

NOTE

ON THE INTERPOLATION OF D0L-SEQUENCES

Helmut PRODINGER

Institut für Mathematische Logik und Formale Sprachen, TU Wien, 1040 Wien, Austria

Communicated by A. Salomaa

Received April 1979

Revised June 1979

Abstract. For a D0L-sequence $(h^n(w))_{n=0}^\infty$ a method is described to see this sequence as a function $\alpha \in \mathbf{R}^+ \mapsto h^\alpha(w) \in \mathcal{U}'$, where $\mathcal{U}' \supseteq \Sigma^*$ is a convenient structure.

Let Σ^* be the free monoid with unit ε generated by Σ and $\binom{x}{y}$ the binomial coefficients for words [1, 3, 4, 5, 6, 7] (i.e. the number of representations of $x = x_0 y_1 x_1 \cdots y_n x_n$, where $y = y_1 \cdots y_n$, $y_i \in \Sigma$).

Let

$$\mathcal{U} = \left\{ \sum_{w \in \Sigma^*} a_w w \mid a_w \in \mathbf{R}, a_\varepsilon = 1 \right\},$$

i.e. the subset of $\mathbf{R}\langle\langle \Sigma^* \rangle\rangle$ with $(a, \varepsilon) = 1$ (notation from [9]). In [5],¹ among other things, the following is shown: \mathcal{U} with the Cauchy product is a group, the equation $\xi^n = w$, $n \in \mathbf{N}$, $w \in \mathcal{U}$ has a unique solution, and it is possible to make \mathcal{U} to a topological space by means of the product topology of \mathbf{R} . The mappings $(x, y) \mapsto xy$, $x \mapsto x^{-1}$, $x \mapsto x^{1/n}$ are continuous. Furthermore Σ^* can be embedded into \mathcal{U} by means of the mapping $w \mapsto \sum_{z \in \Sigma^*} \binom{w}{z} z$. Here it is convenient to allow the coefficients to be complex numbers; the same statements are valid. Let \mathcal{U}' be obtained by replacing \mathbf{R} by \mathbf{C} in the definition of \mathcal{U} .

In [3, 4, 8] it is implicitly shown that for a given homomorphism h and given words w, z

$$\binom{h^n(w)}{z} = \eta_1' M^n \eta_2$$

where η_1, η_2 are $(m \times 1)$ -vectors and M is a $(m \times m)$ -matrix with entries in \mathbf{N}_0 . (m, η_1, η_2, M depending on h, w, z .)

Now let be $\alpha \geq 0$ and $z \in \mathbf{C}$. z^α is defined by $|z|^\alpha e^{i \operatorname{Arg} z \alpha}$.

¹ Available from the author; submitted for publication.

The theory of functions of matrices [2; Ch. V] yields a representation of $f(M)$, where M is a $n \times n$ -matrix and $f: \mathbf{C} \rightarrow \mathbf{C}$ by

$$f(M) = \sum_{k=1}^s [f(\lambda_k)Z_{k1} + f'(\lambda_k)Z_{k2} + \dots + f^{(m_k-1)}(\lambda_k)Z_{km_k}]$$

provided the derivatives exist. Here Z_{kj} are independent from f and λ_i are the eigenvalues of M with multiplicity m_i . Thus with $f_\alpha(z) = z^\alpha$ the matrix M^α can be defined and

$$\begin{pmatrix} h^\alpha(w) \\ z \end{pmatrix} := \eta'_1 M^\alpha \eta_2.$$

From the above representation of M^α it can be concluded that $\alpha \mapsto M^\alpha$ is continuous. Hence $\alpha \mapsto \begin{pmatrix} h^\alpha(w) \\ z \end{pmatrix}$ is continuous for each z , and this means that $\alpha \in \mathbf{R}^+ \mapsto h^\alpha(w) \in \mathcal{U}'$ is continuous.

Remark that the Cayley-Hamilton theorem gives a representation

$$M^n = \sum_{i=1}^s P_i(n) \lambda_i^n$$

where the P_i 's are polynomials with matrices as coefficients and the λ_i 's are eigenvalues. By a continuity argument

$$M^\alpha = \sum_{i=1}^s P_i(\alpha) \lambda_i^\alpha$$

is obtained.

References

- [1] S. Eilenberg, *Automata, Languages and Machines, Vol. B* (Academic Press, New York, 1976).
- [2] F. R. Gantmacher, *Matrizenrechnung, Teil 1* (VEB Deutscher Verlag der Wissenschaften, Berlin, 1958).
- [3] P. Johansen, The generating function of the number of subpatterns of a D0L sequence, *Theoret. Comput. Sci.* **8** (1979) 57-68.
- [4] P. Ochsenschläger, Verallgemeinerte Parikh-Abbildungen und D0L-Systeme, Bericht Nr. AFS-33/77, Darmstadt (1977).
- [5] H. Prodinger, Erweiterungen des freien Monoides Σ^* , Dissertation TU Wien (1978).
- [6] H. Prodinger, On a generalization of the Dyck-language over a two letter alphabet, *Discrete Math.* **28**(3) (1979) 269-276.
- [7] H. Prodinger, Übertragung kombinatorischer Begriffe auf Halbgruppen, Diplomarbeit TU Wien (1976).
- [8] C. Reutenauer, Sur les séries associées à certains systèmes de Lindenmayer, *Theoret. Comput. Sci.* **9**(3) (1979) 363-375.
- [9] A. Salomaa and M. Soittola, *Automata-theoretic Aspects of Formal Power Series* (Springer, Berlin, 1978).