

# Finitely generated powers of prime ideals

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# Preliminaries

For a long time Gilmer was interested by this question:

**Let  $P$  be a prime ideal of a commutative ring  $R$  such that  $P^n$  is finitely generated for some integer  $n > 1$ . Is  $P$  finitely generated too?**

In 1999, with Heinzer and Roitman, they got some positive answers to this question, but also some counter-examples.

# Preliminaries

In particular they proved the following theorem:

## Theorem

*Let  $R$  a reduced ring. Assume that each prime ideal has a finitely generated power. Then  $R$  is Noetherian.*

In 2001, Roitman obtained some other results. In particular he show the following:

## Theorem

*Let  $R$  be a coherent integral domain. Then, each prime ideal  $P$  for which  $P^n$  is finitely generated for some integer  $n > 1$  is finitely generated too.*



# Preliminaries

In 2015, Mahdou and Zennayi investigated this question when  $P$  is a maximal ideal of rings which are built by different ways: trivial ring extension, pullback diagram and amalgamation along an ideal. Some new examples and counter-examples are given.

## Powers of maximal ideals

Recall that a ring  $R$  is **coherent** if each finitely generated ideal is finitely presented. It is well known that  $R$  is coherent if and only if  $(0 : r)$  and  $A \cap B$  are finitely generated for each  $r \in R$  and any two finitely generated ideals  $A$  and  $B$ .

### Theorem

*Let  $R$  be a coherent ring. If  $P$  is a maximal ideal such that  $P^n$  is finitely generated for some integer  $n > 0$  then  $P$  is finitely generated too.*

### Proof.

If  $P^n = 0$  and  $P^{n-1} \neq 0$  then  $P = (0 : r)$  for any  $r \in P^{n-1}$ . If  $P^n \neq 0$  then  $R/P^n$  is coherent. □

## Powers of maximal ideals

### Theorem

*Let  $R$  be a ring. Suppose that  $R_P$  is coherent for each maximal ideal  $P$ . If  $P$  is a maximal ideal such that  $P^n$  is finitely generated for some integer  $n > 0$  then  $P$  is finitely generated too.*

A ring  $R$  is a **chain ring** if its lattice of ideals is totally ordered by inclusion, and  $R$  is **arithmetical** if  $R_P$  is a chain ring for each maximal ideal  $P$ .

### Theorem

*Let  $R$  be an arithmetical ring. If  $P$  is a maximal ideal such that  $P^n$  is finitely generated for some integer  $n > 0$  then  $P$  is finitely generated too.*

## Powers of maximal ideals

Let  $R$  be a ring. For a polynomial  $f \in R[X]$ , denote by  $c(f)$  (the content of  $f$ ) the ideal of  $R$  generated by the coefficients of  $f$ . We say that  $R$  is **Gaussian** if  $c(fg) = c(f)c(g)$  for any two polynomials  $f$  and  $g$  in  $R[X]$ . A ring  $R$  is said to be a **fqp-ring** if each finitely generated ideal  $I$  is projective over  $R/(0 : I)$ . Each arithmetical ring is a fqp-ring and each fqp-ring is Gaussian, but the converses do not hold (Abuhlail, Jarrar and Kabbaj (2011)). The following examples show that the previous theorem cannot be extended to the class of fqp-rings and the one of Gaussian rings.

## Powers of maximal ideals

### Example

Let  $R$  be a local ring and  $P$  its maximal ideal. Assume that  $P^2 = 0$ . Then it is easy to see that  $R$  is a fqp-ring. But  $P$  is possibly not finitely generated.

### Example

Let  $A$  be a valuation domain (a chain domain),  $M$  its maximal ideal generated by  $m$  and  $E$  a vector space over  $A/M$ . Let  $R = \left\{ \begin{pmatrix} a & e \\ 0 & a \end{pmatrix} \mid a \in A, e \in E \right\}$  be the trivial ring extension of  $A$  by  $E$ . We easily check that  $R$  is a local fqp-ring. Let  $P$  be its maximal ideal. Then  $P^2$  is generated by  $\begin{pmatrix} m^2 & 0 \\ 0 & m^2 \end{pmatrix}$ . But, if  $E$  is of infinite dimension over  $A/M$  then  $P$  is not finitely generated over  $R$ .

## Powers of prime ideals

If  $R$  is a coherent integral domain then each prime ideal with a finitely generated power is finitely generated too (Roitman (2001)). The following example shows that this result does not extend to any coherent ring.

### Example

Let  $D$  be a valuation domain. Suppose there exists a non-zero prime ideal  $L'$  which is not maximal. Moreover assume that  $L' \neq L'^2$  and let  $d \in L' \setminus L'^2$ . If  $R = D/Dd$  and  $L = L'/Dd$ , then  $R$  is a coherent ring,  $L$  is not finitely generated and  $L^2 = 0$ .

# Powers of prime ideals

## Remark

*Let  $R$  be an arithmetical ring. In the previous example we use the fact that each non-zero prime ideal  $L$  which is not maximal is not finitely generated. We shall prove that  $L^n$  is not finitely generated for each integer  $n > 0$  if  $L$  is not minimal.*

## Powers of prime ideals

In the sequel let  $\Phi = \text{Max } R \cup (\text{Spec } R \setminus \text{Min } R)$  for any ring  $R$ .  
By adapting the proof of the result of Roitman (2001) we show the following:

### Theorem

*Let  $R$  be a coherent ring. Then, for any  $P \in \Phi$ ,  $P$  is finitely generated if  $P^n$  is finitely generated for some integer  $n > 0$ .*



# Powers of prime ideals

## Corollary

*Let  $R$  be a reduced coherent ring. Then, for any prime ideal  $P$ ,  $P$  is finitely generated if  $P^n$  is finitely generated for some integer  $n > 0$ .*

## Proof.

If  $P$  is a minimal prime then  $(0 : P) = (0 : P^n)$  and  $(0 : P) \setminus P \neq \emptyset$ . So,  $P = (0 : (0 : P))$ . □

# Powers of prime ideals

An exact sequence of  $R$ -modules  $0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0$  is **pure** if it remains exact when tensoring it with any  $R$ -module. Then, we say that  $F$  is a **pure** submodule of  $E$ . The following proposition is well known.

# Powers of prime ideals

## Proposition

*Let  $A$  be an ideal of a ring  $R$ . The following conditions are equivalent:*

- ①  *$A$  is a pure ideal of  $R$ ;*
- ② *for each finite family  $(a_i)_{1 \leq i \leq n}$  of elements of  $A$  there exists  $t \in A$  such that  $a_i = a_i t$ ,  $\forall i$ ,  $1 \leq i \leq n$ ;*
- ③ *for all  $a \in A$  there exists  $b \in A$  such that  $a = ab$  (so,  $A = A^2$ );*
- ④  *$R/A$  is a flat  $R$ -module.*

*Moreover:*

- *if  $A$  is finitely generated, then  $A$  is pure if and only if it is generated by an idempotent;*
- *if  $A$  is pure, then  $R/A = S^{-1}R$  where  $S = 1 + A$ .*

# Powers of prime ideals

If  $R$  is a ring, we consider on  $\text{Spec } R$  the equivalence relation  $\mathcal{R}$  defined by  $L\mathcal{R}L'$  if there exists a finite sequence of prime ideals  $(L_k)_{1 \leq k \leq n}$  such that  $L = L_1$ ,  $L' = L_n$  and  $\forall k, 1 \leq k \leq (n-1)$ , either  $L_k \subseteq L_{k+1}$  or  $L_k \supseteq L_{k+1}$ . We denote by  $\text{pSpec } R$  the quotient space of  $\text{Spec } R$  modulo  $\mathcal{R}$  and by  $\lambda : \text{Spec } R \rightarrow \text{pSpec } R$  the natural map. The quasi-compactness of  $\text{Spec } R$  implies the one of  $\text{pSpec } R$ .

# Powers of prime ideals

## Lemma

*Let  $R$  be a ring and let  $C$  a closed subset of  $\text{Spec } R$ . Then  $C$  is the inverse image of a closed subset of  $\text{pSpec } R$  by  $\lambda$  if and only if  $C = V(A)$  where  $A$  is a pure ideal. Moreover, in this case,  $A = \bigcap_{P \in C} 0_P$ , where  $0_P = \ker(R \rightarrow R_P)$ .*

In the sequel, for each  $x \in \text{pSpec } R$  we denote by  $A(x)$  the unique pure ideal which verifies  $\overline{\{x\}} = \lambda(V(A(x)))$ , where  $\overline{\{x\}}$  is the closure of  $\{x\}$  in  $\text{pSpec } R$ .

# Powers of prime ideals

## Theorem

*Let  $R$  be a ring. Assume that  $R/A(x)$  is coherent for each  $x \in \text{pSpec } R$ . Then, for any  $P \in \Phi$ ,  $P$  is finitely generated if  $P^n$  is finitely generated for some integer  $n > 0$ .*

## Corollary

*Let  $R$  be a reduced ring. Assume that  $R/A(x)$  is coherent for each  $x \in \text{pSpec } R$ . Then, for any prime ideal  $P$ ,  $P$  is finitely generated if  $P^n$  is finitely generated for some integer  $n > 0$ .*

## pf-rings

Now, we consider the rings  $R$  for which each prime ideal contains a unique minimal prime ideal. So, the restriction  $\lambda'$  of  $\lambda$  to  $\text{Min } R$  is bijective. In this case, for each minimal prime ideal  $L$  we put  $A(L) = A(\lambda(L))$ .

(Couchot (2007))  $\text{pSpec } R$  is Hausdorff and  $\lambda'$  is a homeomorphism if and only if  $\text{Min } R$  is compact.

### Proposition

*Let  $R$  be a ring. Assume that each prime ideal contains a unique minimal prime ideal. Then, for each minimal prime ideal  $L$ ,  $V(L) = V(A(L))$ . Moreover, if  $R$  is reduced then  $A(L) = L$ .*

## pf-rings

We say that a ring  $R$  is a **pf-ring** if one of the following equivalent conditions holds:

- ①  $R_P$  is an integral domain for each maximal ideal  $P$ ;
- ② each principal ideal of  $R$  is flat;
- ③ each cyclic submodule of a flat  $R$ -module is flat.

Moreover, if  $R$  is a pf-ring then each prime ideal  $P$  contains a unique minimal prime ideal  $P'$  and  $A(P') = P'$ .



## pf-rings

### Corollary

*Let  $R$  be a coherent pf-ring. Then each prime ideal with a finitely generated power is finitely generated too.*

### Corollary

*Let  $R$  be a pf-ring. Assume that  $R/L$  is coherent for each minimal prime ideal  $L$ . Then each prime ideal with a finitely generated power is finitely generated too.*

### Corollary

*Let  $R$  be a reduced arithmetical ring. Then each prime ideal with a finitely generated power is finitely generated too.*

## pf-rings

Let  $n$  be an integer  $\geq 0$  and  $G$  a module over a ring  $R$ . We say that  $\text{pd } G \leq n$  if  $\text{Ext}_R^{n+1}(G, H) = 0$  for each  $R$ -module  $H$ .

### Corollary

*Let  $R$  be a coherent ring. Assume that each finitely generated ideal  $I$  satisfies  $\text{pd } I < \infty$ . Then each prime ideal with a finitely generated power is finitely generated too.*

### Proof.

$R_P$  is an integral domain for any prime ideal  $P$  [Bertin (1971)].  $\square$

## pf-rings

### Corollary

*Let  $A$  be a ring and  $X = \{X_\lambda\}_{\lambda \in \Lambda}$  a set of indeterminates. Consider the polynomial ring  $R = A[X]$ . Assume that  $A$  is reduced and arithmetical. Then each prime ideal of  $R$  with a finitely generated power is finitely generated too.*

### Proof.

"A pf-ring" implies " $R$  pf-ring". For any prime ideal  $L$  " $A/L$  Prüfer" implies " $(A/L)[X]$  coherent" [Greenberg and Vasconcelos (1976)] □

## pf-rings

Let  $n$  be an integer  $\geq 0$ . We say that a ring  $R$  is of global dimension  $\leq n$  if  $\text{pd } G \leq n$  for each  $R$ -module  $G$ .

### Corollary

*Let  $A$  be a ring and  $X = \{X_\lambda\}_{\lambda \in \Lambda}$  a set of indeterminates. Consider the polynomial ring  $R = A[X]$ . Assume that  $A$  is of global dimension  $\leq 2$ . Then each prime ideal of  $R$  with a finitely generated power is finitely generated too.*

### Proof.

$A_P$  is a coherent domain for any maximal ideal  $P$  and  $A/L$  is coherent for each minimal prime  $L$  [Le Bihan (1971)]. We end as above by using [Greenberg and Vasconcelos (1976)]. □

## locally constant functions

A topological space is called **totally disconnected** if each of its connected components contains only one point. Every Hausdorff topological space  $X$  with a base of clopen (closed and open) neighbourhoods is totally disconnected and the converse holds if  $X$  is compact.

### Proposition

*Let  $R$  be a ring for which each prime ideal contains only one minimal prime ideal. Let  $P$  be a minimal prime ideal such that  $P^n$  is finitely generated for some integer  $n > 0$ . Then  $\lambda(P)$  is an isolated point of  $\text{pSpec } R$ .*

## locally constant functions

### Proposition ( $C(X, O)$ )

*Let  $X$  be a totally disconnected compact space, let  $O$  be a ring with a unique point in  $\text{pSpec } O$ . Let  $R$  be the ring of all locally constant maps from  $X$  into  $O$ . Then,  $\text{pSpec } R$  is homeomorphic to  $X$  and  $R/A(z) \cong O$  for each  $z \in \text{pSpec } R$ .*

### Proposition

*Let  $R$  be the ring defined in Proposition [ $C(X, O)$ ]. Assume that  $O$  is a reduced coherent ring. Then, for any prime ideal  $P$ ,  $P$  is finitely generated if  $P^n$  is finitely generated for some integer  $n > 0$ .*

# locally constant functions

## Proposition

*Let  $R$  be the ring defined in Proposition  $[C(X, O)]$ . Assume that  $O$  has a unique minimal prime ideal  $M$ . Then, every prime ideal of  $R$  contains only one minimal prime ideal and  $\text{Min } R$  is compact. If  $M = 0$  then  $R$  is a pp-ring, i.e. each principal ideal is projective.*

# locally constant functions

## Corollary

Let  $R$  be the ring defined in Proposition  $[C(X,O)]$ . Suppose that  $O$  has a unique minimal prime ideal  $M$ . Assume that  $O$  is either coherent or arithmetical and that one of the following conditions holds:

- 1  $M$  is either idempotent or finitely generated;
- 2  $X$  contains no isolated point.

Then, for any prime ideal  $P$ ,  $P$  is finitely generated if  $P^n$  is finitely generated for some integer  $n > 0$ .



# locally constant functions

## Example

Let  $R$  be the ring defined in Proposition  $[C(X, O)]$ . Assume that:

- $O$  is either coherent or arithmetical, with a unique minimal prime ideal  $M$ ;
- $M$  is not finitely generated and  $M^k = 0$  for some integer  $k > 1$ ;
- $X$  contains no isolated points (for example the Cantor set).

Then the property "for each prime ideal  $P$ ,  $P^n$  is finitely generated for some integer  $n > 0$  implies  $P$  is finitely generated" is satisfied by  $R$ , but not by  $R/A(L)$  for each minimal prime ideal  $L$ .

# locally constant functions

## Corollary

Let  $R$  be the ring defined in Proposition  $[C(X,O)]$ . Assume that  $O$  is local with maximal ideal  $M$ . Then each prime ideal of  $R$  is contained in a unique maximal ideal, and for each maximal ideal  $P$ ,  $R_P \cong O$ . Moreover, if one of the following conditions holds:

- 1  $O$  is coherent;
- 2  $O$  is a chain ring;
- 3  $X$  contains no isolated point and  $M$  is the sole prime ideal of  $O$ .

then, for each maximal ideal  $P$ ,  $P^n$  finitely generated for some integer  $n > 0$  implies  $P$  is finitely generated.

# locally constant functions

## Example

Let  $R$  be the ring defined in Proposition [C(X,O)]. Assume that  $M$  is the sole prime ideal of  $O$ ,  $M$  is not finitely generated,  $M^k = 0$  for some integer  $k > 1$  and  $X$  contains no isolated points. Then the property "for each maximal ideal  $P$ ,  $P^n$  is finitely generated for some integer  $n > 0$  implies  $P$  is finitely generated" is satisfied by  $R$ , but not by  $R_L$  for each maximal ideal  $L$ .

**Thank you very much!**

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