## Rings of Integer-Valued Polynomials in a Valued Field which are Prüfer Domains

Jean-Luc Chabert Université de Picardie France

Graz, July 3-8, 2016

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## 1- INTRODUCTION

Prüfer domains and Integer-Valued Polynomials

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$$\operatorname{Int}(\mathcal{O}_{\mathcal{K}}) = \{ f \in \mathcal{K}[X] \mid f(\mathcal{O}_{\mathcal{K}}) \subseteq \mathcal{O}_{\mathcal{K}} \} \quad \text{ is Prüfer}$$

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D a domain with quotient field K:

When is  $Int(D) = \{f(X) \in K[X] \mid f(D) \subseteq D\}$  a Prüfer domain?

**Prop.** Assume D is Noetherian. Then, Int(D) is Prüfer if and only if D is a Dedekind domain with finite residue fields.

Local case:

If V a valuation domain, then Int(V) is Prüfer if and only if the maximal ideal is principal and the residue field is finite.

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Global case:

THEOREM (LOPER 1998, CHAR(D) = 0) The ring Int(D) is Prüfer if and only if **1** D is an almost Dedekind domain with finite residue fields, **2**  $\forall p \in \mathbb{P} \begin{cases} E_p = \{v_m(p) \mid m \in Max(D), p \in m\} \\ F_p = \{[D/m : \mathbb{Z}/p\mathbb{Z}] \mid m \in Max(D), p \in m\} \end{cases}$  are finite.

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Cornerstone:

If  $Int(D) \subseteq R[X]$  where  $D \subseteq R \subsetneq K$ , then Int(D) is not Prüfer.

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D a domain with quotient field K and S a subset of K

 $\operatorname{Int}(S,D) = \{f(X) \in K[X] \mid f(S) \subseteq D\}$ 

Under which hypotheses is Int(S, D) Prüfer?

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[S is a D-fractional subset:=  $\exists d \in D$  such that  $dS \subseteq D$ ]

## 2- INTEGER-VALUED POLYNOMIALS ON SUBSETS

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 $f(X) \in \operatorname{Int}(S, D) \mapsto f\left(\frac{1}{d}X\right) \in \operatorname{Int}(dS, D)$ 

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 $\operatorname{Int}(\mathcal{S}, \mathcal{D})$  Prüfer  $\Rightarrow \forall \mathfrak{p} \in \operatorname{Spec}(\mathcal{D})$   $\operatorname{Int}(\mathcal{S}, \mathcal{D}_{\mathfrak{p}})$  Prüfer

 $\rightarrow$  we assume *D* is a valuation domain

V is a valuation domain and S is an infinite subset of V Under which hypotheses is Int(S, V) Prüfer?

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Int(V) is Prüfer  $\Leftrightarrow$  the valuation is discrete and the residue field finite

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#### **PROPOSITION** (PARTIAL ANSWERS)

The precompactness is a necessary (and sufficient) condition for the Prüfer property under one of the following hypotheses:

- the valuation is discrete [C. C. L. 2001]
- **2** S is a subgroup of (V, +) [Park 2015]

### Remark (Park, 2015)

If S is not precompact and Int(S, V) is Prüfer, then there exists a height-one prime ideal p of V, and then:

S is not precompact,  $Int(S, V_p)$  is Prüfer and  $dim(V_p) = 1$ .

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From now on,

V is a rank-one valuation domain, S is a non-precompact subset of V. We are looking for necessary conditions on S for Int(S, V) to be Prüfer.

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If S is not precompact and Int(S, V) is Prüfer, then there exists a height-one prime ideal p of V, and then:

S is not precompact,  $Int(S, V_p)$  is Prüfer and  $dim(V_p) = 1$ .

From now on,

V is a rank-one valuation domain, S is a non-precompact subset of V. We are looking for necessary conditions on S for Int(S, V) to be Prüfer.

#### PROPOSITION (LOPER AND WERNER, 2016)

There exist non precompact subsets S of V such that Int(S, V) is Prüfer: for instance, the set formed by the elements of a pseudo-convergent sequence of transcendental type.

Polynomial closure of  $S : \overline{S} = \{a \in V \mid \forall f \in \text{Int}(S, V) \ f(a) \in V\}$  $\text{Int}(S, V) = \text{Int}(\overline{S}, V)$ 

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Notation:

$$B(a,\gamma) = \{y \in V \mid v(a-y) \ge \gamma\} \quad (a \in V, \gamma \in \mathbb{R}) \ ext{ ball} \left\{ egin{array}{c} ext{center } a \ ext{radius } e^{-\gamma} \end{array} 
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#### LEMMA (CORNERSTONE)

If  $\overline{S}$  contains a ball  $B(a, \gamma)$ , then Int(S, V) is not Prüfer.

#### Proof.

$$\operatorname{Int}(S, V) = \operatorname{Int}(\overline{S}, V) \subseteq \operatorname{Int}(B(a, \gamma), V)$$

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$$\begin{array}{l} \operatorname{Int}(S,V) = \operatorname{Int}(\overline{S},V) \subseteq \operatorname{Int}(B(a,\gamma),V) \\ f(X) \in \operatorname{Int}(S,V) \\ v(t) = \gamma \end{array} \right\} \Rightarrow g(Y) = f(a+tY) \in \operatorname{Int}(V) \\ \end{array}$$

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$$\begin{split} \operatorname{Int}(S,V) &= \operatorname{Int}(\overline{S},V) \subseteq \operatorname{Int}(B(a,\gamma),V) \\ f(X) \in \operatorname{Int}(S,V) \\ v(t) &= \gamma \end{split} \right\} \Rightarrow g(Y) = f(a+tY) \in \operatorname{Int}(V) = V[Y] \\ \operatorname{Int}(S,V) \subseteq V[\frac{X-a}{t}] \end{split}$$

## PROPOSITION ( $\overline{S}$ is obtained by adjunction to S of)

the limits, the pseudo-limits and the corresponding closed balls (C. 2010)

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## PROPOSITION ( $\overline{S}$ is obtained by adjunction to S of)

the limits, the pseudo-limits and the corresponding closed balls (C. 2010)

#### DEFINITION

• [Ostrowski, 1935] A sequence  $\{x_n\}_{n \in \mathbb{N}}$  is *pseudo-convergent* if  $\forall n \quad v(x_n - x_{n-1}) < v(x_{n+1} - x_n)$ 

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•  $\delta = +\infty \iff x = \lim_{n \to +\infty} x_n$ 

•  $\delta < +\infty \Rightarrow \forall y \in B(x, \delta) \ y \text{ is a pseudo-limit of } \{x_n\}.$ 

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### Proposition (C. 2010)

If x is a generalized pseudo-limit of a sequence of elements of S with accuracy  $\delta$ , then the closed ball  $B(x, \delta)$  is contained in  $\overline{S}$ .

If there exists  $x \in V$  which is a generalized pseudo-limit (but not a limit) of a sequence of elements of S, then Int(S, V) is not Prüfer.

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**Proof**. Let  $\delta$  be the accuracy of the sequence. Then  $B(x, \delta) \subseteq \overline{S}$  $\Rightarrow \operatorname{Int}(S, V) = \operatorname{Int}(\overline{S}, V) \subseteq \operatorname{Int}(B(x, \delta), V) \subseteq V\left[\frac{X-x}{t}\right]$ 

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#### COROLLARY

If Int(S, V) is Prüfer, then  $\overline{S}$  is equal to the topological closure of S.

Because S does not contain any generalized pseudo-limit.

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If Int(S, V) is Prüfer, then S admits v-orderings.

Otherwise, S would contain a pseudo-limit of a pseudo-divergent sequence.

Notation. For  $\gamma \in \mathbb{R}$  $x \equiv y \pmod{\gamma} := v(x - y) \geq \gamma$ 

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Jean-Luc Chabert Université de Picardie

Graz, July 3-8, 2016 11 / 17

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Two cases:

- ullet either  $\gamma_\infty$  is a maximum and  $q_{\gamma_\infty}$  is finite,
- or  $\gamma_\infty$  is not a maximum and  $q_{\gamma_\infty}$  is infinite.

There exists  $x \in S$  such that, for every  $\delta > \gamma_{\infty}$ ,  $S(x, \gamma_{\infty})$  contains infinitely many classes modulo  $\delta$ .

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Such an x is then a generalized pseudo-limit of

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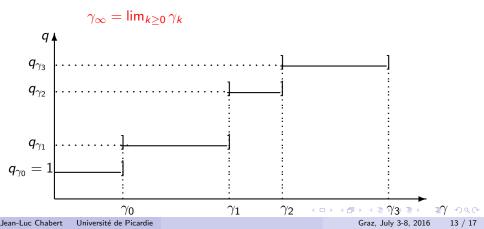
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#### PROPOSITION

If Int(S, V) is Prüfer, then  $q_{\gamma_{\infty}}$  is infinite.

There is a sequence  $\{\gamma_k\}_{k\geq 0}$  of *critical valuations* of *S* characterized by:

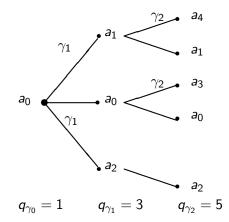
for 
$$k \ge 1$$
 :  $\gamma_{k-1} < \gamma \le \gamma_k \iff q_{\gamma} = q_{\gamma_k} \quad (\gamma_0 = \sup_{q_{\gamma}=1} \gamma)$ 



We construct inductively on k a sequence  $\{a_n\}_{n\geq 0}$  of elements of S s.t.  $a_0, a_1, \ldots, a_{q_{\gamma_k}-1}$ , is a complete set of representatives of S mod  $\gamma_k$ 

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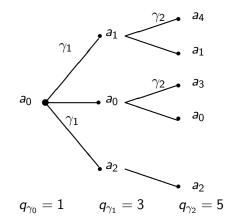
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Since  $q_{\gamma_{\infty}} = +\infty$ , one may find a branch  $\{y_k\}_{k\geq 0}$  such that from each vertex of this branch one can reach infinitely many leaves at the level  $\gamma_{\infty}$ .

Let  $y \in S$  denote the constant value of the stationary sequence  $\{y_k\}_{k \ge k_0}$ y is a pseudo-limit of a pseudo-convergent sequence with accuracy  $\gamma_{\infty}$ Thus,  $B(y, \gamma_{\infty}) \subseteq \overline{S}$  and  $\operatorname{Int}(S, V)$  is not Prüfer

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#### Application.

S is said to be a *regular subset* if, at each level k, all the vertices have the same number of edges (namely  $q_{\gamma_k}/q_{\gamma_{k+1}}$ )

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S is said to be a *regular subset* if, at each level k, all the vertices have the same number of edges (namely  $q_{\gamma_k}/q_{\gamma_{k+1}}$ )

If S is regular, from every vertex one can reach infinitely many leaves at the level  $\gamma_\infty.$ 

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S is said to be a *regular subset* if, at each level k, all the vertices have the same number of edges (namely  $q_{\gamma_k}/q_{\gamma_{k+1}}$ )

If S is regular, from every vertex one can reach infinitely many leaves at the level  $\gamma_\infty.$  Consequently,

#### Theorem

If S is a regular, then Int(S, V) is Prüfer if and only if S is precompact.

Let  $y \in S$  denote the constant value of the stationary sequence  $\{y_k\}_{k \ge k_0}$ y is a pseudo-limit of a pseudo-convergent sequence with accuracy  $\gamma_{\infty}$ Thus,  $B(y, \gamma_{\infty}) \subseteq \overline{S}$  and  $\operatorname{Int}(S, V)$  is not Prüfer

#### Application.

*S* is said to be a *regular subset* if, at each level *k*, all the vertices have the same number of edges (namely  $q_{\gamma_k}/q_{\gamma_{k+1}}$ )

If S is regular, from every vertex one can reach infinitely many leaves at the level  $\gamma_\infty.$  Consequently,

#### THEOREM

If S is a regular, then Int(S, V) is Prüfer if and only if S is precompact.

In particular, if S is an additive subgroup of V, then Int(S, V) is Prüfer if and only if S is precompact [Park, 2015]

(any subgroup is a regular subset)

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This sequence  $\{y_{k_n}\}_{n\geq 0}$  is *pseudo-convergent* with accuracy  $\gamma_{\infty}$ .

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In particular, if V is a discrete valuation domain, then Int(S, V) is Prüfer if and only if S is precompact [Cahen, C., Loper, 2001].

In the previous results, all the pseudo-convergent, divergent, or stationary sequences admit pseudo-limits in V.

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### THEOREM (LOPER AND WERNER, 2016)

Let  $\{a_n\}_{n\geq 0}$  be a pseudo convergent sequence and  $T = \{a_n \mid n \geq 0\}$ .

- If the sequence is of algebraic type, then Int(T, V) is not Prüfer.
- **2** If the sequence is of transcendental type, then Int(T, V) is Prüfer.

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If Int(S, V) is Prüfer, then S does not contain

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