Enumeration methods for planar graphs and beyond

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Outline of talk

I. Singularity analysis of generating functions

 \triangleright the number M of edges is linear in the number n of vertices

II. Probabilistic counting method

 $\rhd M \leq n$

III. Matrix integral method

▷ maps vs graphs on surfaces

Part I: Singularity analysis of generating functions

- Trees
- Planar graphs

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Then

$$T(z) = z \left(1 + T(z) + \frac{T(z)^2}{2!} + \frac{T(z)^3}{3!} + \cdots \right) = z e^{T(z)}.$$

View the generating function $T(z) = \sum_{n} t(n) \frac{z^n}{n!}$ as a complex-valued function that is analytic at the origin. Let $[z^n]T(z) = t(n)/n!$.

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Let R be the radius of convergence of T(z). Then

 $[z^n]T(z) = \theta(n)R^{-n}$, where $\limsup_{n \to \infty} |\theta(n)|^{1/n} = 1$.

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[Pringsheim's Theorem]

The point z = R is a dominant singularity of T(z), when T(z) has non-negative Taylor coefficients.

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How to determine

- the dominant singularity R and
- the subexponential factor $\theta(n)$?

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Indeed, $z_0 = e^{-1}$ and thus $\frac{t(n)}{n!} = \theta(n)e^n$, where $\limsup |\theta(n)|^{1/n} = 1$.

Taylor expansion of $z = \psi(u)$ at u_0 is of the form

$$\psi(u) = \psi(u_0) + \frac{1}{2}\psi''(u_0)(u - u_0)^2 + \cdots$$

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It implies a locally quadratic dependency between z and u = T(z):

$$(T(z) - T(z_0))^2 = (u - u_0)^2 \sim \frac{2}{\psi''(u_0)}(z - z_0) = -\frac{2\psi(u_0)}{\psi''(u_0)}(1 - z/z_0)$$

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Since T(z) is increasing along the positive real axis, we have

$$T(z) - T(z_0) \sim -\sqrt{-2\psi(u_0)/\psi''(u_0)} (1 - z/z_0)^{1/2}$$

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Using Δ -analycity of T(z) and transfer theorem, we have

$$[z^n]T(z) \sim -\sqrt{-2\psi(u_0)/\psi''(u_0)}[z^n](1-z/z_0)^{1/2}$$

In summary we have

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RESCALING RULE/ GENERALISED BINOMIAL THEOREM

$$[z^{n}] (1 - e \cdot z)^{1/2} = \binom{n - 3/2}{n} e^{n} \sim \frac{n^{-3/2}}{-2\sqrt{\pi}} e^{n}.$$

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 $Rescaling \ {\tt Rule}/ \ {\tt generalised} \ {\tt binomial} \ {\tt theorem}$

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$$= n^{n-1} \qquad \text{(Cayley's formula)}$$

[HARARY-PALMER 78]

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Let P(x) (resp. C(x), B(x), T(x)) be the EGF for labelled (resp. connected, biconnected, triconnected) planar graphs:

$$P(x) = \exp(C(x))$$
$$xC'(x) = x \exp(B'(xC'(x)))$$

2-connected graphs with one edge distinguished and oriented \Leftrightarrow networks

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$$P = (1+y)\exp(N-P) - (N-P) - 1 \implies 1+N = (1+y)\exp(S+H)$$
$$H = \frac{M(x,N)}{2x^2N}$$

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$$\Rightarrow \qquad \log \frac{1+N}{1+y} = S + H = \frac{xN^2}{1+xN} + \frac{M(x,N)}{2x^2N}$$
Unique embedding

Unique embedding of 3-conn. planar graphs on the sphere [WHITNEY 32]

3-conn. planar graphs \iff 3-conn. planar maps

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Tutte rooting: given a 3-conn. planar graph, select one edge, a direction on the edge and a side of the edge $\iff c\text{-net}$ [TUTTE 63; MULLIN-SCHELLENBERG 68]

$$\begin{aligned} \frac{\partial T(x,y)}{\partial y} &= \frac{M(x,y)}{4y} \\ M(x,y) &= x^2 y^2 \left(\frac{1}{1+xy} + \frac{1}{1+y} - 1 - \frac{(1+u)^2(1+v)^2}{(1+u+v)^3} \right) \\ u &= xy(1+v)^2 \\ v &= y(1+u)^2 \end{aligned}$$

Generating functions

Connected graphs \iff block structure

[HARARY-PALMER 78]

 $C'(x) = \exp(B'(xC'(x)))$

2-connected graphs \iff networks

[TRAKHTENBROT 58; TUTTE 63; WALSH 82]



$$\frac{\partial B(x,y)}{\partial y} = \frac{x^2(1+N(x,y))}{2(1+y)}$$
$$\frac{xN^2}{1+xN} - \log\frac{1+N}{1+y} + \frac{M(x,N)}{2xN^2} = 0$$

3-conn. planar graphs \iff *c*-nets

[TUTTE 63; MULLIN-SCHELLENBERG 68]

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c-nets \iff 3-conn. planar graphs

[BENDER-RICHMOND 84; BGJK 05]

- $T(n) \sim a n^{-7/2} \eta^n n!, \qquad \eta \doteq 21.05$
- $T(n,m) \sim a_d n^{-4} \eta_d^n n!, \qquad m = dn, \quad d \in (1,3)$

c-nets \iff 3-conn. planar graphs [Bender-Richmond 84; BGJK 05] • $T(n) \sim a n^{-7/2} \eta^n n!, \qquad \eta \doteq 21.05$ • $T(n,m) \sim a_d n^{-4} \eta_d^n n!, \qquad m = dn, \quad d \in (1,3)$ Networks \iff 2-conn. planar graphs [BENDER-GAO-WORMALD 02] • $N(x,y) = analytic part + g(y)(1 - x/R(y))^{3/2}$, for $y \sim 1$ • $N(n) \sim \beta n^{-5/2} \lambda^n n!, \qquad \lambda \doteq 26.1$ • $N(n,m) \sim \beta_d n^{-3} \lambda_d^n n!, \qquad m = dn, \quad d \in (1,3)$

Inserting an edge between poles and unrooting networks, we have

- $B(n) \sim b n^{-7/2} \lambda^n n!, \qquad \lambda \doteq 26.1$
- $B(n,m) \sim b_d n^{-4} \lambda_d^n n!, \qquad m = dn, \quad d \in (1,3)$

2-conn. planar graphs \Rightarrow conn. planar graphs: $C'(x) = \exp(B'(xC'(x)))$

• Difficulty: integration of implicitly defined function

$$\begin{split} B(x,y) &= \frac{x^2}{2} \int_0^y \frac{1+N(x,t)}{1+t} dt, \quad \frac{xN^2}{1+xN} - \log \frac{1+N}{1+y} + \frac{M(x,N)}{2x^2N} = 0\\ N(x,y) &= \text{analytic part} + g(y)(1-x/R(y))^{3/2} \end{split}$$

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(Connected) planar graphs

[GIMÉNEZ-NOY 09]

- $C(n) \sim c n^{-7/2} \gamma^n n!, \qquad \gamma \doteq 27.2$
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With probability tending to one as $n \to \infty$ (for short w.h.p.) a random planar graph $\mathcal{P}(n,m)$ contains the giant component of size n - O(1).

Part II: Probabilistic counting method

- Erdős–Rényi random graph $\mathcal{G}(n,m)$
- Random planar graph $\mathcal{P}(n,m)$

Planarity

ERDŐS–RÉNYI RANDOM GRAPH

[JANSON-KNUTH-ŁUCZAK-PITTEL 93]

Let m = n/2 + s with s = o(n).

- If $s n^{-2/3} \rightarrow -\infty$, w.h.p. $\mathcal{G}(n,m)$ is planar.
- If $s n^{-2/3} \rightarrow \lambda$, with positive probability $\mathcal{G}(n,m)$ is non-planar.
- If $s n^{-2/3} \to +\infty$, w.h.p. $\mathcal{G}(n,m)$ is non-planar.

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Internal structure \Rightarrow core and kernel of complex graphs



- Core: maximal subgraph with minimum degree two.
- Kernel: obtained from the core by replacing each path whose internal vertices are all of degree two by a single edge.

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Complex planar graphs

CUBIC PLANAR GRAPHS

[K.- ŁUCZACK 09+]

Let K(n) denote the number of all cubic planar weighted multigraphs on n vertices. Then

$$K(n) \sim g n^{-7/2} \gamma^n n!$$

where g, γ are analytic constants.

Complex planar graphs

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COMPLEX PLANAR GRAPHS

[K.- ŁUCZACK 09+]

Let $C(n, n + \ell)$ denote the number of all connected planar graphs on n vertices with $n + \ell$ edges where $\ell > 0$. Then for $\ell = o(n^{1/3})$

$$C(n, n+\ell) \sim \alpha \beta^{\ell} n^{n+3\ell/2-1/2} \ell^{-3\ell/2-3}$$

where α, β are analytic constants.

Number of planar graphs

[K.- ŁUCZACK 09+]

Let
$$m = n/2 + s$$
, $s = o(n)$.
• $sn^{-2/3} \to -\infty$:
 $P(n,m) \sim \alpha n^{n+2s} (n+2s)^{-n/2-s-1/2} e^{n/2+s-1/2}$
• $sn^{-2/3} \to \lambda, \ \lambda \in (-\infty,\infty)$:
 $P(n,m) \sim \beta_{\lambda} n^{n-1/2} (n-2s)^{-n/2+s} e^{n/2-s+a\lambda(n-2s)^{-2/3}}$
• $sn^{-2/3} \to \infty$:
 $P(n,m) \sim \gamma n^{n+11/6} s^{-7/2} (n-2s)^{-n/2+s} e^{n/2-s+asn^{-2/3}}$

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.

P(n,m) for $m=an\,,a\in(1/2,1)$, m=n+o(n)

Critical phase

[K.- ŁUCZACK 09+]

Let m = n/2 + s, s = o(n) and L(n) be the number of vertices in the largest component in a random planar graph $\mathcal{P}(n, m)$. Then w.h.p.

- $s n^{-2/3} \to -\infty$: $L(n) = o(n^{2/3})$
- $s n^{-2/3} \to \lambda$, $\lambda \in (-\infty, \infty)$: $L(n) = \Theta(n^{2/3})$
- $s n^{-2/3} \to +\infty$: $L(n) \sim 2s$

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• $s n^{-2/3} \to +\infty$: $L(n) \sim 2s$

- ▷ In a random graph $\mathcal{G}(n,m)$: $L(n) \sim 4s$
- \triangleright In a random forest $\mathcal{F}(n,m)$: $L(n) \sim 2s$

Critical phase

[K.- ŁUCZACK 09+]

Let m = n + t, t = o(n) and R(n) be the number of vertices outside the giant component in a random planar graph $\mathcal{P}(n, m)$. Then w.h.p.

•
$$t n^{-3/5} \rightarrow -\infty$$
:
 $R(n) \sim \alpha(n+2t)|t|^{-2/3} - t/2$
• $t n^{-3/5} \rightarrow \lambda, \ \lambda \in (-\infty, \infty)$:
 $R(n) \sim \alpha_{\lambda} n^{3/5}$
• $t n^{-3/5} \rightarrow +\infty$:

 $R(n) \sim \beta n^{3/2} t^{-3/2}$

Part III: Matrix integral method

- Feynman diagram
- Fat graphs = maps
- Graphs embeddable on surfaces

The Gaussian integral is defined by

$$\langle f \rangle = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(x) e^{-\frac{x^2}{2}} dx.$$

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$$\langle x^n \rangle = ??$$

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$$\langle x^n \rangle = \begin{cases} (n-1)!! & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{cases}$$



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$$\langle x^n \rangle = \begin{cases} (n-1)!! & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{cases}$$

Using the source integral $\langle e^{xs} \rangle = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} e^{-\frac{(x-s)^2}{2} + \frac{s^2}{2}} dx = e^{\frac{s^2}{2}}$

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$$\langle x^n \rangle = \left\langle \frac{d^n}{ds^n} e^{xs} \right|_{s=0} \rangle = \left. \frac{d^n}{ds^n} \langle e^{xs} \rangle \right|_{s=0} = \left. \frac{d^n}{ds^n} e^{\frac{s^2}{2}} \right|_{s=0}$$

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Let $M_{ij} = x_{ij} + \vec{i} y_{ij}$ for $x_{ij}, y_{ij} \in \mathbb{R}$. Then $M_{ji} = x_{ij} - \vec{i} y_{ij}$.



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Using the source integral $\langle e^{\operatorname{Tr}(MS)} \rangle = e^{\frac{\operatorname{Tr}(S^2)}{2N}}$, we obtain

$$\left\langle M_{ij}M_{kl}\right\rangle = \frac{\partial}{\partial S_{ji}}\frac{\partial}{\partial S_{lk}}\left\langle e^{\mathrm{Tr}(MS)}\right\rangle \Big|_{S=0} = \frac{\partial}{\partial S_{ji}}\frac{\partial}{\partial S_{lk}}e^{\frac{\mathrm{Tr}(S^2)}{2N}}\Big|_{S=0} = \frac{\delta_{il}\delta_{jk}}{N}$$

Wick's Theorem

and

$$\langle M_{ij}M_{kl}M_{mn}\cdots\rangle = \frac{\partial}{\partial S_{ji}}\frac{\partial}{\partial S_{lk}}\frac{\partial}{\partial S_{nm}}\cdots\langle e^{\operatorname{Tr}(MS)}\rangle\Big|_{S=0}$$
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[WICK 50]

Let $M \in \mathcal{H}_N$ and I be a multiset of elements of $N \times N$. Then

$$\begin{array}{lll} \langle \prod_{ij \in I} M_{ij} \rangle & = & \sum_{\text{pairing } P \subset I^2} & \prod_{(ij,kl) \in P} \langle M_{ij} M_{kl} \rangle \\ & = & \sum_{\text{pairing } P \subset I^2} & \prod_{(ij,kl) \in P} \frac{\delta_{il} \delta_{jk}}{N} \end{array}$$

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[BRÉZIN-ITZYKSON-PARISI-ZUBER 78; ZVONKIN 97; DI FRANCESCO 04]

Pictorial interpretation from $\langle M_{ij}M_{kl} \rangle = \frac{\delta_{il}\delta_{jk}}{N}$:



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Pictorial interpretation from $\langle M_{ij}M_{kl} \rangle = \frac{\delta_{il}\delta_{jk}}{N}$:



$$\operatorname{Tr}(M^{n}) = \sum_{1 \le i_{1}, i_{2}, \cdots, i_{n} \le N} M_{i_{1}i_{2}} M_{i_{2}i_{3}} \cdots M_{i_{n}i_{1}}$$

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$$\langle \operatorname{Tr}(M^n) \rangle = \sum_{1 \le i_1, i_2, \cdots, i_n \le N} \sum_{P} \prod_{\substack{(i_k i_{k+1}, i_l i_{l+1}) \in P}} \frac{\delta_{i_k i_{l+1}} \delta_{i_l i_{k+1}}}{N}.$$

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A pairing P with non-zero contribution to $\langle Tr(M^n) \rangle$

 \iff a fat graph with one island and n/2 fat edges ordered cyclically. (It defines uniquely an embedding on a surface: a map!)



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Let F be a fat graph with one island, e(F) edges and f(F) faces.

- The edges contribute $N^{-e(F)}$, since each edge contributes N^{-1} .
- The faces contribute $N^{f(F)}$, since each face attains independently any index from 1 to N.



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Thus

$$\langle \operatorname{Tr}(M^n) \rangle = \sum_F N^{-e(F)+f(F)}$$

where the sum is over all fat graphs F with one island.

For example,

$$\langle \left[\operatorname{Tr}(M^3) \right]^4 \left[\operatorname{Tr}(M^2) \right]^3 \rangle = \sum_F N^{f(F) - e(F)} ,$$

where the sum is over all fat graphs (i.e. maps) F with four vertices of degree 3, and three vertices of degree 2.



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[BRÉZIN-ITZYKSON-PARISI-ZUBER 78; ZVONKIN 97; DI FRANCESCO 04]

The generating function of maps on a surface can be formulated as a matrix integral of functions of traces.

[BRÉZIN-ITZYKSON-PARISI-ZUBER 78]

Consider a function ψ which maps $M \in \mathcal{H}_N$ to

$$\psi(M; z_i, i \in \mathbb{N}) := \exp(-N \sum_{i \in \mathbb{N}} \operatorname{Tr}(M^i) z_i/i),$$

where \mathbb{N} is the set of all positive integers and z_i 's are formal variables.

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$$\log \langle \psi \rangle \ ' = ' \ \sum_{g \ge 0} N^{2-2g} \sum_{(n_1, \cdots, n_k) \in \mathbb{N}^k} \prod_{i=1}^k M_g(n_1, \cdots, n_k) \frac{(-z_i)^{n_i}}{n_i!}$$

where $M_g(n_1, \dots, n_k)$ denotes the number of connected maps with genus g and n_i vertices of degree i for $1 \le i \le k$.

The expression f(z)' = 'g(z) means that all the derivatives of f, g are equal when the variable z is set to zero.

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Partial results on the convergence of the above generating function [Ercolani-McLaughlin 03; Guionnet 04]

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 $[z^n] \lim_{N \to \infty} \left. \frac{1}{N^2} \log \langle \psi \rangle \right|_{z_i = z}$ is the number of connected planar maps on n vertices

Matrix integral for graphs embeddable on a surface?

• Combinatorially defined functions instead of functions of traces

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- Let $M \in \mathcal{H}_N$ and let $D = D(M) = (N, N \times N)$ be a complete directed graph with weights on directed edges given by M

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$$\rho(M; y, z) := \sum_{A \subset N \times N \text{ eulerian }} \left(\prod_{e \in A} M_e\right) \prod_{C: \text{ component of } A} \frac{(Nz)^{|C|/2+1} - Nzy^{|C|/2}}{Nz - y}$$

[K.-LOEBL 09]

Let $s(N) \leq \frac{N}{2}$ be a function in N tending to ∞ slower than N when $N \to \infty$.

$$\exp\left(\sum_{n\geq 1}\sum_{r\geq 0} \left[p(n,r) + e_1(N,n,r)\right] \frac{z^r y^{n-2}}{n!}\right) \, ' \leq_{s(N)} \, '$$
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where p(n,r) denotes the number of labelled connected graphs on $n \leq N$ vertices which have planar embeddings with r faces, and $e_1(N, n, r), e_2(N, n, r)$ are functions of type $O(N^{-1})$.

The expression $f(z)' \leq_{s(N)} 'g(z)$ means that all the *k*-th derivatives of f, g, for $k \leq s(N)$, satisfy the inequality when the variable *z* is set to zero.

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$$\sum_{n\geq 1}\sum_{r\geq 0}p(n,r)\frac{y^{n-2}}{n!} = \lim_{N\to\infty}\frac{1}{N^2}\log\langle\rho\rangle\big|_{z=1}$$

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$$[y^{n-2}] \sum_{n \ge 1} \sum_{r \ge 0} p(n,r) \frac{y^{n-2}}{n!} = [y^{n-2}] \lim_{N \to \infty} \frac{1}{N^2} \log \langle \rho \rangle \Big|_{z=1} \quad ??? \sim c \ n^{-7/2} \ \gamma^n$$

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[K.- ŁUCZACK 09+]

Let $\mathcal{P}(n,m)$ be a random planar graph with n vertices and m edges and $\epsilon > 0$.

- If $m \leq (1-\epsilon)n$, w.h.p. $\chi(\mathcal{P}(n,m)) = 3$.
- If $m \ge (1+\epsilon)n$, w.h.p. $\chi(P(n,m)) = 4$.

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- If $m \ge (1+\epsilon)n$, w.h.p. $\chi(P(n,m)) = 4$.
- What is the threshold for the appearance of K_4 in $\mathcal{P}(n,m)$?

 \triangleright heuristic: $m = n + O(n^{7/9})$