}(\Gamma)$ into $H^{\frac{1}{2}}(\Gamma)$ by applying regularizing operator M before adding it to $i \eta \cdot(1), \eta \in \mathbb{R} \backslash\{0\}$.

Regularized direct CFIE:

$$
\mathrm{S}_{\kappa}(\varphi):=\left(\mathrm{M} \circ\left(\mathrm{~K}_{\kappa}^{*}+\frac{1}{2} I d\right)+i \eta \mathrm{~V}_{\kappa}\right) \varphi=\left(i \eta\left(\mathrm{~K}_{\kappa}-\frac{1}{2} I d\right)-\mathrm{M} \circ \mathrm{D}_{\kappa}\right) g
$$

Lemma:

## Uniqueness of solutions of new CFIE

Lemma: The operator associated with the new CFIE is $H^{-\frac{1}{2}}(\Gamma)$-coercive.
Unique solvability of new CFIE for all $\kappa, g$

## Mixed Variational Formulation

Concrete choice:

$$
\begin{gathered}
\mathrm{M}=\left(-\Delta_{\Gamma}+I d\right)^{-1} \\
u:=\mathrm{M}\left(\left(\frac{1}{2} I d+\mathrm{K}_{\kappa}^{*}\right) \varphi+\mathrm{D}_{\kappa} g\right) \in H^{\frac{1}{2}}(\Gamma)
\end{gathered}
$$

Introduce new "unknown"
Note: $u=0$ (dummy variable), because from second equation of Calderón projector $\gamma_{N}^{+} U=-\mathrm{D}_{\kappa}\left(\gamma_{D}^{+} U\right)-\left(\mathrm{K}_{\kappa}^{*}-\frac{1}{2} I d\right)\left(\gamma_{N}^{+} U\right)$.

Saddle point problem: seek $\varphi \in H^{-\frac{1}{2}}(\Gamma), u \in H^{1}(\Gamma)$,

$$
\begin{array}{ccc}
i \eta\left\langle\xi, \mathrm{~V}_{\kappa} \varphi\right\rangle_{\Gamma} & +\quad\langle\xi, u\rangle_{\Gamma}=i \eta\left\langle\xi,\left(\mathrm{~K}_{\kappa}-\frac{1}{2} I d\right) g\right\rangle_{\Gamma} \\
-\left\langle\left(\frac{1}{2} I d+\mathrm{K}_{\kappa}^{*}\right) \varphi, v\right\rangle_{\Gamma} & +\left\langle\operatorname{grad}_{\Gamma} u, \operatorname{grad}_{\Gamma} v\right\rangle_{\Gamma}+\langle u, v\rangle_{\Gamma}=\left\langle\mathrm{D}_{\kappa} g, v\right\rangle_{\Gamma} .
\end{array}
$$

$H^{-\frac{1}{2}}(\Gamma) \times H^{1}(\Gamma)$-coercivity \& asymptotically optimal convergence of conforming Galerkin-BEM

## Summary and References

New direct/indirect CFIE for acoustic scattering have been obtrained that possess coercive mixed variational formulations.

Dummy multiplier \& potential of FEM-BEM coupling makes direct CFIE particularly attractive.

## References:

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Electromagnetic Scattering

## Scattering at PEC Obstacle



Exterior Dirichlet problem for electric wave equation (excited by incident wave)

$$
\begin{array}{rlrl}
\operatorname{curl} \operatorname{curl} \mathbf{E}-\kappa^{2} \mathbf{E} & =0 & & \text { in } \Omega^{\prime}, \\
\gamma_{\mathrm{t}} \mathbf{E} & =\mathrm{g}:=\gamma_{\mathrm{t}} \mathbf{E}_{i} & \text { on } \Gamma,
\end{array}
$$

+ Silver-Müller radiation conditions
Wave number $\kappa=\omega \sqrt{\epsilon_{0} \mu_{0}}>0$ fixed

Existence and uniqueness of solution for all $\mathbf{E}_{i}$

A distribution U is called a radiating Maxwell solution, if it satisfies curl curl $\mathrm{U}-\kappa^{2} \mathrm{U}=0$ in $\Omega \cup \Omega^{\prime}$ and the Silver-Müller radiation conditions at infinity.

## Cauchy Data

Transmission conditions for electromagnetic fields:

$$
\left[\gamma_{\mathrm{t}} \mathrm{E}\right]_{\Gamma}=0, \quad[\mathrm{H} \times \mathbf{n}]_{\Gamma}=0 .
$$

Ensure continuity of Poynting-flux $\mathrm{E} \cdot(\overline{\mathbf{H}} \times \mathbf{n})$

Cauchy data for electric wave equation curl curl $\mathrm{E}-\kappa^{2} \mathrm{E}=0$ :
"Electric trace" (Dirichlet data): $\quad \gamma_{D} \mathrm{E}(\mathrm{x}):=\mathrm{n}(\mathrm{x}) \times(\mathrm{E}(\mathrm{x}) \times \mathrm{n}(\mathrm{x}))$
"Magnetic trace" (Neumann data): $\quad \gamma_{N} \mathrm{E}(\mathrm{x}):=\operatorname{curl} \mathrm{E}(\mathrm{x}) \times \mathrm{n}(\mathrm{x})$

Integration by parts formula for curl-operator

## Traces

"E-space":

$$
\boldsymbol{H}_{\mathrm{loc}}(\operatorname{curl} ; \Omega)=\left\{\mathbf{u} \in \boldsymbol{L}_{\mathrm{loc}}^{2}(\Omega), \operatorname{curl} \mathbf{u} \in \boldsymbol{L}_{\mathrm{loc}}^{2}(\Omega)\right\}
$$

$\begin{array}{lll}\text { Spaces: } & & \boldsymbol{T}_{\text {el }}:=\left\{v \in \boldsymbol{H}_{\perp}^{-\frac{1}{2}}(\Gamma), \operatorname{curl}_{\Gamma} v \in H^{-\frac{1}{2}}(\Gamma)\right\}, \quad \overbrace{\langle\cdot,}^{\text {duality }} \\ & \boldsymbol{T}_{\text {mag }}:=\left\{\boldsymbol{\zeta} \in \boldsymbol{H}_{\|}^{-\frac{1}{2}}(\Gamma), \operatorname{div}_{\Gamma} \boldsymbol{\zeta} \in H^{-\frac{1}{2}}(\Gamma)\right\} & <\rangle_{\Gamma}\end{array}$
[Surface differential operators: $\operatorname{div}_{\Gamma}:=\operatorname{grad}_{\Gamma}^{*}$, curl $_{\Gamma}:=\left(\mathbf{n} \times \operatorname{grad}_{\Gamma}\right)^{*}$ ]
Trace theorem (Buffa, Ciarlet, 1999; Buffa, Costabel, Sheen, 2000):

$$
\begin{aligned}
\gamma_{D}: \boldsymbol{H}_{\mathrm{loc}}(\operatorname{curl} ; \Omega) & \mapsto \boldsymbol{T}_{\mathrm{el}},
\end{aligned} \quad \text { are } \quad \begin{aligned}
& \text { continuous }, \\
& \gamma_{\mathrm{t}}:=\gamma_{D} \times \mathbf{n}: \boldsymbol{H}_{\mathrm{loc}}(\operatorname{curl} ; \Omega) \mapsto \boldsymbol{T}_{\mathrm{mag}}
\end{aligned} \quad \begin{aligned}
& \text { surjective } .
\end{aligned}
$$

Magnetic traces $(\mathbf{H} \times \mathbf{n} \doteq \operatorname{curlE} \times \mathbf{n}): \gamma_{N} \mathbf{u}=\mathbf{c u r l} \mathbf{u} \times \mathbf{n}$, weakly defined

$$
\mp \int_{\Omega} \operatorname{curl} \mathbf{u} \cdot \operatorname{curl} \overline{\mathrm{v}}-\operatorname{curl} \operatorname{curl} \mathbf{u} \cdot \overline{\mathbf{v}} d \mathbf{x}=\left\langle\gamma_{N} \mathbf{u}, \gamma_{D} \mathbf{v}\right\rangle_{\tau} \forall \mathbf{v} \in \boldsymbol{H}(\operatorname{curl} ; \Omega)
$$

$$
\gamma_{N}: \boldsymbol{H}_{\text {loc }}(\text { curl curl }, \Omega) \mapsto \boldsymbol{T}_{\text {mag }} \text { continuous, surjective }
$$

## Potentials

Stratton-Chu representation formula for radiating solution E of electric wave equation in $\Omega^{\prime}$ :

$$
\mathrm{E}=-\Psi_{\mathrm{SL}}^{\kappa}\left(\gamma_{N}^{+} \mathrm{E}\right)+\Psi_{\mathrm{DL}}^{\kappa}\left(\gamma_{D}^{+} \mathrm{E}\right) \quad \text { in } \Omega^{\prime}
$$

Helmholtz kernel:

$$
\Phi_{\kappa}(\mathrm{x}, \mathrm{y}):=\frac{\exp (i \kappa|\mathrm{x}-\mathrm{y}|)}{4 \pi|\mathrm{x}-\mathrm{y}|}
$$

Single layer potential

$$
: \Psi_{V}^{\kappa}(\phi)(\mathrm{x}):=\int_{\Gamma} \Phi_{\kappa}(\mathrm{x}, \mathrm{y}) \phi(\mathrm{y}) d S(\mathrm{y})
$$

Vectorial single layer potential : $\Psi_{\mathrm{A}}^{\kappa}(\boldsymbol{\lambda})(\mathrm{x}):=\int_{\Gamma} \Phi_{\kappa}(\mathrm{x}, \mathrm{y}) \boldsymbol{\lambda}(\mathrm{y}) d S(\mathrm{y})$
Maxwell double layer potential : $\Psi_{\text {DL }}^{\kappa}(u)(\mathrm{x}):=\operatorname{curl}_{\mathrm{X}} \Psi_{\mathrm{A}}^{\kappa}(\mathbf{n} \times u)(\mathrm{x})$
Maxwell single layer potential $: \Psi_{S L}^{\kappa}(\lambda):=\Psi_{\mathrm{A}}^{\kappa}(\boldsymbol{\lambda})+\operatorname{grad}_{\Gamma} \Psi_{V}^{\kappa}\left(\operatorname{div}_{\Gamma} \boldsymbol{\lambda}\right)$
Both $\Psi_{D L}^{\kappa}$ and $\Psi_{S L}^{\kappa}$ provide radiating Maxwell solutions

## Boundary Integral Operators

Traces + potentials $\Rightarrow$ continuous boundary integral operators:

$$
\begin{aligned}
\mathbf{S}_{\kappa}:=\gamma_{D} \boldsymbol{\Psi}_{\mathrm{SL}}^{\kappa} & : \boldsymbol{T}_{\mathrm{mag}} \mapsto \boldsymbol{T}_{\mathrm{el}} \\
\mathrm{C}_{\kappa}:=\frac{1}{2}\left(\gamma_{D}^{+}+\gamma_{D}^{-}\right) \boldsymbol{\Psi}_{\mathrm{DL}}^{\kappa} & : \boldsymbol{T}_{\mathrm{el}} \mapsto \boldsymbol{T}_{\mathrm{el}}
\end{aligned}
$$

$$
\text { Jump relations: }\left[\gamma_{D} \Psi_{\mathrm{SL}}^{\kappa}(\lambda)\right]_{\Gamma}=0 \quad, \quad\left[\gamma_{D} \Psi_{\mathrm{DL}}^{\kappa}(u)\right]_{\Gamma}=u
$$

Compactness:

$$
\mathrm{S}_{\kappa}-\mathrm{S}_{0}: \boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{T}_{\mathrm{el}} \text { compact }
$$

## Generalized Coercivity

There is an isomorphism X: $\boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{T}_{\text {mag }}$ and a compact operator $\mathrm{K}: \boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{T}_{\text {el }}$ such that

$$
\exists c>0: \quad\left|\left\langle\mathbf{S}_{\kappa} \boldsymbol{\mu}, \mathrm{X} \overline{\boldsymbol{\mu}}\right\rangle_{\tau}+\langle\mathrm{K} \boldsymbol{\mu}, \overline{\boldsymbol{\mu}}\rangle_{\tau}\right| \geq c\|\boldsymbol{\mu}\|_{T_{\mathrm{el}}}^{2} \quad \forall \boldsymbol{\mu} \in \boldsymbol{T}_{\mathrm{mag}} .
$$

$$
\mathrm{S}_{\kappa} \text { is Fredholm with index zero }
$$

Construction of $X$ based on stable Hodge-type decomposition

$$
\boldsymbol{T}_{\mathrm{mag}}=\mathbf{X} \oplus \mathbf{N} \quad, \quad \mathbf{N} \subset \operatorname{Ker}\left(\operatorname{div}_{\Gamma}\right) \quad, \quad \mathbf{X} \subset \gamma_{\mathrm{t}} \boldsymbol{H}^{1}(\Omega) .
$$

$\rightarrow \quad$ Associated continuous projectors $P_{\mathbf{X}}, P_{\mathrm{N}}: \quad P_{\mathrm{X}}+P_{\mathrm{N}}=I d$


Note:

$$
\begin{gathered}
\mathrm{X}=P_{\mathbf{X}}-P_{\mathbf{N}} \\
\mathrm{X} \hookrightarrow \boldsymbol{L}^{2}(\Gamma) \text { compact }
\end{gathered}
$$

## Regularized CFIE

Combined field trial expression $\quad \mathrm{U}=\Psi_{\mathrm{DL}}^{\kappa}(\mathrm{M} \zeta)+i \eta \Psi_{\mathrm{SL}}^{\kappa}(\zeta), \quad \zeta \in \boldsymbol{T}_{\text {mag }}$. (with regularizing operator M: $\boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{T}_{\mathrm{el}}$ )

Regularized CFIE:

$$
\mathrm{g}=\left(\left(\frac{1}{2} I d+\mathbf{C}_{\kappa}\right) \circ \mathrm{M}\right)(\zeta)+i \eta \mathbf{S}_{\kappa} \zeta
$$

If $\eta \neq 0$ and $\mathrm{M}: \boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{T}_{\mathrm{el}}$ satisfies $\langle\mathrm{M} \boldsymbol{\mu}, \overline{\boldsymbol{\mu}}\rangle_{\boldsymbol{\tau}}>0 \quad \forall \boldsymbol{\mu} \in \boldsymbol{T}_{\text {mag }} \backslash\{0\}$, then the above regularized combined field integral equation has at most one solution for any $\kappa>0$.

If $\eta \neq 0$ and $\mathrm{M}: \boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{T}_{\mathrm{el}}$ is compact, then the operator mapping $T_{\text {mag }} \mapsto T_{\text {el }}$ associated with the above regularized combined field integral equation is Fredholm with index zero.

Existence and uniqueness of solutions for any $\mathrm{g}, \kappa$

## Regularizing Operator

Assume that $\Omega$ is polyhedron with flat (smooth) faces $\Gamma_{1}, \ldots, \Gamma_{p}, p \in \mathbb{N}$. Write $\Sigma$ for the union of all edges of $\Omega$.

$$
\boldsymbol{H}_{\Sigma}\left(\operatorname{curl}_{\Gamma},\ulcorner ):=\left\{\mathbf{u} \in \boldsymbol{H}\left(\operatorname{curl}_{\Gamma},\ulcorner ), \gamma_{\mathbf{t}} \mathbf{u}=0 \text { on } \Sigma\right\}\right.\right.
$$

Lemma:

$$
\begin{gathered}
H_{\Sigma}\left(\operatorname{curl}_{\Gamma},\ulcorner ) \text { is dense in } T_{\text {el }}\right. \\
\text { with compact embedding } H_{\Sigma}\left(\operatorname{curl}_{\Gamma},\ulcorner ) \hookrightarrow T_{\text {mag }}\right.
\end{gathered}
$$

Define M : $\boldsymbol{T}_{\text {mag }} \mapsto \boldsymbol{H}_{\Sigma}\left(\operatorname{curl}_{\Gamma},\ulcorner )\right.$ by

$$
\left\langle\operatorname{curl}_{\Gamma} \mathrm{M} \mu, \operatorname{curl}_{\Gamma} \mathrm{v}\right\rangle_{\Gamma}+\langle\mathrm{M} \mu, \mathrm{v}\rangle_{\tau}=\langle\boldsymbol{\mu}, \mathrm{v}\rangle_{\tau} \quad \forall \mathrm{v} \in \boldsymbol{H}_{\Sigma}\left(\operatorname{curl}_{\Gamma}, \Gamma\right) .
$$

$\Delta \mathrm{M} \mu=0 \Rightarrow \mu=0 \quad,\langle\mathrm{M} \mu, \bar{\mu}\rangle_{\tau}=\{\mathrm{M} \mu\}_{\mathrm{curl}_{\Gamma}, \Gamma}>0$ if $\mu \neq 0$.
Remark. Split regularizing operator enjoys better lifting properties $\rightarrow \zeta$ more regular

## Mixed Variational Formulation

Get rid of operator products by introducing new unknown $\mathrm{u}:=\mathrm{M} \zeta$, $\mathrm{u} \in \boldsymbol{H}_{\Sigma}\left(\operatorname{curl}_{\Gamma}, \Gamma\right)$, and incorporate variational definition of M :

Seek $\zeta \in \boldsymbol{T}_{\text {mag }}, \mathbf{u} \in \boldsymbol{H}_{\Sigma}\left(\operatorname{curl}_{\Gamma}, \Gamma\right)$ such that

$$
\begin{array}{ccc}
i \eta\left\langle\mathbf{S}_{\kappa} \zeta, \boldsymbol{\mu}\right\rangle_{\tau} & +\left\langle\left(\frac{1}{2} I d+\mathbf{C}_{\kappa}\right) \mathbf{u}, \boldsymbol{\mu}\right\rangle_{\tau} & =\langle\mathrm{g}, \boldsymbol{\mu}\rangle_{\boldsymbol{\tau}}, \\
\left\langle\operatorname{curl}_{\Gamma} \mathbf{u}, \operatorname{curl}_{\Gamma} \mathbf{v}\right\rangle_{\Gamma}+\langle\mathbf{u}, \mathbf{v}\rangle_{\tau} & - & \langle\boldsymbol{\mu}, \mathbf{v}\rangle_{\tau} \tag{1}
\end{array}
$$

for all $\mu \in T_{\text {mag }}, \mathrm{v} \in \boldsymbol{H}_{\Sigma}\left(\operatorname{curl}_{\Gamma}, \Gamma\right)$.

Lemma: The off-diagonal forms in (1) are compact

The bilinear form associated with (1) is coercive in the generalized sense.

## Natural Boundary Elements

$\mathrm{E}, \mathrm{H}$ require curl-conforming elements (e.g. edge element space $\mathcal{V}_{h}$ )
$\square$ Discretize $\gamma_{D} \mathbf{E}, \gamma_{N} \mathbf{E}=\gamma_{\mathbf{t}} \mathbf{H}$ in $\gamma_{D} \mathcal{V}_{h}, \gamma_{\mathbf{t}} \mathcal{V}_{h}$ (on 「-restricted mesh)
Example: Lowest order elements on simplicial triangulations of $\Omega(\Gamma)$ :


Edge elements (Whitney 1-forms) Space: $\mathcal{V}_{h}$


Discrete surface currents $\in \boldsymbol{T}_{h, m}$
$D \zeta_{h}$
D.o.f = edge fuxes

Discrete Dirichlet traces $\in \boldsymbol{T}_{h, \Sigma}$
$\nabla \mathbf{u}_{h}$
D.o.f = edge voltages (Set to zero on $\Sigma$ )
[Conforming spaces] $\Rightarrow$ Galerkin discretization

## A Priori Error Estimates

Challenge: Mismatch of continuous and discrete Hodge-type decompositions

$$
\boldsymbol{T}_{\mathrm{mag}}=\mathbf{X} \oplus \mathbf{N} \quad \leftrightarrow \quad \boldsymbol{T}_{h, m}=\mathbf{X}_{h} \oplus \mathbf{N}_{h}: \quad \mathbf{X}_{h} \not \subset \mathbf{X}
$$

Special properties of BEM-space $\boldsymbol{T}_{\text {mag }}$ ensure " $\mathrm{X}_{h} \rightarrow \mathbf{X}$ " as $h \rightarrow 0$ :
There is $s>0$ such that

$$
\inf _{\boldsymbol{\mu}_{h} \in \mathrm{X}_{h}}\left\|\boldsymbol{\xi}-\boldsymbol{\mu}_{h}\right\|_{\boldsymbol{T}_{\text {mag }}} \leq C h^{s}\|\boldsymbol{\xi}\|_{\boldsymbol{T}_{\text {mag }}} \quad \forall \boldsymbol{\xi} \in \mathbf{X}
$$

where $C>0$ only depends on $s$ and the shape regularity of the surface mesh.

Generalized coercivity asymptotic inf-sup condition for discrete problem

Asymptotic quasi-optimality of discrete Galerkin solutions.

## Summary and References

## Now a rigorous theoretical foundation for Galerkin-BEM for the CFIEs of direct acoustic and electromagnetic scattering has become available.

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